TRIO TECH INTERNATIONAL

Form 4/A

November 06, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **ZEFF DANIEL**

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

[TRT]

(Middle)

TRIO TECH INTERNATIONAL

3. Date of Earliest Transaction (Month/Day/Year)

10/16/2006

(Check all applicable)

Officer (give title below)

X__ 10% Owner _ Other (specify

50 CALIFORNIA STREET, SUITE

(Street)

(First)

1500

(Last)

4. If Amendment, Date Original

Filed(Month/Day/Year)

10/18/2006

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

SAN FRANCISCO, CA 94111

							Cison		
(City)	(State) (Zip) Table	e I - Non-D	erivative	Secur	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi n(A) or Di (Instr. 3,	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	10/16/2006		S	255 <u>(2)</u>	,		170,053	I	See footnote (2)
Common Stock (1)	10/16/2006		S	60 (2)	D	\$ 11.81	169,993	I	See footnote (2)
Common Stock (1)	10/16/2006		S	15 (2)	D	\$ 11.82	169,978	I	See footnote (2)
Common	10/16/2006		S	90 (2)	D	\$	169,888	I	See

Edgar Filing: TRIO TECH INTERNATIONAL - Form 4/A

Stock (1)					11.85			footnote (2)
Common Stock (1)	10/16/2006	S	15 (2)	D	\$ 11.87	169,873	I	See footnote (2)
Common Stock (1)	10/16/2006	S	30 (2)	D	\$ 11.9	169,843	I	See footnote (2)
Common Stock (1)	10/16/2006	S	105 (2)	D	\$ 11.98	169,738	I	See footnote (2)
Common Stock (1)	10/16/2006	S	45 (2)	D	\$ 11.99	169,693	I	See footnote (2)
Common Stock (1)	10/16/2006	S	270 (2)	D	\$ 12	169,423	I	See footnote (2)
Common Stock (1)	10/16/2006	S	45 (2)	D	\$ 12.1	169,378	I	See footnote (2)
Common Stock (1)	10/16/2006	S	45 (2)	D	\$ 12.11	169,333	I	See footnote (2)
Common Stock (1)	10/16/2006	S	15 (2)	D	\$ 12.12	169,318	I	See footnote (2)
Common Stock (1)	10/16/2006	S	75 <u>(2)</u>	D	\$ 12.15	169,243	I	See footnote (2)
Common Stock (1)	10/16/2006	S	30 (2)	D	\$ 12.2	169,213	I	See footnote (2)
Common Stock (1)	10/16/2006	S	75 <u>(2)</u>	D	\$ 12.28	169,138 (3)	I	See footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: TRIO TECH INTERNATIONAL - Form 4/A

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title at Amount of		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(monda, Day, Teal)	(Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Underlyin Securities (Instr. 3 a	ng s	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Nu of	nount umber uares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
topotong owner twine, radiation	Director	10% Owner	Officer	Other				
ZEFF DANIEL 50 CALIFORNIA STREET SUITE 1500 SAN FRANCISCO, CA 94111		X						
Zeff Holding Company, LLC 50 CALIFORNIA STREET SAN FRANCISCO, CA 94111		X						
Zeff Capital Partners I, L.P. C/O ZEFF HOLDING COMPANY, LLC 50 CALIFORNIA STREET SAN FRANCISCO, CA 94111		X						
Spectrum Galaxy Fund Ltd. 50 CALIFORNIA STREET SUITE 1500 SAN FRANCISCO, CA 94111	X							
Signatures								
Daniel Zeff		11/06/20	006					
**Signature of Reporting Person		Date						
Daniel Zeff for Zeff Capital Partners I, L.P		11/06/20	006					
**Signature of Reporting Person		Date						
Dion R. Friedland for Spectrum Galaxy Fund Ltd.	11/06/2006							
**Signature of Reporting Person		Date						
Daniel Zeff for Zeff Holding Company, LLC		11/06/20	006					

Reporting Owners 3 **Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Amended Form 4 amends and supplements that Form 4 originally filed with the Securities and Exchange Commission on 10/18/06 by Daniel Zeff, an individual ("Zeff"), Spectrum Galaxy Fund Ltd., a company incorporated in the British Virgin Islands ("Spectrum"), Zeff Capital Partners, I, L.P., a Delaware limited partnership ("Capital"), and Zeff Holding Company, LLC, a Delaware limited liability

- (1) company ("Holding") (collectively, the "Reporting Persons") related to the common stock (the "Common Stock") of Trio Tech International (the "Company"). This Amendment corrects an inadvertent error that identified the Transaction Code in column 3 for each reported transaction as "P" rather than as "S" and provides a clarifying footnote regarding the Reporting Persons' beneficial ownership of the Common Stock.
 - This transaction was effected through Spectrum. Mr. Zeff provides discretionary investment management services to Zeff Capital Offshore Fund, a class of shares of Spectrum, a company incorporated in the British Virgin Islands. In accordance with Instruction
- (2) 4(b)(iv) of Form 4, the entire amount of the Issuer's securities held by Spectrum is reported herein. Mr. Zeff disclaims, for purposes of Section 16 of the Securities Exchange Act of 1934, beneficial ownership of such securities, except to the extent of his indirect pecuniary interest therein, and this report shall not be deemed an admission that Mr. Zeff is the beneficial owner of such securities for purposes of Section 16 or for any other purposes. Each of Holding and Capital disclaims beneficial ownership over all shares held by Spectrum.
- (3) Zeff also indirectly beneficially owns 193,762 shares of TRT common stock through Capital. Zeff is the sole manager and member of Zeff Holding Company, LLC, which in turn serves as the general partner for Capital.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4