

Edgar Filing: LAKELAND BANCORP INC - Form 5

LAKELAND BANCORP INC
Form 5
February 12, 2001

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/ OMB APPROVAL /
/-----/
/ OMB Number 3235-0362 /
/ Expires February 1, 1994 /
/ Estimated average burden /
/ hours per response..... 1.0 /
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| FORM 5 |
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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20551

Check box if
no longer subject to Section 16,
Form 4 or Form 5 obligations may
continue. See Instruction 1(b)

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Filed pursuant to Section 16(a) of the Securities
Exchange Act of 1934, Section 17(a) of the
Public Utility Holding Company Act of 1935 or
Section 30(1) of the Investment Company Act of 1942

Form 3 Holdings Reported

Form 4 Transactions Reported

1. Name and Address of Reporting Person

Pier, Jr. John J

(Last) (First) (Middle)
c/o Lakeland Bancorp, Inc. 250 Oak Ridge Road

(Street)
Oak Ridge NJ 07438

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol Lakeland Bancorp, Inc. -- LBAI

3. IRS or Social Security Number of Reporting Person (Voluntary) 146-16-7006

4. Statement for Month/Year December - 2000

5. If Amendment, Date of Original Month/Year December - 1999

6. Relationship of Reporting Person to Issuer (Check all applicable)

Director Officer 10% Owner Other
(give title below) (specify below)

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0.72%

Table 1 -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (MM/ DD/ YY) | 3. Trans- action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned at End of Issuer's Fiscal Year (Instr. 3) |
|------------------------------------|---|---|---|---------------|-----------|---|
| | | | Amount | (A) or (D) | Price | |
| Lakeland Bancorp, Inc. | | | | | | |
| Common | 02/15/00 | DRP | 380 | A | \$12.5000 | |
| Common | 09/20/00 | T | 36,707 | D | | |
| Common | 11/15/00 | S/D | 1,350 | A | | |
| Common | 11/15/00 | S/D | 21 | A | | |
| | 11/15/00 | S/D | 626 | A | | |
| | 09/20/00 | T | 36,707 | A | | |
| | 02/15/00 | DRP | 28 | A | \$12.5000 | |
| | 11/15/00 | S/D | 2,066 | A | | |
| | 02/15/00 | DRP | 57 | A | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (mm/dd/yy) | 4. Transaction Code (Instr. 8) |
|--|--|--------------------------------|--------------------------------|
|--|--|--------------------------------|--------------------------------|

| | | | |
|--------------------------------|----------|----------|---|
| Stock Option Right to Purchase | \$ 9.380 | 02/09/00 | A |
|--------------------------------|----------|----------|---|

* Stock Option was granted pursuant to Company's 2000 Equity Compensation program and vests in 2

| 6. Date Exercisable and Expiration Date (mm/dd/yy) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4) | 10. |
|--|---|--|---|--------|
| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| * | 2/8/10 | Common | 25,000 | 25,000 |

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Explanation of Responses:

*1 Solely owned by John Pier

*2 Owned by John Pier in IRA

*3 Solely owned by Jane Pier, spouse of John Pier

*4 Owned by John Pier and Jane Pier, spouse of John Pier

 CODES

DRP Dividend Reinvestment Plan

S/D Stock Dividend

S/O Stock Option

T Transfer

VP Voluntary Purchase

P Purchase

PM Purchase Market

 /s/ John Pier

2/2/01

 Signature of Reporting Person

 Date

** Intentional misstatements or omissions of facts constitute Federal
 Criminal Violations.
 See 18 U.S.C. 1001 and 15 U.S.C. 7811(a).

Note: File three copies of this Form, one of which must be manually signed
 If space provided is insufficient, see Instruction 6 for procedure