

Edgar Filing: VULCAN INTERNATIONAL CORP - Form SC 13G/A

VULCAN INTERNATIONAL CORP

Form SC 13G/A

February 10, 2004

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G
(RULE 13D-102)

INFORMATION STATEMENT PURSUANT TO RULE 13D-1
UNDER THE SECURITIES EXCHANGE ACT OF 1934
(AMENDMENT NO. 3)

VULCAN INTERNATIONAL CORPORATION

(Name of Issuer)

Common Stock

(Title of Class of Securities)

929136109

(CUSIP Number)

December 31, 2003

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

CUSIP No. 929136109

Page 1 of 7 Pages

1) Names of Reporting Persons

IRS Identification No. Of Above Persons

The PNC Financial Services Group, Inc. 25-1435979

2) Check the Appropriate Box if a Member of a Group (See Instructions)

a) ☐

b) ☐

3) SEC USE ONLY

4) Citizenship or Place of Organization Pennsylvania

Number of Shares	5) Sole Voting Power	70,616
Beneficially Owned	6) Shared Voting Power	9,800
By Each Reporting	7) Sole Dispositive Power	47,978
Person With	8) Shared Dispositive Power	19,700

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9) Aggregate Amount Beneficially Owned by Each Reporting Person 80,416*

*See the response to Item 4.

10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares

See Instructions []

11) Percent of Class Represented by Amount in Row (9) 8.00

12) Type of Reporting Person (See Instructions) HC

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G
(RULE 13D-102)

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UNDER THE SECURITIES EXCHANGE ACT OF 1934
(AMENDMENT NO. 3)

VULCAN INTERNATIONAL CORPORATION

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Common Stock

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[X] Rule 13d-1(b)

[] Rule 13d-1(c)

[] Rule 13d-1(d)

CUSIP No. 929136109

Page 2 of 7 Pages

1) Names of Reporting Persons

IRS Identification No. Of Above Persons

PNC Bancorp, Inc. 51-0326854

2) Check the Appropriate Box if a Member of a Group (See Instructions)

a) []

b) []

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3) SEC USE ONLY

4) Citizenship or Place of Organization Delaware

Number of Shares	5) Sole Voting Power	51,616
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Beneficially Owned	6) Shared Voting Power	9,800
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By Each Reporting	7) Sole Dispositive Power	47,978
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Person With	8) Shared Dispositive Power	700
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9) Aggregate Amount Beneficially Owned by Each Reporting Person 61,416*

*See the response to Item 4.

10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares

See Instructions []

11) Percent of Class Represented by Amount in Row (9) 6.11

12) Type of Reporting Person (See Instructions) HC

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

SCHEDULE 13G
(RULE 13D-102)

INFORMATION STATEMENT PURSUANT TO RULE 13D-1
UNDER THE SECURITIES EXCHANGE ACT OF 1934
(AMENDMENT NO. 3)

VULCAN INTERNATIONAL CORPORATION

(Name of Issuer)

Common Stock

(Title of Class of Securities)

929136109

(CUSIP Number)

December 31, 2003

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[X] Rule 13d-1(b)

[] Rule 13d-1(c)

[] Rule 13d-1(d)

CUSIP No. 929136109

Page 3 of 7 Pages

1) Names of Reporting Persons

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IRS Identification No. Of Above Persons

PNC Bank, National Association 22-1146430

2) Check the Appropriate Box if a Member of a Group (See Instructions)

a) ☐

b) ☐

3) SEC USE ONLY

4) Citizenship or Place of Organization United States

Number of Shares	5) Sole Voting Power	51,616
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Beneficially Owned	6) Shared Voting Power	9,800
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By Each Reporting	7) Sole Dispositive Power	47,978
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Person With	8) Shared Dispositive Power	700
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9) Aggregate Amount Beneficially Owned by Each Reporting Person 61,416*

*See the response to Item 4.

10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares

See Instructions ☐

11) Percent of Class Represented by Amount in Row (9) 6.11

12) Type of Reporting Person (See Instructions) BK

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

SCHEDULE 13G

(RULE 13D-102)

INFORMATION STATEMENT PURSUANT TO RULE 13D-1

UNDER THE SECURITIES EXCHANGE ACT OF 1934

(AMENDMENT NO. 3)

VULCAN INTERNATIONAL CORPORATION

(Name of Issuer)

Common Stock

(Title of Class of Securities)

929136109

(CUSIP Number)

December 31, 2003

(Date of Event Which Requires Filing of this Statement)

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Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☒ Rule 13d-1(b)
☐ Rule 13d-1(c)
☐ Rule 13d-1(d)

CUSIP No. 666762109

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1) Names of Reporting Persons

IRS Identification No. Of Above Persons

J.J.B. Hilliard, W.L. Lyons, Inc. 61-0734935

2) Check the Appropriate Box if a Member of a Group (See Instructions)

a) ☐

b) ☐

3) SEC USE ONLY

4) Citizenship or Place of Organization Kentucky

Number of Shares	5) Sole Voting Power	19,000
Beneficially Owned	6) Shared Voting Power	-0-
By Each Reporting	7) Sole Dispositive Power	-0-
Person With	8) Shared Dispositive Power	19,000
9) Aggregate Amount Beneficially Owned by Each Reporting Person		19,000*

*See the response to Item 4.

10) Check if the Aggregate Amount in Row (9) Excludes Certain Shares

See Instructions ☐

11) Percent of Class Represented by Amount in Row (9) 1.89

12) Type of Reporting Person (See Instructions) IA

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ITEM 2(a) - NAME OF PERSON FILING:

The PNC Financial Services Group, Inc.; PNC Bancorp, Inc.;
PNC Bank, National Association; and J.J.B. Hilliard, W.L. Lyons, Inc.

ITEM 2(b) - ADDRESS OF PRINCIPAL BUSINESS OFFICE:

The PNC Financial Services Group, Inc. - One PNC Plaza,
249 Fifth Avenue, Pittsburgh, PA 15222-2707

PNC Bancorp, Inc. - 300 Delaware Avenue, Suite 304,

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Wilmington, DE 19801

PNC Bank, National Association - One PNC Plaza, 249 Fifth Avenue,
Pittsburgh, PA 15222-2707

J.J.B. Hilliard, W.L. Lyons, Inc. - 501 South 4th Avenue,
Louisville, KY 40202-2517

ITEM 2(c) - CITIZENSHIP:

The PNC Financial Services Group, Inc. - Pennsylvania

PNC Bancorp, Inc. - Delaware

PNC Bank, National Association - United States

J.J.B. Hilliard, W.L. Lyons, Inc. - Kentucky

ITEM 3 - IF THIS STATEMENT IS FILED PURSUANT TO RULE 13d-1(b), OR 13d-2(b), CHECK WHETHER THE PERSON FILING IS A:

- (a) ☐ Broker or dealer registered under Section 15 of the Exchange Act;
- (b) ☒ Bank as defined in Section 3(a)(6) of the Exchange Act;
- (c) ☐ Insurance Company as defined in Section 3(a)(19) of the Exchange Act;
- (d) ☐ Investment Company registered under Section 8 of the Investment Company Act;
- (e) ☒ An Investment Adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) ☐ An Employee Benefit Plan or Endowment Fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g) ☒ A Parent Holding Company or Control Person in accordance with Rule 13d-1(b)(1)(ii)(G);
- (h) ☐ A Savings Association as defined in Section 3(b) of the Federal Deposit Insurance Act;
- (i) ☐ A Church Plan that is excluded from the definition of an Investment Company under Section 3(c)(14) of the Investment Company Act;
- (j) ☐ Group, in accordance with Rule 13d(b)(1)(ii)(J).

If this statement is filed pursuant to Rule 13d-1(c), check this box. ☐

ITEM 4 - OWNERSHIP:

The following information is as of December 31, 2003:

- (a) Amount Beneficially Owned: 80,416 shares*
- (b) Percent of Class: 8.00
- (c) Number of shares to which such person has:
 - (i) sole power to vote or to direct the vote 70,616
 - (ii) shared power to vote or to direct the vote 9,800

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(iii) sole power to dispose or to direct the disposition of 47,978
(iv) shared power to dispose or to direct the disposition of 19,700

*Of the shares reported herein, 19,000 shares are in accounts at J.J.B. Hilliard, W.L. Lyons, Inc. With the balance of 61,416 shares in accounts at PNC Bank, National Association, all held in a fiduciary capacity.

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ITEM 7 - IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED
THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY:

Included are the following subsidiaries of The PNC Financial Services Group, Inc. - HC:

PNC Bancorp, Inc. - HC (wholly owned subsidiary of The PNC Financial Services Group, Inc.)

PNC Bank, National Association - BK (wholly owned subsidiary of PNC Bancorp, Inc.)

J.J.B. Hilliard, W.L. Lyons, Inc. - IA (indirect wholly owned subsidiary of The PNC Financial Services Group, Inc.)

ITEM 10 - CERTIFICATION:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 10, 2004

Date

By: /s/ Joan L. Gulley

Signature - The PNC Financial Services Group, Inc.

Joan L. Gulley, Vice President

Name & Title

February 10, 2004

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Date

By: /s/ Maria C. Schaffer

Signature - PNC Bancorp, Inc.
Maria C. Schaffer, Executive Vice President

Name & Title

February 10, 2004

Date

By: /s/ Joan L. Gulley

Signature - PNC Bank, National Association

Joan L. Gulley, Executive Vice President

Name & Title

February 10, 2004

Date

By: /s/ James R. Allen

Signature - J.J.B. Hilliard, W. L.

James R. Allen, President

Name & Title

AN AGREEMENT TO FILE A JOINT STATEMENT FOR
THE PNC FINANCIAL SERVICES GROUP, INC.,
PNC BANCORP, INC. AND PNC BANK, NATIONAL ASSOCIATION
WAS PREVIOUSLY FILED AS EXHIBIT A TO SCHEDULE 13G

Page 7 of 7 Pages

EXHIBIT A

AGREEMENT

February 10, 2004

The undersigned hereby agrees to file a joint statement on Schedule 13G under the Securities and Exchange Act of 1934, as amended (the "Act") in connection with their beneficial ownership of Class A common stock issued by Vulcan International Corporation.

The undersigned states that it is entitled to individually use Schedule 13G pursuant to Rule 13d-1(c) of the Act.

The undersigned is responsible for the timely filing of the statement and any amendments thereto, and for the completeness and accuracy of the information contained therein but is not responsible for the completeness or accuracy of the information concerning the other joint filers.

This Agreement applies to any amendments to Schedule 13G.

J.J.B. HILLIARD, W.L. LYONS, INC.

BY: /s/ James R. Allen

James R. Allen, President

