

Capps Kimberly A  
 Form 4  
 January 18, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Capps Kimberly A

2. Issuer Name and Ticker or Trading Symbol  
 SOUTHERN MISSOURI BANCORP, INC. [SMBC]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)  
 \_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 EVP, Chief Operations Officer

(Last) (First) (Middle)  
 SOUTHERN MISSOURI BANCORP, INC., 2991 OAK GROVE ROAD  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 01/16/2018

POPLAR BLUFF, MO 63901  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	01/16/2018		A <sup>(1)</sup>	500 A	\$ 0 54,866	D	
Common Stock					42,368.42 <sup>(2)</sup>	I	By 401(k)
Common Stock					360	I	Held by daughter
Common Stock					360	I	Held by son



## Edgar Filing: Capps Kimberly A - Form 4

- The shares are scheduled to vest over a five-year period beginning February 9, 2019, with up to 20% of the shares vesting on that date and
- (1) on each of the next four anniversaries of that date based on the extent to which the Company's annualized return on average assets over the 12 calendar quarters ending immediately prior to the applicable vesting date exceeds a threshold level.
  - (2) Reflects 401(k) contributions that have occurred since the date of the reporting person's last ownership report.
  - (3) The options become exercisable in 20% installments over a five year period with the first installment vesting on 1/19/2011. Each remaining installment vests annually thereafter.
  - (4) The options become exercisable in 20% installments over a five year period with the first installment vesting on 1/16/2019. Each remaining installment vests annually thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.