

NATIONAL SECURITY GROUP INC
Form 4
January 13, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Abernathey Andrew J.

2. Issuer Name and Ticker or Trading Symbol
NATIONAL SECURITY GROUP INC [NSEC]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
3523 45TH STREET SOUTH,
SUITE 100,
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/11/2016

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

FARGO, ND 58104

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/11/2016		P		150	A	\$ 17	252,409	I	By Meridian Investments, LLC <u>(1)</u>
Common Stock	10/18/2016		P		595	A	\$ 17	253,004	I	By Meridian Investments, LLC <u>(1)</u>
Common Stock	10/19/2016		P		668	A	\$ 16.9999	253,672	I	By Meridian Investments, LLC <u>(1)</u>
Common	11/02/2016		P		248	A	\$	253,920	I	By Meridian

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Stock						17.1879			Investments, LLC ⁽¹⁾
Common Stock	11/08/2016		P	1,000	A	\$ 17.6553	254,920	I	By Meridian Investments, LLC ⁽¹⁾
Common Stock	11/08/2016		P	359	A	\$ 17.7418	255,279	I	By Meridian Investments, LLC ⁽¹⁾
Common Stock	11/14/2016		P	6,556	A	\$ 17.8235	261,835	I	By Meridian Investments, LLC ⁽¹⁾
Common Stock	11/14/2016		P	244	A	\$ 18.8295	262,079	I	By Meridian Investments, LLC ⁽¹⁾
Common Stock	11/14/2016		P	128	A	\$ 18.9	262,207	I	By Meridian Investments, LLC ⁽¹⁾
Common Stock	12/01/2016		P	610	A	\$ 18.4777	262,817	I	By Meridian Investments, LLC ⁽¹⁾
Common Stock	12/19/2016		P	385	A	\$ 18.0438	263,202	I	By Meridian Investments, LLC ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Abernathey Andrew J. 3523 45TH STREET SOUTH, SUITE 100 FARGO, ND 58104		X		
Meridian Investments, LLC C/O ANDREW J. ABERNATHEY 3523 45TH STREET SOUTH, SUITE 100 FARGO, ND 58104		X		

Signatures

/s/ Andrew J. Abernathey	01/06/2017
**Signature of Reporting Person	Date
/s/ Andrew J. Abernathey as President of Meridian Investments, LLC	01/06/2017
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Andrew Abernathey is the President and sole director of Meridian Investments, LLC and holds sole voting and dispositive power over the securities held by Meridian Investments, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.