

HOME BANCSHARES INC
Form 4
January 26, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Engelkes Jack

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
01/25/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	12/08/2015		G	V 150 D \$ 0	62,894.406 ⁽¹⁾ ₍₂₎	D	
Common Stock - Restricted	01/25/2016		A	1,000 ₍₃₎ A \$ 0	2,000 ₍₂₎ ₍₄₎ ₍₅₎	D	
Common Stock	01/25/2016		M	2,136 A \$ 5.33	65,030.406	D	
Common Stock					3,123.173 ₍₆₎	I	Held in Simple IRA
						I	

Edgar Filing: HOME BANCSHARES INC - Form 4

Common Stock	96,128.9693 <u>(7)</u>		Owned solely by wife
Common Stock	22,359.696	I	Custodian for daughters
Common Stock	200	I	Held in wife's IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 5.33	01/25/2016		M	2,136	<u>(8)</u>	<u>(8)</u>	Common Stock	2,136
Stock Option	\$ 34.25					<u>(9)</u>	04/15/2025	Common Stock	10,000
Stock Option	\$ 4.21					12/31/2007	12/31/2017	Common Stock	712
Stock Option	\$ 4.92					<u>(10)</u>	<u>(10)</u>	Common Stock	1,425
Stock Option	\$ 8.6					<u>(11)</u>	01/09/2018	Common Stock	2,376

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X

Engelkes Jack
P.O. BOX 966
CONWAY, AR 72033

Signatures

/s/Jack Engelkes by LaMonica
Johnston

01/26/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 286.357 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (2) The reporting person had 666 shares vest since the last filing
- (3) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.
- (4) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (5) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (6) Includes 18.960 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (7) Includes 49.212 shares acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.
- (8) 20% of the issued option is remaining. The 20% of the remaining option became exercisable on December 31, 2009 and expires on December 31, 2019.
- (9) The option is exercisable in five equal annual installments. The first installment becomes exercisable on April 16, 2016.
40% of the issued option is remaining. The first 20% of the remaining option became exercisable on December 31, 2007 and expires on
- (10) December 31, 2017. The last 20% of the remaining option became exercisable on December 31, 2008 and expires on December 31, 2018.
- (11) The option is exercisable in five equal annual installments. The first installment became exercisable on January 10, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.