

Edgar Filing: Pioneer Floating Rate Trust - Form N-PX

Pioneer Floating Rate Trust  
Form N-PX  
August 16, 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX  
ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANIES

Investment Company Act file number 811-21654

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Pioneer Floating Rate Trust

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(Exact name of registrant as specified in charter)

60 State Street Boston, MA 02109

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(Address of principal executive offices)

Terrence J. Cullen  
60 State Street  
Boston, MA 02109

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(Name and address of agent for service)

Registrant's telephone number, including area code: (617) 742-7825

Date of fiscal year end: November 30

Date of reporting period: July 1, 2017 to June 30, 2018

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Pioneer Floating Rate Trust

By (Signature and Title) /s/ Lisa Jones

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Lisa Jones, Chief Executive Officer  
& President

Date: August 15, 2018

===== Floating Rate Trust =====

BLACKROCK FLOATING RATE INCOME STRATEGIES FUND, INC.

Ticker: FRA Security ID: 09255X100  
 Meeting Date: JUL 25, 2017 Meeting Type: Annual  
 Record Date: MAY 30, 2017

| #   | Proposal                             | Mgt Rec | Vote Cast | Sponsor    |
|-----|--------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Michael J. Castellano | For     | For       | Management |
| 1.2 | Elect Director Cynthia L. Egan       | For     | For       | Management |
| 1.3 | Elect Director Catherine A. Lynch    | For     | For       | Management |

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 DELTA AIR LINES, INC.

Ticker: DAL Security ID: 247361702  
 Meeting Date: JUN 29, 2018 Meeting Type: Annual  
 Record Date: MAY 02, 2018

| #  | Proposal   | Mgt Rec | Vote Cast | Sponsor    |
|----|--|---------|-----------|------------|
| 1a | Elect Director Edward H. Bastian                               | For     | For       | Management |
| 1b | Elect Director Francis S. Blake                                | For     | For       | Management |
| 1c | Elect Director Daniel A. Carp                                  | For     | For       | Management |
| 1d | Elect Director Ashton B. Carter                                | For     | For       | Management |
| 1e | Elect Director David G. DeWalt                                 | For     | For       | Management |
| 1f | Elect Director William H. Easter, III                          | For     | For       | Management |
| 1g | Elect Director Michael P. Huerta                               | For     | For       | Management |
| 1h | Elect Director Jeanne P. Jackson                               | For     | For       | Management |
| 1i | Elect Director George N. Mattson                               | For     | For       | Management |
| 1j | Elect Director Douglas R. Ralph                                | For     | For       | Management |
| 1k | Elect Director Sergio A. L. Rial                               | For     | For       | Management |
| 1l | Elect Director Kathy N. Waller                                 | For     | For       | Management |
| 2  | Advisory Vote to Ratify Named Executive Officers' Compensation | For     | For       | Management |

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3 Ratify Ernst & Young LLP as Auditors For For Management

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EATON VANCE FLOATING-RATE INCOME TRUST

Ticker: EFT Security ID: 278279104  
Meeting Date: APR 09, 2018 Meeting Type: Annual  
Record Date: JAN 09, 2018

| #  | Proposal                            | Mgt Rec | Vote Cast | Sponsor    |
|----|-------------------------------------|---------|-----------|------------|
| 1a | Elect Director William H. Park      | For     | For       | Management |
| 1b | Elect Director Susan J. Sutherland  | For     | For       | Management |
| 1c | Elect Director Harriett Tee Taggart | For     | For       | Management |

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FIRST TRUST SENIOR FLOATING RATE INCOME FUND II

Ticker: FCT Security ID: 33733U108  
Meeting Date: SEP 11, 2017 Meeting Type: Annual  
Record Date: JUL 25, 2017

| #   | Proposal                           | Mgt Rec | Vote Cast | Sponsor    |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Director Richard E. Erickson | For     | For       | Management |
| 1.2 | Elect Director Thomas R. Kadlec    | For     | For       | Management |

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INVESCO SENIOR INCOME TRUST

Ticker: VVR Security ID: 46131H107  
Meeting Date: SEP 08, 2017 Meeting Type: Annual  
Record Date: JUN 16, 2017

| #    | Proposal                             | Mgt Rec | Vote Cast | Sponsor    |
|------|--------------------------------------|---------|-----------|------------|
| 1a.1 | Elect Director Teresa M. Ressel      | For     | For       | Management |
| 1a.2 | Elect Director Larry Soll            | For     | For       | Management |
| 1a.3 | Elect Director Philip A. Taylor      | For     | For       | Management |
| 1a.4 | Elect Director Christopher L. Wilson | For     | For       | Management |

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NRG ENERGY, INC.

Ticker: NRG Security ID: 629377508  
Meeting Date: APR 26, 2018 Meeting Type: Annual

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Record Date: MAR 01, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor     |
|----|---|---------|-----------|-------------|
| 1a | Elect Director E. Spencer Abraham                                 | For     | For       | Management  |
| 1b | Elect Director Kirbyjon H. Caldwell -<br>Withdrawn Resolution     | None    | None      | Management  |
| 1c | Elect Director Matthew Carter, Jr.                                | For     | For       | Management  |
| 1d | Elect Director Lawrence S. Coben                                  | For     | For       | Management  |
| 1e | Elect Director Heather Cox  | For     | For       | Management  |
| 1f | Elect Director Terry G. Dallas                                    | For     | For       | Management  |
| 1g | Elect Director Mauricio Gutierrez                                 | For     | For       | Management  |
| 1h | Elect Director William E. Hantke                                  | For     | For       | Management  |
| 1i | Elect Director Paul W. Hobby                                      | For     | For       | Management  |
| 1j | Elect Director Anne C. Schaumburg                                 | For     | For       | Management  |
| 1k | Elect Director Thomas H. Weidemeyer                               | For     | For       | Management  |
| 1l | Elect Director C. John Wilder                                     | For     | For       | Management  |
| 2  | Advisory Vote to Ratify Named<br>Executive Officers' Compensation | For     | For       | Management  |
| 3  | Ratify KPMG LLP as Auditors                                       | For     | For       | Management  |
| 4  | Report on Political Contributions                                 | Against | Abstain   | Shareholder |

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SOLOCAL GROUP

Ticker: LOCAL Security ID: F8569A131  
Meeting Date: MAR 09, 2018 Meeting Type: Special  
Record Date: MAR 06, 2018

| #  | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|----|---|---------|-----------|------------|
| 1  | Ratify Appointment of Pierre Danon as<br>Director                                       | For     | For       | Management |
| 2  | Ratify Appointment of Marie-Christine<br>Levet as Director                              | For     | For       | Management |
| 3  | Approve Compensation of Pierre Danon,<br>Chairman of the Board since Sept. 5,<br>2017   | For     | For       | Management |
| 4  | Approve Compensation of Eric<br>Boustouller, CEO since Oct.11, 2017                     | For     | Against   | Management |
| 5  | Approve Compensation of Jean-Pierre<br>Remy, CEO until June 30, 2017                    | For     | For       | Management |
| 6  | Approve Compensation of Robert de<br>Metz, Chairman of the Board until Sept.<br>5, 2017 | For     | For       | Management |
| 7  | Approve Compensation of Christophe<br>Pingard, Vice-CEO until Dec. 15, 2017             | For     | For       | Management |
| 8  | Approve Remuneration Policy of CEO  | For     | Against   | Management |
| 9  | Approve Remuneration Policy of<br>Chairman of the Board                                 | For     | For       | Management |
| 10 | Approve Termination Package of Eric   | For     | For       | Management |

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Boustouller

|    |   |     |         |            |
|----|---|-----|---------|------------|
| 11 | Approve Termination Package of Christophe Pingard   | For | For     | Management |
| 12 | Authorize up to 9,200,000 Shares for Use in Restricted Stock Plans  | For | Against | Management |
| 13 | Authorize up to 1,000,000 Shares for Use in Restricted Stock Plans Reserved for Eric Boustouller, CEO   | For | Against | Management |
| 14 | Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 17 Million                  | For | For     | Management |
| 15 | Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 5.8 Million              | For | For     | Management |
| 16 | Approve Issuance of Shares up to 20 Percent of Issued Capital Per Year for a Private Placement, up to Aggregate Nominal Amount of EUR 5.8 Million | For | For     | Management |
| 17 | Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote under Items 14 to 16    | For | For     | Management |
| 18 | Authorize Capitalization of Reserves of Up to EUR 40 Million for Bonus Issue or Increase in Par Value   | For | For     | Management |
| 19 | Authorize Capital Issuances for Use in Employee Stock Purchase Plans  | For | Against | Management |
| 20 | Authorize Filing of Required Documents/Other Formalities  | For | For     | Management |
| 21 | Elect Lucile Ribot as Director  | For | For     | Management |

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SOLOCAL GROUP

Ticker: LOCAL Security ID: F8569A131  
Meeting Date: JUN 25, 2018 Meeting Type: Annual  
Record Date: JUN 20, 2018

| # | Proposal  | Mgt Rec | Vote Cast | Sponsor    |
|---|---|---------|-----------|------------|
| 1 | Approve Financial Statements and Statutory Reports              | For     | For       | Management |
| 2 | Approve Consolidated Financial Statements and Statutory Reports | For     | For       | Management |
| 3 | Approve Allocation of Income and Absence of Dividends           | For     | For       | Management |
| 4 | Approve Auditors' Special Report on Related-Party Transactions  | For     | For       | Management |

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- 5 Authorize Repurchase of Up to 10 For For Management  
Percent of Issued Share Capital
- 6 Authorize Filing of Required For For Management  
Documents/Other Formalities

===== END NPX REPORT