### LIPKIN GERALD H

Form 5

January 18, 2018

# FORM 5

#### **OMB APPROVAL**

	UNITED STATES SECURITIES AND EXCHANGE COMMISSION	OMB Numb
Check this box if no longer subject	Washington, D.C. 20549	Expire
to Section 16.	A NINTER A CONTROLLER OF CHARGES IN DENIERICIAL	Fetim

3235-0362 nber: January 31, ires:

to Sect Form 4 or Form 5 obligations may continue. See Instruction

2005 Estimated average burden hours per

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported

1. Name and Address of Reporting Person * LIPKIN GERALD H			2. Issuer Name and Ticker or Trading Symbol VALLEY NATIONAL BANCORP [VLY]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)
(Last) 1455 VALLEY	(First) Y ROAD	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/28/2017	_X_ Director 10% Owner Self-width of the control of the
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting  (check applicable line)

WAYNE, NJÂ 07470-

(State)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(City)	(State) (	Table Table	e I - Non-Deri	vative Seco	urities	Acqui	red, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit (A) or Dis (D) (Instr. 3, 4)	sposed 4 and 5  (A) or	of 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common		^		Amount	(D)	Price			^
Stock	12/28/2017	Â	G	10,000	D	\$0	297,121	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	444,760	I	Wife
Common Stock (401k Plan)	Â	Â	Â	Â	Â	Â	57	D	Â

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Common Stock (Roth IRA)	Â	Â	Â	Â	Â	Â	68,889	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	6,946	I	Spouse - Roth IRA
Common Stock (with Spouse)	Â	Â	Â	Â	Â	Â	154	D	Â
Preferred Stock	Â	Â	Â	Â	Â	Â	2,000	I	Wife
Preferred Stock (Roth IRA with Spouse)	Â	Â	Â	Â	Â	Â	2,750	I	Wife
Preferred Stock (Roth IRA)	Â	Â	Â	Â	Â	Â	1,200	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. of D Se O En Is Fi (In
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
LIPKIN GERALD H 1455 VALLEY ROAD WAYNE, NJ 07470-	ÂX	Â	Chairman and CEO	Â			

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## **Signatures**

/s/ GERALD H. LIPKIN 01/18/2018

\*\*Signature of Reporting Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings under the Valley 401K Plan has been updated to reflect reporting person's balance in the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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