#### Edgar Filing: ADENT JOHN EDWARD - Form 3

#### ADENT JOHN EDWARD

Form 3 July 25, 2017

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Estimated average burden hours per

response...

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **ADENT JOHN EDWARD** 

(Last)

(First)

(Middle)

(Month/Day/Year)

Statement

07/17/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NEOGEN CORP [NEOG]

4. Relationship of Reporting Person(s) to Issuer

Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

620 LESHER PLACE

(Street)

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

\_X\_\_ Officer (give title below) (specify below) Chief Executive Officer

Form filed by More than One

Reporting Person

LANSING, MIÂ 48912

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

10% Owner

Other

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date

Exercisable Date

Amount or Title Number of

Shares

Derivative Security

Security: Direct (D) or Indirect

(I) (Instr. 5)

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Common Stock (Right to Buy)  $\hat{A} \stackrel{(1)}{=} 08/17/2022 \stackrel{Common}{Stock} 75,000 $68.39$  D  $\hat{A}$ 

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ADENT JOHN EDWARD 620 LESHER PLACE LANSING, MIÂ 48912

Â Chief Executive Officer Â

**Signatures** 

Steven J Quinlan (Attorney in Fact) 07/25/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options were granted on 7/17/2017, have five year lives, and vest in five equal annual installments on each of the first five anniversary dates of the grant

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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