## Edgar Filing: NEOGEN CORP - Form 3

**NEOGEN CORP** 

Form 3

December 09, 2014

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

0.5

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

CALK RICHARD E JR

(Last)

(First)

(Middle)

(Month/Day/Year)

12/08/2014

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NEOGEN CORP [NEOG]

4. Relationship of Reporting Person(s) to Issuer

Director

\_X\_\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

620 LESHER PLACE

(Street)

(Check all applicable)

6. Individual or Joint/Group 10% Owner Other

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

(give title below) (specify below) Form filed by More than One President & COO Reporting Person

LANSING, MIÂ 48912

(City) (State)

1. Title of Security

(Instr. 4)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

Title

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

Price of

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date

Amount or Number of Shares

Derivative Security

Security: Direct (D) or Indirect

Derivative

(I) (Instr. 5)

Exercisable Date

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Common Stock (Right to Buy)

(1)

01/08/2020 Common

13,000

\$ 43.67

D Â

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

CALK RICHARD E JR 620 LESHER PLACE LANSING, MIÂ 48912

Â

President & COO Â

**Signatures** 

Steven J. Quinlan (Attorney in Fact)

12/09/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options were granted 12/8/2014, have five year lives, and vest in equal annual installments on the anniversary date of the grant Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2