HUMANA INC Form 144 June 19, 2018

OMB APPROVAL **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION **OMB** Washington, D.C. 20549 Number: 3235-0101 Expires: December 31, 2018 **FORM 144** Estimated average burden NOTICE OF PROPOSED SALE OF SECURITIES hours per PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933 response. 1.00 SEC USE ONLY Transmit for filing 3 copies of this form concurrently with either ATTENTION: DOCUMENT SEQUENCE NO. placing an order with a broker to execute sale or **CUSIP NUMBER** executing a sale directly with a market maker. (c) S.E.C. (b) IRS 1 (a) NAME OF ISSUER (Please type or print) IDENT. FILWORK LOCATION Humana Inc. NO. NO. 61-06475381-5975 1 (d) ADDRESS OF ISSUER (e) TELEPHONE **STREET ZIP CODE CITY** STATE AREA CODE **NUMBER** 580-1000 500 West Main 502 KY Street Louisville 40202 (b) RELATIONASHDIRESS STREET 2 (a) NAME OF PERSON FOR WHOSE TO **CITY** STATE **ZIP** ACCOUNT THE SECURITIES ARE TO BE **ISSUER**ODE **SOLD** Segment Timothy A. Wheatley President, 500 W. Main Street Louisville Retail KY 40202 INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number and the S.E.C. File Number SEC USE ONLY (c) (e) (g) Number Number Name (b) (d) of of Shares of3 (a) Aggistrantes Valuether (See Instr. 3(f))
(See Units (MO) Each or Other Title of the Name and Address of Each Units Securities Broker Through Whom the Class of Broker-Dealer To Be Exchange Securities Securities Are To Be Offered or InstrOutstanding DAY YR.) File Number Sold (See To Be Sold Each Market Maker who is (See instr. 3(d) (See Acquiring the Securities Instr. 3 3 Instr. (c)) g)) 3(e)) \$3,512,017.66 based Charles Schwab & Co., Inc. 137,682,171 **NYSE** 9899 Schwab Way 11,468 as of 6/19/2018 Common 06/18/2018 Lone Tree, CO 80124 of \$306.245 **INSTRUCTIONS:** 3. (a) Title of the class of securities to be sold 1. (a) Name of Issuer (b) Name and Address of each broker through whom the securities are in

(b) Issuer's I.R.S. Identification Number

- (c) Issuer's S.E.C. file number, if any
- (c) Number of shares or other units to be sold (if debt securities, give the agg
- (d) Issuer's address, including zip code
- (d) Aggregate market value of the securities to be sold as of a specified d
- (e) Issuer's telephone number, including area co(te) Number of shares or other units of the class outstanding, or if debt secur by the most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold
 - (g) Name of each securities exchange, if any, on which the securities are int
- 2. (a) Name of person for whose account the securities are to be sold
 - (b) Such person's relationship to the issuer (e.g., officer, director, 10%

stockholder, or member of immediate family of any of the foregoing)

(c) Such person's address, including zip code
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.
SEC 1147 (08-07)

TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

> Name of the Person from Whom

Date youNature of Acquisition Transaction

Acquainedunt of (if Securities Acquired Date of **Payment**

Nature of Payment

Acquired

also give date

gift,

donor acquired

6/19/2021/8Option Exercise Common 6/19820cl8Option Exercise Common

Title of

the Class

Issu&r136 Issu8r332

6/19/2018 6/19/2018 Cash Cash

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the

INSTRUCTIONS insideration consisted of any note

or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Amount of

Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold Gross Proceeds

REMARKS:

INSTRUCTIONS:

ATTENTION:

See the definition of "person" in paragraph (a) of

The person for whose account the securities to which this notice Rule 144. Information is to be given not only as to relates are to be sold hereby represents by signing this notice that the person for whose account the securities are to be he does not know any material adverse information in regard to sold but also as to all other persons included in that the current and prospective operations of the issuer of the definition. In addition, information shall be given securities to be sold which has not been publicly disclosed. If

as to sales by all persons whose sales are required each person has adopted a written trading plan or given trading by paragraph (e) of Rule 144 to be aggregated with instructions to satisfy Rule 10b5-1 under the Exchange Act, by sales for the account of the person filing this notice. signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

6/19/2018 /s/ Timothy A. Wheatley DATE OF NOTICE (SIGNATURE)

DATE OF PLAN OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001) SEC 1147 (02-08)