Edgar Filing: ALCOA INC. - Form 4

ALCOA INC. Form 4 January 21, 2016 FORM 4 January 21, 2016 FORM 4 Nuntred STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).									
(Print or Type Responses)									
1. Name and A Oplinger W	. Issuer Name and Ticker or Trading mbol LCOA INC. [AA]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 390 PARK	3. Date of Earliest Transaction Month/Day/Year))1/19/2016				Director 10% Owner X Officer (give title Other (specify below) below) Executive VP & CFO				
NEW YOR	If Amendment, D led(Month/Day/Yea	-	1		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City)	(State)	(Zip)	Table I - Non-	Derivative	Secur	ities Ac	quired, Disposed of	, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed h/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/19/2016		F	13,804	D	\$ 6.74	251,164.3632 (1)	D	
Common Stock	01/19/2016		F	15,123	D	\$ 6.74	236,041.3632 (1)	D	
Common Stock	01/19/2016		F	7,817	D	\$ 6.74	228,224.3632 (1)	D	
Common Stock							4,766	Ι	By Company 401(k) Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (right to buy)	\$ 6.74	01/19/2016		A	150,950	(2)	01/19/2026	Common Stock	150,95

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Oplinger William F 390 PARK AVENUE NEW YORK,, NY 10022			Executive VP & CFO					
Signatures								
Margaret Lam (Assistant Secre attorney	power of	01/21/2016						
**Signature of Reportin	g Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Alcoa Dividend Reinvestment Plan.
- (2) The option vests in three equal annual installments beginning January 19, 2017.
- (3) Employee stock options are granted without payment of consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.