### Edgar Filing: NEW JERSEY RESOURCES CORP - Form 4

NEW JERSEY RESOURCES CORP Form 4 November 13, 2015

Stock

#### OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DOWNES LAURENCE M Issuer Symbol NEW JERSEY RESOURCES CORP (Check all applicable) [NJR] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner Other (specify X\_Officer (give title (Month/Day/Year) below) below) C/O NEW JERSEY RESOURCES 11/10/2015 Chairman, President & CEO CORPORATION, 1415 WYCKOFF ROAD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WALL, NJ 07719 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 7. Nature 6. TransactionDisposed of (D) of Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount Common 342,691.097 11/10/2015 9,897 D \$0 D M (1) (2) (3) (4) Stock Common S 11/10/2015 F 4.378 D 338,313.097 D 30.03 Stock Common 373,810.851 11/10/2015 35,497.754 D Μ А \$0 Stock (5) (6) Common 11/10/2015 F D 357,037.851 16,773 D

30.03

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of 6. Date Exercisa orDerivative Expiration Date Securities (Month/Day/Ye Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and Am Underlying Sect (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Performance Shares	\$ 0	11/10/2015		D	9,264.468	11/10/2015	11/10/2015	Performance Shares

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships				
I G G G G G G G G G G G G G G G G G G G	Director	10% Owner	Officer	Other	
DOWNES LAURENCE M C/O NEW JERSEY RESOURCES CORPORATION 1415 WYCKOFF ROAD WALL, NJ 07719	Х		Chairman, President & CEO		
Signatures					
Rhonda M. Figueroa - Attorney-in-Fact (POA on File)	11/13/20	15			
**Signature of Reporting Person	Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The performance-based restricted shares previously reported and granted on 12/19/13 are forfeited due to the applicable performance goal for the fiscal year ending September 30, 2015, not being met.
- (2) Total reflects a two for one stock split on March 3, 2015.
- (3) Total includes shares held in the New Jersey Resources Corporation (NJR) Employee Stock Ownership Plan, which include reinvested dividends through the NJR Dividend Reinvestment Plan (DRP).
- (4) Total includes an accrual of 280 shares of New Jersey Resources Corporation (NJR) Common Stock through the NJR Dividend Reinvestment Plan (DRP).

Represents number of shares, which vested at 120% of the target (plus accrued dividends) after a 33-month period beginning on January 1, 2013, upon certification by the Leadership Development and Compensation Committee of New Jersey Resources Corporation's (NJR)

(5) If 2015, upon certification by the Ecadership Development and compensation commutee of New Sersey Resources Corporations (NJR) performance versus the applicable performance goals. Performance shares converted 1 for 1 into shares of NJR Common Stock. There is no purchase or sale price upon vesting of the Performance Shares.

(6) Total includes an accrual of 5602.710 shares of New Jersey Resources Corporation (NJR) Common Stock through the NJR Dividend Reinvestment Plan (DRP).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.