Edgar Filing: Muilenburg Dennis A - Form 4

Muilenburg I	Dennis A												
Form 4													
March 06, 20	19												
										OMB APPROVAL			
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287				
Check this								Expires:	January 31,				
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI						NERSHIP OF		2005					
Section 10		SECURITIES								Estimated a burden hou	0		
Form 4 or								response	•				
Form 5	Filed p	pursuant to	Section 10	6(a) of	the	Securiti	es Ex	cchang	ge Act of 1934,				
obligation may conti				•		•			f 1935 or Sectio	n			
See Instru		30(h)) of the Inv	vestme	nt C	Company	y Act	of 19	40				
1(b).													
(Print or Type R	lesponses)												
1 Mana and A	ddaese of Domost								5 Deletienshin et		(-) +-		
1. Name and Address of Reporting Person [*] Muilenburg Dennis A 2. Issuer Name and Ticker or Tradi					l'radın	g	5. Relationship of Reporting Person(s) to Issuer						
Muilenburg Dennis A Symb				•					155401				
			CATER	CATERPILLAR INC [CAT]					(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of	3. Date of Earliest Transaction									
				(Month/Day/Year)					X_ Director10% Owner				
			03/04/20)19					Officer (give title Other (specify below)				
100									,	,			
				I. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
				th/Day/Y	ear)				Applicable Line)				
									_X_Form filed by Form filed by M				
DEERFIELI	D, IL 60015								Person		porting		
(City)	(State)	(Zip)	Table	e I - Non	ı-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of	2. Transaction	Date 2A. De	emed	3.		4. Securi	ties		5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Y	on Date, if TransactionAcquired (A) or					r	Securities	Form: Direct	Indirect			
(Instr. 3)		CodeDisposed of (D)/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)					·	Beneficially		Beneficial			
		(Month/Day/Year)				(Instr. 3,	4 and	5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
									Reported	(IIIsu: 4)	(1150.4)		
							(A)		Transaction(s)				
				Code	V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common	0010110010				,				10.066(1)	D			
Stock	03/04/2019			А		1,084	А	\$0	12,966 <u>(1)</u>	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	;	Date	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
Fg	Director	10% Owner	Officer	Other			
Muilenburg Dennis A 510 LAKE COOK ROAD, SUITE 100 DEERFIELD, IL 60015	Х						
Signatures							
/s/ Barbara Thomas, POA for Dennis A. Muilenburg	03/06/2019						
**Signature of Reporting Person		I	Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes adjustments for dividends accrued.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.