Edgar Filing: ESCALADE INC - Form 4/A

ESCALADE INC

Form 4/A										
March 02, 201	Л								-	PPROVAL
	UNITED	STATES		RITIES . shingtor				E COMMISSION	N OMB Number:	3235-0287
Check this if no longer	MENT OI	F CHANGES IN BENEFICIAL OWNERSHIP OF					Expires:	January 31, 2005		
subject to Section 16. Form 4 or		SECURITIES					Estimated a burden hou response	irs per		
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).										
(Print or Type Re	esponses)									
1. Name and Address of Reporting Person <u>*</u> Williams Edward E			2. Issuer Name and Ticker or Trading Symbol				rading	5. Relationship of Reporting Person(s) to Issuer		
(14)	(Einst)	M. 1.11.)	ESCALADE INC [ESCA]				(Check all applicable)			
(Last) (First) (Middle) PO BOX 50			3. Date of Earliest Transaction(Month/Day/Year)02/26/2016					X_ Director 10% Owner Officer (give title Other (specify below) below)		
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year) 03/01/2016			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
	EITY, MO 6301	.9						Person	More than One R	eporting
(City)	(State)	(Zip)	Tab	ole I - Non-	Deriva	ative S	ecurities A	Acquired, Disposed	of, or Beneficia	lly Owned
	. Transaction Date Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transactic Code (Instr. 8)	onAcqu Disp	osed of r. 3, 4 a (A) or f (D) and 5) A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Pamindar: Pana	t on a concrete lin	a far aaah al	oss of soo	Code V		,	D) Price			
Kenninger: Kepoi	t on a separate lin	e for each cl		untres dene	Pe in re	erson: Iforma equire	s who res ition con d to resp	or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)

number. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriv
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Inst

	Derivative Security			(A) or Disposed of (D) (Instr. 3, 4, and 5)						
			Code	V	(A) (E	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(1)</u>	02/27/2016	М		1,0 	000 2)	(3)	(3)	Common Stock	1,000

Reporting Owners

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Williams Edward E PO BOX 50 CRYSTAL CITY, MO 63019	Х			
0.				

Signatures

/s/Edward	03/02/2016		
Williams			
**Signature of	Date		

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units (RSUs) converted into common stock on a one-for-one basis. Each RSU represents a right to receive one share of ESCA common stock granted pursuant to the Escalade, Incorporated 2007 Incentive Plan.
- (2) In the initial Form 4 filed on March 1, 2016 relating to the settlement of 1,000 RSUs on February 27, 2016, this number was inserted inadvertently under the (A) acquisition column when it should have been inserted under the (D) disposition column.
- On February 27, 2015, the reporting person was granted 2,000 RSUs which vested one half on February 27, 2016, and were settled in shares of common stock. The remaining 1,000 RSUs will vest on February 27, 2017 provided the reporting person remains a director through that date.

Remarks:

This Amendment is being filed solely to correct the error in the initial filing as described in Footnote 2. All other information of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.