Edgar Filing: ESCALADE INC - Form 4

June 09, 2015 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 4 or Form 4 or Form 4 or Form 5 or any continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 (Last) (First) (Middle) 3. Date of Earliest Transaction High Address of Reporting Person 2 (Last) (First) (Middle) 3. Date of Earliest Transaction (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Month/Day/Year) (Month/Day	ESCALADI	E INC									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Washington, D.C. 20549 Mamber: 2020 Support Check this box is no ject to Section 16. Form 4 or obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Statement of Securities Exchange Act of 1934, 30(h) of the Public Utility Holding Company Act of 1935 or Section 16(b). Statement of Securities Exchange Act of 1934, 30(h) of the Public Utility Holding Company Act of 1935 or Section 16(b). Statement of Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 Statement of Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person 1. (Law) 2. Issuer Name and Ticker or Trading Symbol ESCALADE INC [ESCA] S. Relationship of Reporting Person(s) to Issuer (Law) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (Law) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (Iast) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (City) (Street) 4. If Amendment, Date Original Filed (Month/Day/Year) 5. Amount of Code (Instr. 3) 6. Morth/Group Filing(Check Applicable Line) (Month/Day/Year) 7. Nature of Ownership Formi	Form 4	-									
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Washington, D.C. 20549 Number: 3235-0287 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 5 Expires: 2007 Section 16. SECURITIES Expires: 2007 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 S. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer 1.1634 SERAMA DRIVE (Middle) 3. Date of Earliest Transaction (Month/Day/Year) -X_Director below) 10% Owner control (give tilde cipyet the below) (City) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) -X_Form filed by One Reporting Person Person ST LOUIS, MO 63131 Cap 3. 4. Securities Acquired (A) 5. Anount of Security 6. Owner file by Owned (Month/Day/Year) (City) (State) 2.A. Deemed 3. 4. Securities Acquired (A) 5. Anount of Security 6. Ownership Porm filed by Owned (City)	FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION						OMMISSION				
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Code V Amount (D) Price (Instr. 3 and 4) \$											
Common				Code V	Amount				(11041-1)		
Stock 00/09/2015 S 5,000 D 18.5688 /6,985 D		06/09/2015		S	3,000	D	18.5688	76,983	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 0	Director	10% Owner	Officer	Other			
Baalmann Richard Fenton JR 11634 SERAMA DRIVE ST LOUIS, MO 63131	Х						
Signatures							
/s/ Richard Baalmann, Jr.	06/09/201	15					

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.61
 (1) to \$18.75, inclusive. The reporting person undertakes to provide to Escalade Incorporated, any security holder of Escalade Incorporated or the staff of the Securities and Exchange Commission, upon request full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.