Marcus Gregory S Form 4 September 12, 2018

Form 4 or

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

(Middle)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Last)

THE MARCUS

(Print or Type Responses)

1. Name and Address of Reporting Person \* Marcus Gregory S

(First)

**WISCONSIN AVE., SUITE 1900** (Street)

Symbol

MARCUS CORP [MCS]

(Month/Day/Year)

3. Date of Earliest Transaction

09/10/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner X\_ Officer (give title Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### MILWAUKEE, WI 53202

CORPORATION, 100 E.

(City)	(State)	(Zip) Tal	ole I - Non-	-Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A) for Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/10/2018		M	32,504	A	\$ 13.34	194,687	D	
Common Stock	09/10/2018		M	22,496	A	\$ 11.89	217,183	D	
Common Stock	09/10/2018		S	55,000	D	\$ 40.8806 (1)	162,183	D	
Common Stock	09/11/2018		M	14,093	A	\$ 11.89	176,276	D	
	09/11/2018		S	14,093	D		162,183	D	

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Common					\$			
Stock					41.0014 (2)			
Common Stock	09/12/2018	M	10,000	A	\$ 13.34	172,183	D	
Common Stock	09/12/2018	M	10,000	A	\$ 11.89	182,183	D	
Common Stock	09/12/2018	S	20,000	D	\$ 41.5597 (3)	162,183	D	
Common Stock						75	I	As custodian (4)
Common Stock						7,439.62 (5)	I	By 401(k) Plan
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.								

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of tiorDerivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34	09/10/2018		M		32,504	<u>(6)</u>	07/28/2019	Common Stock	55,000
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34	09/12/2018		M		10,000	<u>(6)</u>	07/28/2019	Common Stock	22,496

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Stock Option (right to buy) (granted 7/27/10)	\$ 11.89	09/10/2018	M	22,496	<u>(6)</u>	07/27/2020	Common Stock	60,000
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89	09/11/2018	M	14,093	<u>(6)</u>	07/27/2020	Common Stock	37,504
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89	09/12/2018	M	10,000	<u>(6)</u>	07/27/2020	Common Stock	23,411
Stock Option (right to buy) (granted 7/26/11)	\$ 10				<u>(6)</u>	07/26/2021	Common Stock	60,000
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12				<u>(6)</u>	07/31/2022	Common Stock	52,000
Stock Option (right to buy) (granted 7/30/13)	\$ 13.04				<u>(6)</u>	07/30/2023	Common Stock	57,500
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34				<u>(6)</u>	07/29/2024	Common Stock	49,500
Stock Option (right to buy) (granted	\$ 20.26				<u>(6)</u>	07/28/2025	Common Stock	44,100

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7/28/15)				
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68	<u>(6)</u> 03/01/2020	Common Stock	28,500
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2	<u>(6)</u> 02/28/202 <sup>2</sup>	, Common Stock	40,000
Stock Option (right to buy) (granted 2/27/18)	\$ 27	<u>(6)</u> 02/27/2028	Common Stock	75,000
Class B Common Stock	<u>(7)</u>	<u>(8)</u> <u>(9)</u>	Common Stock	191,056
Class B Common Stock	<u>(7)</u>	<u>(8)</u> <u>(9)</u>	Common Stock	10,786
Class B Common Stock	<u>(7)</u>	<u>(8)</u> <u>(9)</u>	Common Stock	8,388
Class B Common Stock	(7)	<u>(8)</u> <u>(9)</u>	Common Stock	18,233

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of the remaining	Director	10% Owner	Officer	Other		
Marcus Gregory S THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202			President and CEO			
Signatures						
/s/ Steven R. Barth, Attorney-in-Fact for C Marcus	Gregory S.		09/12/2018			
**Signature of Reporting Person			Date			

Reporting Owners 4

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price in Column 4 is a weighted average price. The prices actually received ranged from \$40.75 to \$41.275. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- The price in Column 4 is a weighted average price. The prices actually received ranged from \$41.00 to \$41.05. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- The price in Column 4 is a weighted average price. The prices actually received ranged from \$41.30 to \$41.80. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- (4) As sole custodian of the Alexandra Marcus U/WI/UTMA.
- (5) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.
- (7) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (8) This security is immediately exercisable.
- (9) No expiration date.
- (10) As sole custodian of the Alexandra Marcus U/WI/UTMA, the Michael Marcus U/WI/UTMA, and the Samantha Marcus U/WI/UTMA.
- (11) As trustee of the Gabriella Marcus Trust, the Daniella Marcus Trust and the Jessica Marcus Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.