

KIRK RANDAL J
Form 4
August 10, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
KIRK RANDAL J

2. Issuer Name **and** Ticker or Trading
Symbol
HALOZYME THERAPEUTICS
INC [HALO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

C/O THIRD SECURITY, LLC, 1881
GROVE AVENUE

08/08/2018

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

RADFORD, VA 24141

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (1)	(A) or (D) (1)	Price
Common Stock	08/08/2018		S		59,533 (1)	D	\$ 17.52 (1)
							5,015,193
						I	by R.J. Kirk DOT (2)
Common Stock	08/09/2018		S		90,536 (1)	D	\$ 17.48 (1)
							4,924,657
						I	by R.J. Kirk DOT (2)
Common Stock	08/10/2018		S		82,353 (1)	D	\$ 17.08 (1)
							4,842,304
						I	by R.J. Kirk DOT (2)
Common	08/08/2018		S		87,185	D	\$ 3,529,470
							I by Kapital

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Stock			<u>(1)</u>		17.52 <u>(1)</u>		Joe <u>(2)</u>
Common Stock	08/09/2018	S	132,591 <u>(1)</u>	D	\$ 17.48 <u>(1)</u>	3,396,879	I by Kapital Joe <u>(2)</u>
Common Stock	08/10/2018	S	120,606 <u>(1)</u>	D	\$ 17.08 <u>(1)</u>	3,276,273	I by Kapital Joe <u>(2)</u>
Common Stock						2,327,603	I by TSCP V <u>(2)</u>
Common Stock						290,460	I by JPK 2012 <u>(2)</u>
Common Stock						46,701	I by Kellie L. Banks LTT <u>(2)</u>
Common Stock						354,654	I by JPK 2009 <u>(2)</u>
Common Stock						354,653	I by MGK 2009 <u>(2)</u>
Common Stock						2,235	I by ZSK 2009 <u>(2)</u>
Common Stock						302,954	I by JPK 2008 <u>(2)</u>
Common Stock						302,954	I by MGK 2008 <u>(2)</u>
Common Stock						302,954	I by ZSK 2008 <u>(2)</u>
Common Stock						11,317	I by Lotus Capital <u>(2)</u>
Common Stock						216,795	I by Staff 2001 <u>(2)</u>
Common Stock						131,144	I by Sr Staff 2006 <u>(2)</u>
Common Stock						65,572	I by Staff 2006 <u>(2)</u>
Common Stock						21,858	I by Incentive 2006 <u>(2)</u>
Common Stock						3,562,388	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KIRK RANDAL J C/O THIRD SECURITY, LLC 1881 GROVE AVENUE RADFORD, VA 24141		X		

Signatures

/s/ Randal J.
Kirk 08/10/2018

__Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Kapital Joe, LLC ("Kapital Joe") and the R.J. Kirk Declaration of Trust ("R.J. Kirk DOT") sold shares on a pro rata basis.

Randal J. Kirk controls each of R.J. Kirk DOT, Kapital Joe, Third Security Capital Partners V, LLC ("TSCP V"), JPK 2012, LLC ("JPK 2012"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTT"), JPK 2009, LLC ("JPK 2009"), MGK 2009, LLC ("MGK 2009"), ZSK 2009, LLC ("ZSK 2009"), JPK 2008, LLC ("JPK 2008"), MGK 2008, LLC ("MGK 2008"), ZSK 2008, LLC ("ZSK 2008"), Lotus Capital (2000) Company, Inc. ("Lotus Capital"), Third Security Staff 2001 LLC ("Staff 2001"), Third Security Senior Staff 2006 LLC ("Sr Staff 2006"), Third Security Staff 2006 LLC ("Staff 2006") and Third Security Incentive 2006 LLC ("Incentive 2006"). Shares held by these entities may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

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