

COLUMBUS MCKINNON CORP  
Form 4  
August 26, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Rustowicz Gregory P

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/24/2015

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP - Finance and CFO

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					27,870.3659	D	
Common Stock	08/24/2015		A		31.1971 <u>(1)</u>	A	\$ 18.89 27,901.563 <u>(2)</u>
Common Stock					242.6178 <u>(3)</u>	D	
Common Stock					431,381.3822	I	Additional shares held by ESOP; reporting

person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 13.1					10/24/2014	01/23/2021	Common Stock	6,000
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,942
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	10,181
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	9,330
Non-Qualified Stock Options (Right to Buy)	\$ 24.94					05/18/2016	05/17/2025	Common Stock	11,716

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

	Director	10% Owner	Officer	Other
Rustowicz Gregory P			VP -	
140 JOHN JAMES AUDUBON PARKWAY			Finance	
AMHERST, NY 14228			and CFO	

## Signatures

Gregory  
Rustowicz

08/25/2015

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.  
Includes 17,737.5630 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 4,039.0174 shares become fully vested and non-forfeitable 50% for two years beginning 10/24/2015; 2,436.5373 shares become fully vested and non-forfeitable 50% for two years beginning 5/20/2016; 1,503.5244 shares become fully vested and non-forfeitable on 5/21/2016; 2,784.8678 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2016; 4,077.6161 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016; and the remaining 2,896 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33.33% per year for three years beginning 10/24/2014, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.