## Edgar Filing: ESCALADE INC - Form 4

ESCALAD Form 4	E INC												
February 20	0. 2013												
FORM	ЛЛ	STATES	SECU	RITIF	ES A	AND EXC	HAN	IGE (	COMMISSION		APPROVAL		
Check this box Washington, D.C. 20549									Number:	3235-0287			
if no lor	nger			JOEG	TNT	DENIDEL	CTAT			Expires:	January 31, 2005		
subject to Section 16. Form 4 or						BENEFIC	UAI	2 U W	NEKSHIP OF	Estimated burden ho response	l average ours per		
Form 5 obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 170	(a) of the l	Public U	Jtility 1	Hol		pany	Act of	e Act of 1934, f 1935 or Sectio 40				
(Print or Type	Responses)												
GRIFFIN ROBERT E Syr						d Ticker or T C [ESCA]	Trading	g	5. Relationship of Reporting Person(s) to Issuer				
				Date of Earliest Transaction					(Check all applicable)				
(M				(Month/Day/Year) 02/19/2013					X_ DirectorX_ 10% Owner Officer (give titleOther (specify below) below)				
				Amendment, Date Original d(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>				
(City)	(State)	(Zip)	Tab	ole I - N	on-l	Derivative S	ecurit	ties Acc	quired, Disposed o	of, or Benefici	ally Owned		
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if				actio 8)	4. Securitie n(A) or Disp (Instr. 3, 4 a	osed c	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	OwnershipIndirectForm:BeneficiDirect (D)Ownershor Indirect(Instr. 4)(I)(Instr. 4)			
Common				Code	V	Amount	(D)	Price	(Insu: 5 and 4)				
Common Stock	02/19/2013			J <u>(1)</u>	V	300,000	А	\$0	642,717.629	D			
Common Stock	02/19/2013			J <u>(1)</u>	V	178,085	A	\$0	1,228,465 <u>(2)</u>	I	Family Limited Partnership		
Common Stock	02/19/2013			J <u>(1)</u>	v	0	D	\$ 0	0 (3)	I	Family Limited Liability Company		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transact	5. ionNumber	6. Date Exer		7. Titl Amou		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Tear)	(Month/Day/Year)	Code (Instr. 8)	of	s I		Under Secur	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	<sup>7</sup> (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addre	ess	Relationships							
	Director	10% Owner	Officer	Other					
GRIFFIN ROBERT E 817 MAXWELL AVENUE EVANSVILLE, IN 47717	Х	Х							
Signatures									
/s/ Robert Griffin	02/20/2013								
<u>**</u> Signature of	Date								

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares distributed and received as a liquidating distribution from the family limited liability company. In prior reports, Mr. Griffin
   (1) reported indirect beneficial ownership of 1,800,000 shares held by the family limited liability company. The dissolution of the family limited liability company constitutes only a change in the form of ownership of a lesser number of shares.

Mr. Robert Griffin disclaims beneficial ownership in shares held by the family limited partnership except to the extent of his pecuniary(2) interest therein. All shares held by the family limited partnership are also deemed to be beneficially owned by Mr. Patrick Griffin, the son of Mr. Robert Griffin which shares previously have been, and continue to be, included by Mr. Patrick Griffin in his Section 16 reports.

(3) Mr. Griffin disclaims beneficial ownership in share held by his spouses trust except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Person

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.