Edgar Filing: WHITE RICHARD - Form 4/A

WHITE RICHA	RD										
Form 4/A											
May 22, 2009	_							0145.4			
FORM 4		STATES	SECU	DITIFS /	ND FY	CHANCE		т	PPROVAL		
Check this box							N OMB Number:	3235-0287			
if no longer					WNERSHIP OF	Expires:	January 31, 2005				
subject to Section 16. Form 4 or	r CHAI	SECUI		ICIAL O	Estimated burden hou response	average urs per					
Form 5 obligations may continue <i>See</i> Instructio 1(b).	Section 17(a) of the l	Public U	Jtility Hol	ding Co		nge Act of 1934, of 1935 or Secti 940				
(Print or Type Resp	onses)										
WHITE RICHARD S			2. Issuer Name and Ticker or Trading Symbol ESCALADE INC [ESCA]			5. Relationship of Reporting Person(s) to Issuer					
						v]	(Check all applicable)				
				3. Date of Earliest Transaction (Month/Day/Year)			X Director	109	% Owner		
				04/24/2009			Officer (give title Other (specify below) below)				
	(Street)		4. If Am	endment, D	ate Origina	ıl	6. Individual or	Joint/Group Fili	ng(Check		
Filed(Month/Day/ 04/28/2009							One Reporting Person				
EVANSVILLE, IN 47711				Form f Person				ed by More than One Reporting			
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
		saction Date 2A. Deemed /Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities f TransactionAcquired (A) or Code Disposed of (D) r) (Instr. 8) (Instr. 3, 4 and 5) (A)		(A) or of (D) 4 and 5)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Codo V	Amount	or (D) Price	(Instr. 3 and 4)				
				Coue v	Amount	(D) Flice					
Reminder: Report of	on a separate line	e for each cl	ass of sec	urities bene	-		-				
					Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.SEC 1474 (9-02)						
	Tab					posed of, or convertible	Beneficially Owner securities)	1			

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amoun
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securit

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Y	ear)	(Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Options	\$ 0.89	04/24/2009		А	5,000 (1)	04/24/2010	04/24/2014	Common Stock	5,0
Restricted Stock Units	<u>(2)</u>	04/24/2009	04/24/2009	А	8,848	(3)	(3)	Common Stock	8,8

Reporting Owners

Reporting Owner Name / Address		Relationships					
r of the test of test	Director	10% Owner	Officer	Other			
WHITE RICHARD C/O ESCALADE INCORPORATE 817 MAXWELL AVE EVANSVILLE, IN 47711	D _X						
Signatures							
/s/ Richard 05/22/2 White	2009						

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options awarded pursuant to the Escalade, Incorporated 2007 Incentive Plan. These options vest and are exercisable one year after grant at \$0.89 per share and expire five years from date of grant.
- (2) Each restricted stock unit represents a right to receive one share of ESCA common stock granted pursuant to the Escalade, Incorporated 2007 Incentive Plan. This restricted stock unit grant is in lieu of cash compensation earned for services performed as a Director.
- (3) Each restricted stock unit vests on, and will be settled by the issuance of common stock on the deferral date elected by the recipient. The recipient has elected to receive the corresponding shares at the end of the calendar year one year from the date of grant.
- (4) Outstanding restricted stock units are composed of 20,564 units that will vest and be settled on December 31, 2009; and 20,361 units that wil vest and be settled on December 31, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.