

Mallinckrodt plc  
Form 4  
June 02, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Harbaugh Matthew K

(Last) (First) (Middle)

675 MCDONNELL BLVD.

(Street)

HAZELWOOD, MO 63042

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Mallinckrodt plc [MNK]

3. Date of Earliest Transaction (Month/Day/Year)  
06/01/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Sr. VP & CFO

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Ordinary Shares	06/01/2015		M		3,166 A \$ 34.5	34,539	D
Ordinary Shares	06/01/2015		M		14,951 A \$ 31.12	49,490	D
Ordinary Shares	06/01/2015		M		19,500 A \$ 33.67	69,099 <sup>(1)</sup>	D
Ordinary Shares	06/01/2015		S		18,983 D \$ 128.5	50,116	D
Ordinary Shares	06/02/2015		S		2,123 D \$ 129.29	47,993	D

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Ordinary Shares	06/02/2015		S	1,000	D	\$ 130.02 (3)	46,993	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Share Options (Right to Buy)	\$ 34.5	06/01/2015		M	3,166	12/01/2013	11/30/2019	Ordinary Shares	3,166
Share Options (Right to Buy)	\$ 31.12	06/01/2015		M	14,951	06/28/2013	11/30/2020	Ordinary Shares	14,951
Share Options (Right to Buy)	\$ 33.67	06/01/2015		M	19,500	06/28/2013	11/30/2021	Ordinary Shares	19,500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Harbaugh Matthew K 675 MCDONNELL BLVD. HAZELWOOD, MO 63042			Sr. VP & CFO	

## Signatures

/s/Kenneth L. Wagner,  
Attorney-in-Fact

06/02/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 109 shares acquired under the Mallinckrodt employee stock purchase plan since February 3, 2015.

The price represents a weighted average. The shares were sold in multiple transactions at prices ranging from \$128.80 to \$129.3030,

(2) inclusive. Mr. Harbaugh will provide, upon request of the SEC staff, Mallinckrodt plc or a shareholder of Mallinckrodt plc complete information regarding the number of shares sold at each price within the range.

The price represents a weighted average. The shares were sold in multiple transactions at prices ranging from \$130.01 to \$130.06,

(3) inclusive. Mr. Harbaugh will provide, upon request of the SEC staff, Mallinckrodt plc or a shareholder of Mallinckrodt plc complete information regarding the number of shares sold at each price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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