

MERCANTILE BANK CORP
Form 4
June 03, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STONE SAMUEL G

2. Issuer Name and Ticker or Trading Symbol
MERCANTILE BANK CORP
[MBWM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
06/01/2014

____ Director
____ Officer (give title below) _____ 10% Owner
____ Other (specify below)
EXECUTIVE VICE PRESIDENT

C/O MERCANTILE BANK CORPORATION, 310 LEONARD STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

GRAND RAPIDS, MI 49504

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|-------|---|--|--|
| | | | | (A) or (D) | Amount | Price | | | |
| Common Stock | 06/01/2014 | | A | V | 2,000 | (1) | 2,000 | D | |
| Common Stock | 06/01/2014 | | A | | 3,745 | (2) | 3,745 | I | by Trust |
| Common Stock | 06/01/2014 | | A | | 28,942 | (3) | \$ 28,942 | I | by 401K |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474 (9-02)

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Employee Stock Option (Common Stock - right to buy) | \$ 24.4639 | 06/01/2014 | | A | 1,447 | 06/01/2014 11/22/2014 | Common Stock 1,447 |
| Employee Stock Option (Common Stock - right to buy) | \$ 22.8662 | 06/01/2014 | | A | 1,378 | 06/01/2014 11/28/2015 | Common Stock 1,378 |
| Employee Stock Option (Common Stock - right to buy) | \$ 22 | 06/01/2014 | | A | 1,312 | 06/01/2014 11/27/2016 | Common Stock 1,312 |
| Employee Stock Option (Common Stock - right to buy) | \$ 16 | 06/01/2014 | | A | 4,500 | 06/01/2014 12/03/2017 | Common Stock 4,500 |
| Employee Stock | \$ 7.8 | 06/01/2014 | | A | 4,500 | 06/01/2014 11/24/2018 | Common Stock 4,500 |

Option
(Common
Stock -
right to
buy)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| STONE SAMUEL G C/O MERCANTILE BANK CORPORATION 310 LEONARD STREET GRAND RAPIDS, MI 49504 | | | EXECUTIVE VICE PRESIDENT | |

Signatures

/s/ Samuel G. 06/03/2014
Stone

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Received in exchange for 2,000 shares of Firstbank Corporation common stock in connection with the merger of Firstbank Corporation into Mercantile Bank Corporation (the "Merger"). On the effective date of the Merger, the closing price of Firstbank's common stock was \$21.59 per share and the closing price of Mercantile's common stock was \$21.43 per share.
 - (2) Received in exchange for 3,745 shares of Firstbank Corporation common stock in connection with the Merger.
 - (3) Received in exchange for 28,942 shares of Firstbank Corporation common stock in connection with the Merger.
 - (4) Received in the Merger in exchange for an employee stock option to acquire 1,447 shares of Firstbank Corporation common stock for \$24.4639 per share.
 - (5) Received in the Merger in exchange for an employee stock option to acquire 1,378 shares of Firstbank Corporation common stock for \$22.8662 per share.
 - (6) Received in the Merger in exchange for an employee stock option to acquire 1,312 shares of Firstbank Corporation common stock for \$22.00 per share.
 - (7) Received in the Merger in exchange for an employee stock option to acquire 4,500 shares of Firstbank Corporation common stock for \$16.00 per share.
 - (8) Received in the Merger in exchange for an employee stock option to acquire 4,500 shares of Firstbank Corporation common stock for \$7.80 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.