

KAMON MARK S

Form 4

August 02, 2012

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KAMON MARK S

2. Issuer Name **and** Ticker or Trading  
Symbol  
CARPENTER TECHNOLOGY  
CORP [CRS]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
CARPENTER TECHNOLOGY  
CORPORATION, PO BOX 14662

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/31/2012

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)  
SVP - Commercial SAO

(Street)  
READING, PA 19612

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                       | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Common<br>Stock                       | 07/31/2012                              |                                                             | A                                       |                                                                         | 3,914                                                                                                              | A <u>(1)</u>                                                         | 28,220.968 D                                                      |
| Common<br>Stock                       | 07/31/2012                              |                                                             | A                                       |                                                                         | 7,350                                                                                                              | A <u>(2)</u>                                                         | 35,570.968 D                                                      |
| Common<br>Stock                       | 07/31/2012                              |                                                             | F                                       |                                                                         | 2,257                                                                                                              | D \$<br>47.86                                                        | 33,313.968 D                                                      |
| Common<br>Stock                       |                                         |                                                             |                                         |                                                                         |                                                                                                                    | 291.108 <u>(3)</u>                                                   | I By 401(k)<br>Plan                                               |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                        |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|----------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                               | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 47.86                                                           | 07/31/2012                              |                                                             | A                                    |                                                                                                                 | 1,713                                                          |     | 07/31/2013                                                          | 07/31/2022         | Common<br>Stock | 1,713                                  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 47.86                                                           | 07/31/2012                              |                                                             | A                                    |                                                                                                                 | 1,712                                                          |     | 07/31/2014                                                          | 07/31/2022         | Common<br>Stock | 1,712                                  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 47.86                                                           | 07/31/2012                              |                                                             | A                                    |                                                                                                                 | 1,712                                                          |     | 07/31/2015                                                          | 07/31/2022         | Common<br>Stock | 1,712                                  |

## Reporting Owners

### Reporting Owner Name / Address

KAMON MARK S  
CARPENTER TECHNOLOGY CORPORATION  
PO BOX 14662  
READING, PA 19612

### Relationships

Director 10% Owner Officer Other

SVP - Commercial SAO

## Signatures

James D.  
Dee/POA

08/02/2012

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was granted restricted stock units under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.
- (2) The reporting person was granted shares under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.
- (3) Includes shares acquired under the Savings Plan of Carpenter Technology Corporation. The share balance under the Savings Plan of Carpenter Technology fluctuates due to rounding differences produced by the Plan's method of estimating shares.
- (4) The reporting person was granted an option to buy shares of common stock under the Carpenter Technology Corporation Stock-Based Compensation Plan for Officers and Key Employees.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.