

TANG ANTHONY M
Form 5
February 12, 2010

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
TANG ANTHONY M

2. Issuer Name and Ticker or Trading Symbol
CATHAY GENERAL BANCORP [CATY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2009

Director 10% Owner
 Officer (give title below) Other (specify below)
Senior EVP

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|------------|--|--|---|
| Common Stock | | | | | | | 350,584 | D | |
| Common Stock | 12/31/2009 | | J ⁽¹⁾ | 1,405 | A | \$ 11.8101 | 88,185 | I | By ESOP |
| Common Stock | 01/21/2009 | | J ⁽²⁾ | 1,452.864 | A | \$ 18.5984 | 328,721.88 | I | By spouse |
| Common Stock | 05/14/2009 | | J ⁽²⁾ | 1,783.519 | A | \$ 11.6083 | 328,721.88 | I | By spouse |

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| | | | | | | | | | |
|--------------|------------|---|------------------|---------|---|----------|------------|---|-----------|
| Common Stock | 08/20/2009 | Â | J ⁽²⁾ | 273.344 | A | \$ 9.533 | 328,721.88 | I | By spouse |
| Common Stock | 12/08/2009 | Â | J ⁽²⁾ | 347.779 | A | \$ 7.5 | 328,721.88 | I | By spouse |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | | (A) (D) | Date Exercisable Expiration Date | Title Amount of Number of Shares |
| Stock Option | \$ 15.0475 | Â | Â | Â | Â Â | 01/18/2002 ⁽³⁾ 01/18/2011 | Common Stock 26,360 |
| Stock Option | \$ 16.275 | Â | Â | Â | Â Â | 02/21/2003 ⁽³⁾ 02/21/2012 | Common Stock 26,000 |
| Stock Option | \$ 19.925 | Â | Â | Â | Â Â | 01/16/2004 ⁽³⁾ 01/16/2013 | Common Stock 47,500 |
| Stock Option | \$ 24.8 | Â | Â | Â | Â Â | 11/20/2004 ⁽³⁾ 11/20/2013 | Common Stock 105,140 |
| Stock Option | \$ 37 | Â | Â | Â | Â Â | 02/17/2006 ⁽⁴⁾ 02/17/2015 | Common Stock 39,820 |
| Stock Option | \$ 36.24 | Â | Â | Â | Â Â | 01/25/2007 ⁽⁵⁾ 01/25/2016 | Common Stock 42,690 |
| Stock Option | \$ 23.37 | Â | Â | Â | Â Â | 02/21/2009 ⁽⁶⁾ 02/21/2018 | Common Stock 44,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------------|---------------|-----------|-------------|-------|
| | Director | 10% Owner | Officer | Other |
| TANG ANTHONY M 777 NORTH BROADWAY | Â X | Â | Â Senior | Â |

LOS ANGELES, CA 90012

EVP

Signatures

Anthony M.
Tang

02/12/2010

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Dividend Reinvestment.
- (3) The option is fully exercisable.
- (4) The option vests in 5 equal annual installments beginning 2/17/06.
- (5) The option vests in 5 equal annual installments beginning 1/25/07.
- (6) The option vests in 5 equal annual installments beginning 2/21/09.

^

Remarks:

The reporting person no longer has a reportable beneficial interest in 80,322 shares transferred from

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