

HORACE MANN EDUCATORS CORP /DE/  
Form 4  
March 18, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CAPARROS ANN M

(Last) (First) (Middle)  
1 HORACE MANN PLAZA  
(Street)

SPRINGFIELD, IL 62715

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HORACE MANN EDUCATORS CORP /DE/ [HMN]

3. Date of Earliest Transaction (Month/Day/Year)  
03/16/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
General Counsel & CCO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                                      |
| Common Stock                    | 03/16/2015                           |  | M                              |   | 7,226   | A  | \$ 17.01                                   |
| Common Stock                    | 03/16/2015                           |  | M                              |   | 1,774   | A  | \$ 17.32                                   |
| Common Stock                    | 03/16/2015                           |  | S                              |   | 9,000   | D  | \$ 33.1628                                 |
| Common Stock                    | 03/17/2015                           |  | M                              |   | 4,074   | A  | \$ 17.01                                   |
| Common Stock                    | 03/17/2015                           |  | M                              |   | 4,926   | A  | \$ 17.32                                   |

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|                 |            |  |   |       |   |                      |                   |   |
|-----------------|------------|--|---|-------|---|----------------------|-------------------|---|
| Common<br>Stock | 03/17/2015 |  | S | 9,000 | D | \$<br>33.2659<br>(6) | 63,882.965<br>(4) | D |
|-----------------|------------|--|---|-------|---|----------------------|-------------------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | Amount<br>or<br>Number<br>of<br>Shares |       |
|---|--|---|---|--------------------------------------|---|--|---|--|-------|
|   |  |   |   | Code                                 | V (A) (D)   | Date<br>Exercisable  | Expiration<br>Date  | Title                                  |       |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 17.01   | 03/16/2015                              |   | M                                    | 7,226   | 03/09/2014 03/09/2018  |   | Common<br>Stock                        | 7,226 |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 17.32   | 03/16/2015                              |   | M                                    | 1,774   | 03/07/2013 03/07/2019  |   | Common<br>Stock                        | 1,774 |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 17.01   | 03/17/2015                              |   | M                                    | 4,074   | 03/09/2015 03/09/2018  |   | Common<br>Stock                        | 4,074 |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 17.32   | 03/17/2015                              |   | M                                    | 4,926   | 03/07/2015 03/07/2019  |   | Common<br>Stock                        | 4,926 |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                       |       |
|--|---------------|-----------|-----------------------|-------|
|  | Director      | 10% Owner | Officer               | Other |
| CAPARROS ANN M<br>1 HORACE MANN PLAZA<br>SPRINGFIELD, IL 62715 |               |           | General Counsel & CCO |       |

## Signatures

Ann M.  
Caparros

03/18/2015

Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 51,283.158 restricted stock units, 12,581.347 deferred Common Stock equivalent units and 7,244.460 shares of Common Stock.
- (2) Represents 51,283.158 restricted stock units, 12,581.347 deferred Common Stock equivalent units and 9,018.460 shares of Common Stock.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.11 to \$33.23.
- (4) Represents 51,283.158 restricted stock units, 12,581.347 deferred Common Stock equivalent units and 18.460 shares of Common Stock.
- (5) Represents 51,283.158 restricted stock units, 12,581.347 deferred Common Stock equivalent units and 4,092.460 shares of Common Stock.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.05 to \$33.44.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.