

OWEN JOSEPH J  
Form 4  
June 02, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
OWEN JOSEPH J

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
  
140 JOHN JAMES AUDUBON  
PARKWAY  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/31/2011

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
VP - Supply Chain Mgmt

AMHERST, NY 14228

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					10,460 <sup>(1)</sup>	D	
Common Stock	05/31/2011		M	2,392 A \$ 13.27	12,852	D	
Common Stock	05/31/2011		M	879 A \$ 18.24	13,731	D	
Common Stock	05/31/2011		S	1,192 D \$ 19.581	12,539	D	
Common Stock	05/31/2011		S	2,079 D \$ 19.583	10,460	D	

Common Stock	2,120.213 <sup>(2)</sup>	D	
Common Stock	1,327	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,633
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	05/31/2011		M	2,392	05/18/2010	05/17/2019	Common Stock	2,392
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	05/31/2011		M	879	05/17/2011	05/16/2020	Common Stock	879

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
OWEN JOSEPH J 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP - Supply Chain Mgmt	

## Signatures

Joseph J. Owen

06/02/2011

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 3,248 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 1,276 shares become fully vested and non-forfeitable 50%

- (1) for two years beginning 5/18/2012; 584 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, and the remaining 1,388 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (4) Originally a 4,785 share stock option, reporting person exercised 2,392 options on 5/31/2011, the remaining 2,393 options are exercizable 50% per year for two years beginning 5/18/2012, if reporting person remains an employee of issuer.
- (5) Originally a 3,516 share stock option, reporting person exercised 879 options on 5/31/2011, the remaining 2,637 options are exercizable 33 1/3% per year for three years beginning 5/18/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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