

Rubicon Technology, Inc.
Form SC 13G/A
May 06, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 3)*

Rubicon Technology, Inc.

(Name of Issuer)

Common Stock, par value \$0.001

(Title of Class of Securities)

78112T 107

(CUSIP Number)

December 31, 2014

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to
* the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Exchange Act or otherwise subject to the liabilities of that section of the Exchange Act but shall be subject to all other provisions of the Exchange Act (however, see the Notes).

SCHEDULE 13G

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

Cross Atlantic Capital Partners, Inc.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF

0

SHARES

6 SHARED VOTING POWER

BENEFICIALLY

0

OWNED BY

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

0

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

10

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

0%

TYPE OF REPORTING PERSON

12

CO

2

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

XATF Management, L.P.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF

0

SHARES

6 SHARED VOTING POWER

BENEFICIALLY

0

OWNED BY

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

0

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

0

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

0%

TYPE OF REPORTING PERSON

12

PN

3

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

Cross Atlantic Technology Fund, L.P.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF
SHARES

0

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

0

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

8 SHARED DISPOSITIVE POWER

PERSON

WITH

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

0

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

0%

TYPE OF REPORTING PERSON

12

PN

4

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

Cross Atlantic Capital Partners II, Inc.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF

0

SHARES

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

1,175,701

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

1,175,701

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

1,175,701

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

4.48%

TYPE OF REPORTING PERSON

12

CO

5

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

XATF Management II, L.P

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF
SHARES

0

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

1,175,701

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

1,175,701

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

1,175,701

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

4.48%

TYPE OF REPORTING PERSON

12

PN

6

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

Cross Atlantic Technology Fund II, L.P.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF
SHARES

0

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

1,175,701

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

1,175,701

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

1,175,701

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

4.48%

TYPE OF REPORTING PERSON

12

PN

7

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

Co-Invest Capital Partners, Inc.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF
SHARES

0

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

1,309,976

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

1,309,976

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

1,309,976

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

4.98%

TYPE OF REPORTING PERSON

12

CO

8

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

Co-Invest Management, L.P.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF
SHARES

0

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

1,309,976

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

1,309,976

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

1,309,976

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

4.98%

TYPE OF REPORTING PERSON

12

PN

9

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

The Co-Investment 2000 Fund, L.P.

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

Delaware

5 SOLE VOTING POWER

NUMBER OF
SHARES

0

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

1,309,976

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

0

PERSON

8 SHARED DISPOSITIVE POWER

WITH

1,309,976

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

1,309,976

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

4.98%

TYPE OF REPORTING PERSON

12

PN

10

CUSIP No.: 78112T 107

NAME OF REPORTING PERSON

1

Donald R. Caldwell

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP

2 (a)

(b)
SEC USE ONLY

3

CITIZENSHIP OR PLACE OF ORGANIZATION

4

United States

5 SOLE VOTING POWER

NUMBER OF
SHARES

26,863

6 SHARED VOTING POWER

BENEFICIALLY

OWNED BY

2,485,677

7 SOLE DISPOSITIVE POWER

EACH

REPORTING

26,863

8 SHARED DISPOSITIVE POWER

PERSON

WITH

2,485,677

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

2,512,540

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES

..

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

9.5%

TYPE OF REPORTING PERSON

12

IN

11

SCHEDULE 13G

CUSIP No.: 78112T 107

Item 1.(a) Name of Issuer:

Rubicon Technology, Inc. (“Issuer”)

(b) Address of Issuer’s Principal Executive Offices:

**900 East Green Street
Bensenville, Illinois 60106**

Item 2.(a) Name of Person Filing:

(b) Address of Principal Business Office or, if none, Residence:

(c) Citizenship (in the case of an entity, the jurisdiction under which it is organized):

The names, principal business offices and citizenship of the persons filing this statement are:

**Cross Atlantic Capital Partners, Inc. (“CAP”)
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware**

**XATF Management, L.P. (“XATF”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware**

**Cross Atlantic Technology Fund, L.P. (“Fund”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware**

**Cross Atlantic Capital Partners II, Inc. (“CAP II”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware**

XATF Management II, L.P. (“XATF II”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware

Cross Atlantic Technology Fund II, L.P. (“Fund II”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware

Co-Invest Capital Partners, Inc. (“Co-Invest Capital”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware

Co-Invest Management, L.P. (“Co-Invest Management”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware

The Co-Investment 2000 Fund, L.P. (“2000 Fund”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: Delaware

Donald R. Caldwell (“Mr. Caldwell”)
c/o Cross Atlantic Capital Partners, Inc.
150 North Radnor-Chester Road, Suite B101
Radnor, PA 19087
Citizenship: United States

(d) Title of Class of Securities:

Common Stock, par value \$0.001 (“Common Stock”)

(e) CUSIP Number:

78112T 107

Item 3.

Not applicable.

Item 4. Ownership.

(a) Amount beneficially owned:

As of December 31, 2014, (i) Fund II was the record holder of 1,047,698 shares of Common Stock and held currently exercisable warrants to purchase 128,033 shares of Common Stock, (ii) 2000 Fund was the record holder of 1,170,153 shares of Common Stock and held currently exercisable warrants to purchase 139,823 shares of Comm