Edgar Filing: HAMMER N ROBERT - Form 4

| HAMMER N | N ROBERT | | | | | | | | | | | |
|---|--|--------------------------------------|--------------------------------|---|---------------------|--------|---|--|------------------------|-------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| February 15, | 2019 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB APPROVAL | | | | |
| | UNITED | SIAIES | | shington, | | | NGE U | ONINISSION | OMB Number: | 3235-0287 | | |
| Check thi | | vv as | anngton, | | January 31, | | | | | | | |
| if no long | | IENT OF | F CHAN | GES IN I | Expires: 200 | | | | | | | |
| subject to Section 1 | | | SECUR | Estimated average burden hours per response 0.5 | | | | | | | | |
| Form 4 of | | | | | | | | | | | | |
| Form 5 | Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | e Act of 1934, | | | | |
| obligation may cont | | | | • | • | · · | | 1935 or Section | n | | | |
| See Instru | | 30(h) | of the In | vestment | Compar | iy Ac | t of 194 | -0 | | | | |
| 1(b). | | | | | | | | | | | | |
| (Print or Type F | (esponses) | | | | | | | | | | | |
| (I fint of Type I | (esponses) | | | | | | | | | | | |
| 1. Name and A | Person <u>*</u> | 2. Issuer Name and Ticker or Trading | | | | | 5. Relationship of Reporting Person(s) to | | | | | |
| HAMMER N ROBERT | | | Symbol | | | | | Issuer | | | | |
| | | COMMVAULT SYSTEMS INC | | | | | (Check all applicable) | | | | | |
| | | [CVLT] | | | | | | (Check an applicable) | | | | |
| (Last) | (First) (N | /liddle) | 3. Date of | Earliest Tr | ansaction | | | _X_ Director | | Owner | | |
| | | | (Month/Day/Year) | | | | | XOfficer (give titleOther (specify below) below) | | | | |
| I COMMV | AULT WAY | | 02/13/20 | 019 | | | | · · · · · · · · · · · · · · · · · · · | , President & O | CEO | | |
| (Street) | | | 4. If Amendment, Date Original | | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | Filed(Month/Day/Year) | | | | | Applicable Line) | | | | | |
| | | | | | | | | _X_ Form filed by C Form filed by M | | | | |
| TINTON FA | ALLS, NJ 07724 | | | | | | | Person | | 1 0 | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of | 2. Transaction Date | 2A. Deen | ned | 3. | 4. Securi | ties A | cquired | 5. Amount of | 6. Ownership | 7. Nature of | | |
| Security | (Month/Day/Year) | Execution | n Date, if | Transaction(A) or Disposed of (D) | | | | Securities | Form: Direct | Indirect | | |
| (Instr. 3) | | any (Month/F | ov/Veor) | Code | (Instr. 3, 4 and 5) | | | Beneficially | (D) or Indirect (I) | Beneficial | | |
| | | (Month/E | Jay/Teal) | /Year) (Instr. 8) | | | | Owned Following | (Instr. 4) | Ownership (Instr. 4) | | |
| | | | | | | (A) | | Reported | | | | |
| | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | | |
| C | | | | Code V | Amount | (D) | Price | (Insu: 5 and 4) | | | | |
| Common | 02/13/2019 | 02/13/2 | 019 | F | 1,109 | D | \$ 67.50 | 2,618,302 | D | | | |
| Stock (1) | | | | | | | 67.59 | | | | | |
| Common | 02/14/2019 | 02/14/2 | 019 | F | 2,440 | D | \$ | 2,615,862 | D | | | |
| Stock (1) | | | | | , | | 67.68 | ,, | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: HAMMER N ROBERT - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Unde Secur | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---------------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------------------------|-------|--|--|--|--|
| L B | Director | 10% Owner | Officer | Other | | | | |
| HAMMER N ROBERT 1 COMMVAULT WAY TINTON FALLS, NJ 07724 | Х | | Chairman, President & CEO | | | | | |
| Signatures | | | | | | | | |
| Warren H. Mondschein, Attorney-in-Fact | 02/15/2019 | | | | | | | |
| **Signature of Reporting Person | | Dat | e | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale of common stock was made to satisfy certain tax withholding obligations resulting from the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.