Doubman John R Form 3 October 10, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CABOT CORP [CBT] A Doubman John R (Month/Day/Year) 10/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CABOT (Check all applicable) CORPORATION, TWO **SEAPORT LANE. SUITE 1300** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Senior Vice President \_X\_ Form filed by One Reporting Person BOSTON. MAÂ 02210 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) 13,599 (1) Â Common Stock D Through the Trustee for the Common Stock 824.9 Ι Corporation's 401(k) Plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	11/13/2024	Common Stock	4,017	\$ 46.03	D	Â
Employee Stock Option (Right to Buy)	(3)	11/11/2025	Common Stock	2,306	\$ 39.54	D	Â
Employee Stock Option (Right to Buy)	(4)	04/06/2026	Common Stock	2,834	\$ 47.23	D	Â
Employee Stock Option (Right to Buy)	(5)	11/10/2026	Common Stock	13,747	\$ 50.46	D	Â
Employee Stock Option (Right to Buy)	(6)	11/09/2027	Common Stock	12,639	\$ 62.24	D	Â
Phantom Stock	(7)	(7)	Common Stock	3,096.21	\$ 62.72	D	Â

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Doubman John R C/O CABOT CORPORATION TWO SEAPORT LANE, SUITE 1300 BOSTON, MA 02210	Â	Â	Senior Vice President	Â	

### **Signatures**

By: Kristine L. Ouimet, pursuant to a power of attorney from John R.

Doubman

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 4,686 performance based units earned on the basis of the Corporation's performance in fiscal year 2016 and 2017 (which remain subject to time-based vesting) and 8,913 units subject to time-based vesting.
- (2) The option vested over a three year period as follows: 30% on November 14, 2015, 30% on November 14, 2016 and 40% on November 14, 2017.
- (3) The option vests over a three year period as follows: 30% on November 12, 2016, 30% on November 12, 2017 and 40% on November 12, 2018.
- (4) The option vests over a three year period as follows: 30% on April 7, 2017, 30% on April 7, 2018 and 40% on April 7, 2019.

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- (5) The option vests over a three year period as follows: 30% on November 11, 2017, 30% on November 11, 2018 and 40% on November 11, 2019.
- (6) The option vests over a three year period as follows: 30% on November 10, 2018, 30% on November 10, 2019 and 40% on November 10, 2020
- (7) Each share of phantom stock is the economic equivalent of one share of Cabot Corporation common stock. The shares of phantom stock will be settled in shares of Cabot Corporation common stock upon termination of his employment with Cabot Corporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.