

COSTCO WHOLESALE CORP /NEW  
Form 5  
September 29, 2016

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
SINEGAL JAMES D

2. Issuer Name and Ticker or Trading Symbol  
COSTCO WHOLESALE CORP /NEW [COST]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
08/28/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)

999 LAKE DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

ISSAQUAH, WA 98027

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	12/10/2015	Â	G	1,050	D	\$ (1)	897,494	D	Â
Common Stock	12/10/2015	Â	G	3,814	D	\$ (1)	893,680	D	Â
Common Stock	12/17/2015	Â	G	1,680	D	\$ (1)	842,000	D	Â
Common Stock	12/29/2015	Â	J	17,569	A	\$ (2)	859,569	D	Â

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Common Stock	01/25/2016	Â	G	55,875	D	\$ (1)	759,569	D	Â
Common Stock	02/02/2016	Â	J	22,720	A	\$ (2)	782,289	D	Â
Common Stock	04/08/2016	Â	J	6,850	A	\$ (2)	789,139	D	Â
Common Stock	08/08/2016	Â	G	889	D	\$ (1)	788,250	D	Â
Common Stock	12/29/2015	Â	J	17,569	D	\$ (2)	786,449	I	By LLC
Common Stock	02/02/2016	Â	J	22,720	D	\$ (2)	763,729	I	By LLC
Common Stock	04/08/2016	Â	J	6,850	D	\$ (2)	756,879	I	By LLC
Common Stock	Â	Â	Â	Â	Â	Â	5	I	By GRAT
Common Stock	Â	Â	Â	Â	Â	Â	5	I	By Spouse's GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is Fi (I
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						(A) (D)			

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SINEGAL JAMES D  
999 LAKE DRIVE  
ISSAQUAH, WA 98027

X

## Signatures

Deanna K. Nakashima,  
attorney-in-fact

09/29/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Personal gift.

(2) Represents shares distributed from LLC to reporting person.

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