

STEPAN CO
Form 4
July 18, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Servatius Gregory

(Last) (First) (Middle)

22 W. FRONTAGE ROAD

(Street)

NORTHFIELD, IL 60093

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
STEPAN CO [SCL]

3. Date of Earliest Transaction (Month/Day/Year)
07/14/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

VP of Human Resources

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	07/14/2016		M ⁽¹⁾		1,000	A	\$ 18.46
Common Stock	07/14/2016		S ⁽¹⁾		1,000	D	\$ 62.2
Common Stock	07/14/2016		M ⁽¹⁾		534	A	\$ 18.46
Common Stock	07/14/2016		S ⁽¹⁾		534	D	\$ 62
Common Stock	07/14/2016		M ⁽¹⁾		1,000	A	\$ 18.46

Edgar Filing: STEPAN CO - Form 4

Common Stock	07/14/2016	<u>S</u> (1)	1,000	D	\$ 62.4	23,731.382	D	
Common Stock	07/15/2016	<u>M</u> (1)	1,000	A	\$ 18.46	24,731.382	D	
Common Stock	07/15/2016	<u>S</u> (1)	1,000	D	\$ 62.6	23,731.382	D	
Common Stock						11,723.454	I	By ESOP II Trust
Common Stock						442,047	I	Member of Plan Committee of Stepan Company

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Employee Stock Option (Right to Buy)	\$ 18.46	07/14/2016		<u>M</u> (1)	534	02/10/2011 02/09/2017	Common Stock	534
Employee Stock Option (Right to Buy)	\$ 18.46	07/14/2016		<u>M</u> (1)	1,000	02/10/2011 02/09/2017	Common Stock	1,000
Employee Stock	\$ 18.46	07/14/2016		<u>M</u> (1)	1,000	02/10/2011 02/09/2017	Common Stock	1,000

Option
(Right to
Buy)

Employee
Stock

Option (Right to Buy)	\$ 18.46	07/15/2016		<u>M</u> ⁽¹⁾	1,000	02/10/2011	02/09/2017	Common Stock	1,000
-----------------------------	----------	------------	--	-------------------------	-------	------------	------------	-----------------	-------

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Servatius Gregory 22 W. FRONTAGE ROAD NORTHFIELD, IL 60093			VP of Human Resources	

Signatures

Greg Servatius	07/18/2016
<u> </u> Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction completed pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.