Edgar Filing: BARRACUDA NETWORKS INC - Form 4

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|--|--|---|---|--------------------|-------------|--|--|----------------------|--|--|
| BARRACUI Form 4 | DA NETWORKS INC | | | | | | | | | |
| March 10, 20 |)16 | | | | | | | | | |
| | | | | | | | | OMB APPROVAL | | |
| Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | |
| Check the if no long | ar. | | | | | | Expires: | January 31, 2005 | | |
| subject to Section 1 Form 4 o | 6. STATEMENT | STATEMENT OF CHANGES IN BENEFICIAL OWNERS SECURITIES | | | | | Estimated a burden hou response | iverage | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | |
| 1. Name and A Levow Zach | ddress of Reporting Person <u>*</u> nary S | 2. Issuer Name a Symbol | 2. Issuer Name and Ticker or Trading Symbol BARRACUDA NETWORKS INC [CUDA] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | | | | (Check all applicable) | | | |
| INC., 3175 | (First) (Middle) CUDA NETWORKS, S. WINCHESTER | 3. Date of Earliest (Month/Day/Year 06/05/2015 | | | | X Director Officer (give below) | title10% below) | Owner er (specify | | |
| BLVD. | | | | | | | | | | |
| | (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Jo Applicable Line) | | | | |
| CAMPBELL, CA 95008 Form file Person | | | | | | | Iore than One Re | | | |
| (City) | (State) (Zip) | Table I - Nor | n-Derivative | Securi | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | | 4. Securi action(A) or Di (Instr. 3, 8) | isposed 4 and 3 | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | Code | V Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock | 06/05/2015 | S <u>(1)</u> | 5,318 | D | \$ 40.95 | 2,384,708 | D | | | |
| Common Stock | 06/05/2015 | S <u>(1)</u> | 462 | D | \$ 40.95 | 217,275 | Ι | By Trust | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | Amou Unde Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-----------------------|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address Director Levow Zachary S C/O BARACUDA NETWORKS, INC. Х 3175 S. WINCHESTER BLVD. CAMPBELL, CA 95008 Signatures /s/ Diane Honda Attorney-in-Fact for Zachary S. 03/10/2016 Levow

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 12, (1)2015.

The shares are held directly by the Levow Family 2010 Irrevocable Trust, dated June 29, 2010 for which the Reporting Person serves as a (2)trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

Relationships

10% Owner Officer Other