#### Edgar Filing: DUN & BRADSTREET CORP/NW - Form 4

#### **DUN & BRADSTREET CORP/NW**

Form 4

March 04, 2016

# FORM 4

Check this box

if no longer

subject to

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

3235-0287

January 31, 2005

Estimated average

**OMB APPROVAL** 

0.5

burden hours per response...

Section 16. Form 4 or Form 5 obligations

1. Name and Address of Reporting Person \*

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

**DUN & BRADSTREET CORP/NW** 

Symbol

1(b).

(Print or Type Responses)

VELDRAN RICHARD H

may continue.

See Instruction

				[DNB]					(Check an applicable)			
(Last) (First) (Middle)  103 JFK PARKWAY				3. Date of Earliest Transaction (Month/Day/Year) 03/02/2016						Director 10% Owner Other (specify below)		
	SHORT HI	(Street) 4. If An Filed(M					ate Originar)	al	- -	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own								y Owned			
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transac Code (Instr. 8	3)	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	03/02/2016			M		945 (1)	A	\$ 0	13,191.1422	D	
	Common Stock	03/02/2016			F		344 (2)	D	\$ 98.96	12,847.1422	D	
	Common Stock	03/03/2016			M		942 (3)	A	\$0	13,789.1422	D	
	Common Stock	03/03/2016			F		343 (2)	D	\$ 100.385	13,446.1422	D	
	Common Stock									1,044.8337 (4)	I	HELD IN ESPP

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Leveraged Restricted Stock Units	<u>(1)</u>	03/02/2016		M	1,185 (1)	<u>(1)</u>	03/02/2018	Common Stock	945 (1)
Leveraged Restricted Stock Units	(3)	03/03/2016		M	1,111 ( <u>3)</u>	(3)	03/03/2017	Common Stock	942 (3)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

VELDRAN RICHARD H 103 JFK PARKWAY SHORT HILLS, NJ 07078

Chief Financial Officer

# **Signatures**

/s/ Kristin R. Kaldor for Richard H. Veldran by POA previously filed 03/04/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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Represents the vesting and payout of the first tranche (1/3) of the leveraged restricted stock units (3,556) granted on March 2, 2015 at 79.8% of target based on the issuer's stock price performance during the performance period from 1/1/2015 -12/31/2015.

- (2) The reporting person made an irrevocable election in November 2015 to satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded through the deduction of shares from the vested amount.
- (3) Represents the vesting and payout of the second tranche (1/3) of the leveraged restricted stock units (3,334) granted on March 3, 2014 at 84.80% of target based on the issuer's stock price performance during the performance period from 1/1/2014 -12/31/2015.
- (4) Held in the issuer's employee stock purchase plan (ESPP) as of 3/4/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.