Edgar Filing: MARLIN BUSINESS SERVICES CORP - Form 4

MARLIN BUSINESS SERVICES CORP

Form 4 January 26, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

Expires:

January 31, 2005

0.5

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Dietz Edward Ralph Jr.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

MARLIN BUSINESS SERVICES

CORP [MRLN]

(Check all applicable)

General Counsel

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year) 05/25/2015

Director 10% Owner X_ Officer (give title Other (specify below)

C/O MARLIN BUSINESS SERVICES CORP., 300 FELLOWSHIP ROAD

> (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

MOUNT LAUREL, NJ 08054

X Form filed by One Reporting Person Form filed by More than One Reporting

(City) (State) (Zip)

05/25/2015

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Code V Amount (D)

Reported Transaction(s) (Instr. 3 and 4)

\$ F $72^{(1)}$ D 30,310 (2) D 18.71

Price

(A)

or

A

Common 01/22/2016 Stock

Common

Stock

9,542 A

<u>(4)</u>

40.122 (5) (6) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount of		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative				Securities		(Instr. 5)	Bene
	Derivative				Securities Acquired (A) or			(Instr. 3	and 4)		Own
	Security										Follo
	•										Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3, 4, and 5)						
								Λ.	mount		
									mount		
						Date	Expiration Date	Title N	r Jumber		
						Exercisable		Title Numb			
				C + V	(A) (D)						
			Code V (A) (D)					S	hares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Director 10% Owner Other

Dietz Edward Ralph Jr. C/O MARLIN BUSINESS SERVICES CORP. 300 FELLOWSHIP ROAD **MOUNT LAUREL, NJ 08054**

General Counsel

Signatures

/s/ Edward R. 01/26/2016 Dietz, Jr.

**Signature of Reporting Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the delivery of shares to pay the tax liability associated with the vesting of certain shares of restricted stock.
- (2) Includes 22,049 shares of restricted stock where, as of the date of this filing, the restrictions have not yet lapsed.
- Represents grants of restricted stock as part of long term incentive plan. This grant consists of: (i) 3,817 restricted shares where the restrictions will lapse over the four year period following the grant date on a pro-rata basis (25% per year) and (ii) 5,725 restricted performance shares where the restrictions will lapse seven years after the grant date, subject to accelerated lapsing over the three year period following the grant date if certain performance conditions are met.
- (5) Includes 270 shares acquired under the Marlin Business Services Corp 2012 Employee Stock Purchase Plan on December 31, 2015.
- (6) Includes 31,591 shares of restricted stock where, as of the date of this filing, the restrictions have not yet lapsed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2