

VECTOR GROUP LTD  
Form 4  
November 05, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BERNSTEIN RONALD J

2. Issuer Name and Ticker or Trading Symbol  
VECTOR GROUP LTD [VGR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O LIGGETT VECTOR BRANDS  
LLC, 3800 PARAMOUNT  
PARKWAY

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/05/2014

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President Subsidiary

(Street)  
MORRISVILLE, NC 27560

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |
| Common Stock                    | 11/05/2014                           |  | M                              | 65,000  | A \$ 11.44  | 142,562 <sup>(1)</sup>                                   | D   |
| Common Stock                    | 11/05/2014                           |  | S                              | 65,000  | D \$ 21.84  | 77,562 <sup>(1)</sup>                                    | D   |
|                                 |                                      |  |                                |   | <sup>(2)</sup>  |  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

Edgar Filing: VECTOR GROUP LTD - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Employee stock option (right to buy)       | \$ 11.44   | 11/05/2014                           |  | M                              | 34,637<br><u>(1)</u>  | 12/31/2008   | 08/16/2016  | Common stock | 34,637<br><u>(1)</u>       |
| Employee stock option (right to buy)       | \$ 11.44   | 11/05/2014                           |  | M                              | 30,363<br><u>(1)</u>  | 12/31/2009   | 08/16/2016  | Common stock | 30,363<br><u>(1)</u>       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |           |            |
|--|---------------|-----------|-----------|------------|
|  | Director      | 10% Owner | Officer   | Other      |
| BERNSTEIN RONALD J<br>C/O LIGGETT VECTOR BRANDS LLC<br>3800 PARAMOUNT PARKWAY<br>MORRISVILLE, NC 27560 | X             |           | President | Subsidiary |

## Signatures

/s/ Ronald J. Bernstein  
11/05/2014  
Date

\*\*Signature of Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All information presented is adjusted for Vector Group Ltd.'s 5% stock dividend payable to stockholders of record on September 15, 2014.  
Represents the weighted average sales price for price increments ranging from \$21.65 to \$22.21. The Reporting Person undertakes to provide, upon request for the Securities and Exchange Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of nonderivative securities sold at each separate price for all transactions reported on this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: VECTOR GROUP LTD - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.