

NACCO INDUSTRIES INC

Form 8-K

August 13, 2004

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

**CURRENT REPORT PURSUANT
TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934**

Date of report (Date of earliest event reported): August 13, 2004

NACCO INDUSTRIES, INC.

(Exact Name of Registrant as Specified in Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

1-9172

34-1505819

(Commission File Number)

(IRS Employer Identification Number)

5875 Landerbrook Drive, Mayfield
Heights, OH

44124-4017

(Address of Principal Executive Offices)

(Zip Code)

(440) 449-9600

(Registrant's telephone number, including area code)

N/A

(Former Name or Former Address, if Changed Since Last Report)

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Item 7. Financial Statements and Exhibits.

Item 9. Regulation FD Disclosure.

Item 12. Results of Operations and Financial Condition.

SIGNATURE

EXHIBIT INDEX

EX-99

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Item 7. Financial Statements and Exhibits.

As described in Item 9 and 12 of this Current Report on Form 8-K, the following Exhibit is furnished as part of this Current Report on Form 8-K.

(c) Exhibits

- 99 NACCO Industries, Inc. historical quarterly financial data schedules, as posted on the NACCO Industries, Inc. website at www.nacco.com on August 13, 2004.

Item 9. Regulation FD Disclosure.

Item 12. Results of Operations and Financial Condition.

On August 13, 2004, NACCO Industries, Inc. (the Company) will post on its website at www.nacco.com, historical quarterly financial data schedules, a copy of which is attached as Exhibit 99 to this Current Report on Form 8-K.

This Current Report on Form 8-K and the information attached hereto are being furnished by the Company pursuant to Item 9 and 12 of Form 8-K, insofar as they disclose historical information regarding the Company's results of operations.

In accordance with General Instruction B.2 and B.6 of Form 8-K, the information in this Current Report on Form 8-K, including Exhibit 99, shall not be deemed filed for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liability of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, except as shall be expressly set forth by specific reference in such a filing.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

NACCO INDUSTRIES, INC.

By: /s/ Kenneth C. Schilling

Name: Kenneth C. Schilling
Title: Vice President and Controller

Date: August 13, 2004

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EXHIBIT INDEX

**Exhibit
Number**

Description

99 NACCO Industries, Inc. historical quarterly financial data schedules, as posted on the NACCO Industries, Inc. website at www.nacco.com on August 13, 2004.

d Execution Date, if any (Month/Day/Year)4. Transaction Code
(Instr. 8)5. Number of Derivative Securities Acquired (A) or Disposed of (D)
(Instr. 3, 4, and 5)6. Date Exercisable and Expiration Date
(Month/Day/Year)7. Title and Amount of Underlying Securities
(Instr. 3 and 4)8. Price of Derivative Security
(Instr. 5)9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)
(Instr. 4)10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)
(Instr. 4)11. Nature of Indirect Beneficial Ownership
(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares Class B Common Stock (2) (2)12/26/2013 C
41,350,000 (2) (2) Class A Common Stock 41,350,000 \$ 0 49,082,762 D Class B Common Stock (2) (2)
(2) (2) Class A Common Stock 373,843,120 373,843,120 I By Mark Zuckerberg, Trustee of the Mark Zuckerberg
Trust dated July 7, 2006 (1) Class B Common Stock (2) (2) (2) (2) Class A Common Stock 3,325,965
3,325,965 I By Mark Zuckerberg, Trustee of the Mark Zuckerberg 2008 Annuity Trust dated March 13, 2008 (3) Class
B Common Stock (2) (2) (2) (2) Class A Common Stock 3 3 I By Mark Zuckerberg, Trustee of the Openess
Trust dated April 12, 2012 (4)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zuckerberg Mark C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025	X	X	COB and CEO	

Signatures

/s/ Michael Johnson as attorney-in-fact for Mark Zuckerberg 12/26/2013
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held of record by Mark Zuckerberg, Trustee of The Mark Zuckerberg Trust dated July 7, 2006.
- (2) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (3) Shares held of record by Mark Zuckerberg, Trustee of the Mark Zuckerberg 2008 Annuity Trust dated March 13, 2008.
- (4) Shares held of record by Mark Zuckerberg, Trustee of the Openess Trust dated April 12, 2012.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.