

GOGUEN MICHAEL L

Form 4

March 07, 2013

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GOGUEN MICHAEL L

(Last) (First) (Middle)

C/O SEQUOIA CAPITAL, 3000  
SAND HILL ROAD, 4-250

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
INFOBLOX INC [BLOX]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/05/2013

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | (A)<br>or<br>(D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 03/05/2013                              |                                                             | J(1)                                    | 92,370                                                                  | D                | 92,371                                                                                                             | I                                                                          | By Sequoia<br>Capital IX, LP<br>(2)                               |
| Common<br>Stock                       | 03/06/2013                              |                                                             | J(1)                                    | 92,371                                                                  | D                | 0                                                                                                                  | I                                                                          | By Sequoia<br>Capital IX, LP<br>(2)                               |
| Common<br>Stock                       | 03/05/2013                              |                                                             | J(1)                                    | 3,849                                                                   | D                | 3,850                                                                                                              | I                                                                          | By Sequoia<br>Capital<br>Entrepreneurs<br>Annex Fund,<br>LP (3)   |

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|              |            |              |           |   |            |           |   |                                                            |
|--------------|------------|--------------|-----------|---|------------|-----------|---|------------------------------------------------------------|
| Common Stock | 03/06/2013 | <u>J</u> (1) | 3,850     | D | <u>(1)</u> | 0         | I | By Sequoia Capital Entrepreneurs Annex Fund, LP <u>(3)</u> |
| Common Stock | 03/05/2013 | <u>J</u> (1) | 360,169   | D | <u>(1)</u> | 360,167   | I | By Sequoia Capital Franchise Fund, LP <u>(4)</u>           |
| Common Stock | 03/06/2013 | <u>J</u> (1) | 360,167   | D | <u>(1)</u> | 0         | I | By Sequoia Capital Franchise Fund, LP <u>(4)</u>           |
| Common Stock | 03/05/2013 | <u>J</u> (1) | 49,114    | D | <u>(1)</u> | 49,115    | I | By Sequoia Capital Franchise Partners, LP <u>(5)</u>       |
| Common Stock | 03/06/2013 | <u>J</u> (1) | 49,115    | D | <u>(1)</u> | 0         | I | By Sequoia Capital Franchise Partners, LP <u>(5)</u>       |
| Common Stock | 03/05/2013 | <u>J</u> (1) | 1,375,060 | D | <u>(1)</u> | 1,375,062 | I | By Sequoia Capital X, LP <u>(6)</u>                        |
| Common Stock | 03/06/2013 | <u>J</u> (1) | 1,375,062 | D | <u>(1)</u> | 0         | I | By Sequoia Capital X, LP <u>(6)</u>                        |
| Common Stock | 03/05/2013 | <u>J</u> (1) | 198,060   | D | <u>(1)</u> | 198,061   | I | By Sequoia Technology Partners X, LP <u>(7)</u>            |
| Common Stock | 03/06/2013 | <u>J</u> (1) | 198,061   | D | <u>(1)</u> | 0         | I | By Sequoia Technology Partners X, LP <u>(7)</u>            |
| Common Stock | 03/05/2013 | <u>J</u> (1) | 122,601   | D | <u>(1)</u> | 122,602   | I | By Sequoia Capital X Principals Fund, LLC <u>(8)</u>       |
| Common Stock | 03/06/2013 | <u>J</u> (1) | 122,602   | D | <u>(1)</u> | 0         | I | By Sequoia Capital X Principals Fund, LLC <u>(8)</u>       |

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|              |            |                   |         |   |            |         |   |                                                                 |
|--------------|------------|-------------------|---------|---|------------|---------|---|-----------------------------------------------------------------|
| Common Stock | 03/05/2013 | J <sup>(9)</sup>  | 112,406 | A | <u>(1)</u> | 449,626 | I | By Michael L. Goguen Trust dated March 28, 2003 <sup>(10)</sup> |
| Common Stock | 03/06/2013 | J <sup>(11)</sup> | 112,409 | A | <u>(1)</u> | 562,035 | I | By Michael L. Goguen Trust dated March 28, 2003 <sup>(10)</sup> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|------------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                       |

## Reporting Owners

| Reporting Owner Name / Address                                                                | Relationships                    |
|-----------------------------------------------------------------------------------------------|----------------------------------|
|                                                                                               | Director 10% Owner Officer Other |
| GOGUEN MICHAEL L<br>C/O SEQUOIA CAPITAL<br>3000 SAND HILL ROAD, 4-250<br>MENLO PARK, CA 94025 | X                                |

## Signatures

Melinda Dunn, by power of attorney for Michael Goguen

03/07/2013

                    Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents a pro rata in-kind distribution of Common Stock of the Issuer to partners or members and includes subsequent distributions by general partners or managing members to their respective partners or members.

The reporting person is a Managing Member of SC IX.I Management, LLC ("SC IX.I Management"), the General Partner of Sequoia Capital IX, LP ("SC IX"), and may be deemed to share voting and dispositive power with respect to the shares held by SC IX. The

- (2) reporting person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person is a Managing Member of SC IX.I Management, the General Partner of Sequoia Capital Entrepreneurs Annex Fund, LP ("SC Annex"), and may be deemed to share voting and dispositive power with respect to the shares held by SC Annex. The

- (3) reporting person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person is a Managing Member of SCFF Management, LLC ("SCFF LLC"), the General Partner of Sequoia Capital Franchise Fund, LP ("SCFF"), and may be deemed to share voting and dispositive power with respect to the shares held by SCFF. The

- (4) reporting person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person is a Managing Member of SCFF LLC, the General Partner of Sequoia Capital Franchise Partners, LP ("SCFP"), and may be deemed to share voting and dispositive power with respect to the shares held by SCFP. The reporting person disclaims

- (5) beneficial ownership of such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person is a Managing Member of SC X Management LLC ("SC X Management"), the General Partner of Sequoia Capital X, LP ("SC X"), and may be deemed to share voting and dispositive power with respect to the shares held by SC X. The reporting

- (6) person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person is a Managing Member of SC X Management, the General Partner of Sequoia Technology Partners X, LP ("STP X"), and may be deemed to share voting and dispositive power with respect to the shares held by STP X. The reporting person disclaims

- (7) beneficial ownership of such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

The reporting person is a Managing Member of SC X Management, the Managing Member of Sequoia Capital X Principals Fund, LLC ("SC X PF"), and may be deemed to share voting and dispositive power with respect to the shares held by SC X PF. The reporting

- (8) person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

Represents the receipt of shares of Common Stock of the Issuer by virtue of the pro rata in-kind distributions described in footnotes (2), (3), (4), (5), (6), (7) and (8) above as follows: (i) 68,253 shares from SC X; (ii) 9,894 shares from SC X PF; (iii) 10,063 shares from STP X; (iv) 20,852 shares from SCFF; (v) 2,440 shares from SCFP; (vi) 8 shares from SC Annex; and (vii) 896 shares from SC IX.

Shares held by the Michael L. Goguen Trust dated March 28, 2003, for which the Reporting serves as trustee. The reporting person may be deemed to beneficially own the shares held by the Michael L. Goguen Trust dated March 28, 2003. The Reporting Person disclaims

- (10) beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities on this report shall not be deemed an admission that the Reporting Person is the beneficial owner of the reported securities for purposes of Section 16 or for any other purpose.

Represents the receipt of shares of Common Stock of the Issuer by virtue of the pro rata in-kind distributions described in footnotes (2), (3), (4), (5), (6), (7) and (8) above as follows: (i) 68,254 shares from SC X; (ii) 9,895 shares from SC X PF; (iii) 10,063 shares from STP X; (iv) 20,852 shares from SCFF; (v) 2,440 shares from SCFP; (vi) 9 shares from SC Annex; and (vii) 896 shares from SC IX.

- (11)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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