

NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC  
Form N-CSRS  
July 06, 2018

As filed with the Securities and Exchange Commission on July 6, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM N-CSR

CERTIFIED SHAREHOLDER REPORT OF

REGISTERED MANAGEMENT INVESTMENT COMPANIES

Investment Company Act file number: 811-21169

NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC.

(Exact name of registrant as specified in charter)

c/o Neuberger Berman Investment Advisers LLC

1290 Avenue of the Americas

New York, New York 10104-0002

(Address of principal executive offices – Zip Code)

Registrant's telephone number, including area code: (212) 476-8800

Joseph V. Amato

Chief Executive Officer and President

Neuberger Berman New York Intermediate Municipal Fund Inc.

c/o Neuberger Berman Investment Advisers LLC

1290 Avenue of the Americas

New York, New York 10104-0002

Arthur C. Delibert, Esq.

K&L Gates LLP

1601 K Street, N.W.

Washington, D.C. 20006-1600

(Names and addresses of agents for service)

Date of fiscal year end: October 31

Date of reporting period: April 30, 2018

Form N-CSR is to be used by management investment companies to file reports with the Commission not later than 10 days after the transmission to stockholders of any report that is required to be transmitted to stockholders under Rule 30e-1 under the Investment Company Act of 1940, as amended ("Act") (17 CFR 270.30e-1). The Commission may use the information provided on Form N-CSR in its regulatory, disclosure review, inspection, and policymaking roles. A registrant is required to disclose the information specified by Form N-CSR, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-CSR unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy

---

of the information collection burden estimate and any suggestions for reducing the burden to Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. § 3507.

---

Item 1. Report to Stockholders.

Following is a copy of the semi-annual report transmitted to stockholders pursuant to Rule 30e-1 under the Act.

Neuberger Berman  
Intermediate Municipal  
Closed-End Funds

Neuberger Berman California Intermediate  
Municipal Fund Inc.

Neuberger Berman Intermediate Municipal  
Fund Inc.

Neuberger Berman New York Intermediate  
Municipal Fund Inc.



## **Semi-Annual Report**

**April 30, 2018**

---



---

Contents

<b>President’s Letter</b>	1
<b>PORTFOLIO COMMENTARIES</b>	2
<b>SCHEDULES OF INVESTMENTS</b>	
California Intermediate Municipal Fund Inc.	6
Intermediate Municipal Fund Inc.	12
New York Intermediate Municipal Fund Inc.	23
<b>FINANCIAL STATEMENTS</b>	29
<b>FINANCIAL HIGHLIGHTS/PER SHARE DATA</b>	
California Intermediate Municipal Fund Inc.	40
Intermediate Municipal Fund Inc.	41
New York Intermediate Municipal Fund Inc.	42
<b>Distribution Reinvestment Plan for each Fund</b>	45
<b>Directory</b>	48
<b>Proxy Voting Policies and Procedures</b>	49
<b>Quarterly Portfolio Schedule</b>	49
<b>Privacy Notice</b>	Located after the Funds’ Report

The “Neuberger Berman” name and logo and “Neuberger Berman Investment Advisers LLC” name are registered service marks of Neuberger Berman Group LLC. The individual Fund names in this piece are either service marks or registered service marks of Neuberger Berman Investment Advisers LLC. ©2018 Neuberger Berman Investment Advisers LLC. All rights reserved.

---

## President's Letter

Dear Stockholder,

I am pleased to present this semi-annual report for Neuberger Berman California Intermediate Municipal Fund Inc. (NBW), Neuberger Berman Intermediate Municipal Fund Inc. (NBH), and Neuberger Berman New York Intermediate Municipal Fund Inc. (NBO and, together with NBW and NBH, the "Funds") for the six months ended April 30, 2018. The report includes portfolio commentaries, listings of the Funds' investments and their unaudited financial statements for the reporting period.

Each Fund's investment objective is to provide a high level of current income exempt from regular federal income tax and, for each state-specific Fund, a high level of current income exempt from that state's personal income taxes (and, in the case of NBO, New York City personal income tax).

We maintain a conservative investment philosophy and disciplined investment process in an effort to provide you with tax-exempt current income over the long term with less volatility and risk.

On April 16, 2018, NBH decreased its monthly distribution rate to \$0.06244 per share of common stock from the prior monthly distribution rate of \$0.0675 per share. NBW decreased its monthly distribution rate to \$0.04480 per share of common stock from the prior monthly distribution rate of \$0.0512 per share. NBO decreased its monthly distribution rate to \$0.03933 per share of common stock from the prior monthly distribution rate of \$0.0437 per share. The Funds' decrease in distribution rates is the result of numerous factors, including the current level of yields available in the municipal market and the impact on the Funds' levels of earnings, expected increased costs of leverage associated with forecasted interest-rate hikes and the amount of available undistributed net investment income, and with respect to NBW and NBO, the lack thereof.

Thank you for your confidence in the Funds. We will continue to do our best to earn your trust in the years to come.

Sincerely,

Robert Conti  
President and CEO  
Neuberger Berman California Intermediate Municipal Fund Inc.  
Neuberger Berman Intermediate Municipal Fund Inc.  
Neuberger Berman New York Intermediate Municipal Fund Inc.

## Neuberger Berman Intermediate Municipal Closed-End Funds Portfolio Commentaries

For six months ended April 30, 2018, on a net asset value (NAV) basis, two of the three Neuberger Berman Intermediate Municipal Closed-End Funds outperformed their benchmark, the Bloomberg Barclays 10-Year Municipal Bond Index (the Index). The California Intermediate Municipal Fund, Intermediate Municipal Fund and New York Intermediate Municipal Fund posted -1.14%, -0.93% and -2.02% total returns, respectively, whereas the benchmark generated a -1.53% total return for the same period. (Fund performance on a market basis is provided in the table immediately following this commentary.) The use of leverage (typically a performance enhancer in up markets and a detractor during market retreats) detracted from performance given the negative price return for the municipal market during the reporting period.

While it produced a negative total return, the municipal bond market outperformed the taxable bond market on a relative basis during the reporting period. Municipal securities were supported by overall positive fundamentals, as well as by solid investor demand at times. However, this wasn't enough to overcome the negative impact from rising Treasury yields. Short-term yields moved higher as the U.S. Federal Reserve (Fed) raised interest rates twice over the period and continued reducing its balance sheet. Meanwhile, longer-term Treasury yields moved higher given expectations of improving growth following the passage of the tax reform bill and, more recently, concerns that the Fed may take a more aggressive approach in terms of future rate hikes. All told, the Bloomberg Barclays Municipal Bond Index returned -0.97% for the six months ended April 30, 2018, whereas the overall taxable investment grade bond market, as measured by the Bloomberg Barclays U.S. Aggregate Bond Index, returned -1.87%.

The Funds' yield curve positioning contributed to performance as the curve flattened over the reporting period. The Funds maintained a lower quality relative to that of the benchmark as they sought to generate additional yield. This was positive for results given the relative outperformance of lower quality bonds. From a sector perspective, an overweight to revenue bonds added to results as they largely outperformed general obligation bonds. In particular, an overweight and security selection of tobacco securitization bonds contributed to performance. On the downside, several of the Funds' holdings experienced idiosyncratic risks that caused them to generate weak results.

Looking ahead, we believe investors are rightly focused on the potential for rising rates—both inflation and interest—and the implications this may have for fixed income portfolios going forward. Specific to the municipal bond market, we believe that technicals continue to look promising for 2018. In our opinion, significantly-reduced new issue supply should help maintain demand for municipal products. At this time, we also believe that the modest reduction in top marginal tax brackets should have little impact on demand from most individual investors in the tax-exempt market. That said, we have seen a reduction in demand from U.S. banks, and property & casualty insurance companies, as some of these institutions process the impact of the cut in the corporate tax rate to 21%. Elsewhere, we continue to track the progress on the Trump administration's infrastructure spending proposals. We believe that there are significant challenges for the current proposals and are skeptical that the proposals will lead to a meaningful increase in municipal supply this year. We continue to closely follow the primary and secondary markets in order to seek attractive buying opportunities for our investors.

Sincerely,

James L. Iselin and S. Blake Miller  
Portfolio Co-Managers

The portfolio composition, industries and holdings of each Fund are subject to change without notice.

The opinions expressed are those of the Funds' portfolio managers. The opinions are as of the date of this report and are subject to change without notice.

The value of securities owned by a Fund, as well as the market value of shares of the Fund's common stock, may decline in response to certain events, including those directly involving the issuers whose securities are owned by the Fund; conditions affecting the general economy; overall market changes; local, regional, national or global political, social or economic instability; regulatory or legislative developments; price and interest rate fluctuations, including those resulting from changes in central bank policies; and changes in investor sentiment.

2

---

**TICKER SYMBOLS**

California Intermediate Municipal Fund Inc. NBW  
Intermediate Municipal Fund Inc. NBH  
New York Intermediate Municipal Fund Inc. NBO

**CALIFORNIA INTERMEDIATE  
MUNICIPAL FUND INC. PORTFOLIO  
BY STATE AND TERRITORY**

(as a % of Total Investments\*)

American Samoa	0.4%
California	89.9
Florida	0.4
Georgia	0.4
Guam	1.5
Illinois	1.3
Louisiana	0.4
Nevada	0.7
New Jersey	0.5
North Carolina	0.5
Ohio	0.7
Pennsylvania	1.6
Puerto Rico	0.7
Tennessee	0.4
Texas	0.2
Virgin Islands	0.4
Total	100.0%

\* Does not include the impact of the Fund's open positions in derivatives, if any.

**NEW YORK INTERMEDIATE  
MUNICIPAL FUND INC. PORTFOLIO  
BY STATE AND TERRITORY**

(as a % of Total Investments\*)

American Samoa	0.4%
California	3.7
Georgia	0.4
Guam	1.9
Illinois	0.9
Louisiana	0.5
Nevada	0.9
New York	86.8
Ohio	0.4
Pennsylvania	1.9
Puerto Rico	0.0
Texas	0.3
Virgin Islands	0.4
Other	1.5
Total	100.0%



\* Does not include the impact of the Fund's open positions in derivatives, if any.

### PERFORMANCE HIGHLIGHTS<sup>1</sup>

At NAV <sup>2</sup>	Inception Date	Six Months	Average Annual Total Return			
		Period Ended 04/30/2018	1 Year	5 Years	10 Years	Life of Fund
California Intermediate Municipal Fund Inc.	09/24/2002	-1.14%	2.08%	3.70%	5.72%	5.55%
Intermediate Municipal Fund Inc.	09/24/2002	-0.93%	2.49%	4.31%	6.21%	5.94%
New York Intermediate Municipal Fund Inc.	09/24/2002	-2.02%	0.79%	2.92%	4.81%	4.97%
<b>At Market Price<sup>3</sup></b>						
California Intermediate Municipal Fund Inc.	09/24/2002	-7.20%	-7.58%	0.46%	4.96%	4.21%
Intermediate Municipal Fund Inc.	09/24/2002	-6.73%	-4.64%	1.89%	6.12%	4.97%
New York Intermediate Municipal Fund Inc.	09/24/2002	-4.72%	-4.21%	-0.71%	4.29%	3.64%
<b>Index</b>						
Bloomberg Barclays 10-Year Municipal Bond Index <sup>4</sup>		-1.53%	1.11%	2.41%	4.57%	4.33%

Closed-end funds, unlike open-end funds, are not continually offered. Generally, there is an initial public offering and, once issued, shares of common stock of closed-end funds are sold in the secondary market on a stock exchange.

The performance data quoted represent past performance and do not indicate future results. Current performance may be lower or higher than the performance data quoted. For more current performance data, please visit [www.nb.com/cef-performance](http://www.nb.com/cef-performance).

**The results shown in the table reflect the reinvestment of income dividends and other distributions, if any.** The results do not reflect the effect of taxes a stockholder would pay on Fund distributions or on the sale of shares of a Fund's common stock.

The investment return and market price will fluctuate and shares of a Fund's common stock may trade at prices above or below NAV. Shares of a Fund's common stock, when sold, may be worth more or less than their original cost.

Returns would have been lower if Neuberger Berman Investment Advisers LLC ("Management") had not waived a portion of its investment management fees during certain of the periods shown. The waived fees are from prior years that are no longer disclosed in the Financial Highlights.

### INTERMEDIATE MUNICIPAL FUND INC. PORTFOLIO BY STATE AND TERRITORY

(as a % of Total Investments\*)

Alabama	0.5%	Missouri	0.6%
Alaska	0.0	Nevada	1.3
American Samoa	0.4	New Hampshire	0.3
Arizona	2.9	New Jersey	3.0
California	21.0	New Mexico	0.3
Colorado	2.8	New York	7.8
Connecticut	0.2	North Carolina	2.2

District of Columbia	2.0	Ohio	2.4
Florida	4.2	Oklahoma	0.6
Georgia	0.6	Oregon	0.1
Guam	1.1	Pennsylvania	3.6
Hawaii	1.6	South Carolina	0.5
Illinois	14.4	Tennessee	1.2
Indiana	1.8	Texas	3.9
Iowa	1.6	Utah	1.9
Kentucky	0.6	Vermont	1.8
Louisiana	1.3	Virginia	0.2
Massachusetts	2.6	Washington	2.4
Michigan	1.2	West Virginia	0.2
Minnesota	0.5	Wisconsin	3.8
Mississippi	0.6	Total	100.0%

\* Does not include the impact of the Fund's open positions in derivatives, if any.

## Endnotes

- 1 A portion of each Fund's income may be a tax preference item for purposes of the federal alternative minimum tax for certain stockholders.
- 2 Returns based on the NAV of each Fund.
- 3 Returns based on the market price of shares of each Fund's common stock on the NYSE American.
- 4 Please see "Description of Index" on page 5 for a description of the index.  
For more complete information on any of the Neuberger Berman Intermediate Municipal Closed-End Funds, call Neuberger Berman Investment Advisers LLC at (800) 877-9700, or visit our website at [www.nb.com](http://www.nb.com).

4

---

Description of Index

**Bloomberg Barclays 10-Year Municipal Bond Index:** The index is the 10-year (8-12 years to maturity) component of the Bloomberg Barclays Municipal Bond Index. The Bloomberg Barclays Municipal Bond Index measures the investment grade, U.S. dollar-denominated, long-term, tax-exempt bond market and has four main sectors: state and local general obligation bonds, revenue bonds, insured bonds and prerefunded bonds.

Please note that the index does not take into account any fees and expenses or any tax consequences of investing in the individual securities that it tracks and that individuals cannot invest directly in any index. Data about the performance of this index are prepared or obtained by Management and include reinvestment of all income dividends and other distributions, if any. Each Fund may invest in securities not included in the above described index and generally does not invest in all securities included in the index.

5

---

Schedule of Investments California Intermediate Municipal Fund Inc.  
(Unaudited) April 30, 2018

<b>PRINCIPAL AMOUNT</b>	<b>VALUE</b>
(000's omitted)	(000's omitted)
<b>Municipal Notes 173.4%</b>	
<b>American Samoa 0.7%</b>	
\$600 American Samoa Econ. Dev. Au. Gen. Rev. Ref., Ser. 2015-A, 6.25%, due 9/1/29	<b>\$577</b>
<b>California 155.9%</b>	
1,000 Bay Area Toll Au. Toll Bridge Rev., Ser. 2013-S-4, 5.00%, due 4/1/27 Pre-Refunded 4/1/23	1,136
1,500 Bay Area Toll Au. Toll Bridge Rev. (San Francisco Bay Area), Ser. 2012, 5.00%, due 4/1/21	1,633
California Ed. Facs. Au. Ref. Rev. (Univ. of Redlands)	
250 Ser. 2016-A, 5.00%, due 10/1/28	289
260 Ser. 2016-A, 3.00%, due 10/1/29	257
400 Ser. 2016-A, 3.00%, due 10/1/30	390
California Hlth. Facs. Fin. Au. Rev. (Children's Hosp. Los Angeles), Ser. 2012-A, 5.00%, due 11/15/26	1,089
California Infrastructure & Econ. Dev. Bank St. Sch. Fund Lease Rev. (King City Joint Union High Sch. Dist. Fin.), Ser. 2010, 5.13%, due 8/15/24	1,057
California Muni. Fin. Au. Charter Sch. Lease Rev. (Sycamore Academy Proj.), Ser. 2014, 5.63%, due 7/1/44	1,017 (a)(b)
California Muni. Fin. Au. Charter Sch. Lease Rev. (Vista Charter Middle Sch. Proj.), Ser. 2014, 5.13%, due 7/1/29	526 (b)
California Muni. Fin. Au. Charter Sch. Rev. (John Adams Academics Proj.), Ser. 2015-A, 4.50%, due 10/1/25	258
California Muni. Fin. Au. Charter Sch. Rev. (Palmdale Aerospace Academy Proj.), Ser. 2016, 5.00%, due 7/1/31	1,042 (a)
California Muni. Fin. Au. Rev. (Baptist Univ.), Ser. 2015-A, 5.00%, due 11/1/30	539 (a)
California Muni. Fin. Au. Rev. (Biola Univ.)	
375 Ser. 2013, 4.00%, due 10/1/25	400
410 Ser. 2013, 4.00%, due 10/1/26	436
455 Ser. 2013, 4.00%, due 10/1/27	483
600 California Muni. Fin. Au. Rev. (Southwestern Law Sch.), Ser. 2011, 6.00%, due 11/1/26	669
California Muni. Fin. Au. Rev. (Touro College & Univ. Sys. Obligated Group)	
605 Ser. 2014-A, 4.00%, due 1/1/27	625
630 Ser. 2014-A, 4.00%, due 1/1/28	648
330 Ser. 2014-A, 4.00%, due 1/1/29	338
California Sch. Fac. Fin. Au. Rev. (Alliance College - Ready Pub. Sch. Proj.), Ser. 2015-A, 5.00%,	446 (a)

	due 7/1/30		
	California Sch. Fac. Fin. Au. Rev. (KIPP LA Proj.)		
400	Ser. 2017-A, 4.00%, due 7/1/23	421	(a)
435	Ser. 2014-A, 4.13%, due 7/1/24	452	
375	Ser. 2017-A, 5.00%, due 7/1/25	422	(a)
130	Ser. 2017-A, 5.00%, due 7/1/27	149	(a)
2,195	California St. Dept. of Veterans Affairs Home Purchase Ref. Rev., Ser. 2016-A, 3.00%, due 6/1/29	2,137	
	California St. Dept. of Wtr. Res. Ctr. Valley Proj. Rev. (Wtr. Sys.)		
15	Ser. 2012-AN, 5.00%, due 12/1/21	17	
540	Ser. 2012-AN, 5.00%, due 12/1/21	598	
	California St. Dept. of Wtr. Res. Pwr. Supply Rev.		
1,240	Ser. 2010-L, 5.00%, due 5/1/22 Pre-Refunded 5/1/20	1,317	
760	Ser. 2010-L, 5.00%, due 5/1/22	807	
1,500	California St. G.O., Ser. 2012, 5.00%, due 2/1/27	1,649	
965	California St. G.O. (Kindergarten Univ.), (LOC: State Street Bank & Trust Co.), Ser. 2004, 1.20%, due 5/1/34	965	(c)
1,000	California St. Infrastructure & Econ. Dev. Bank Rev. (Goodwill Industries of Sacramento Valley & No. Proj.), Ser. 2016-A, 5.00%, due 1/1/36	969	(a)
2,000	California St. Poll. Ctrl. Fin. Au. Rev. (San Jose Wtr. Co. Proj.), Ser. 2016, 4.75%, due 11/1/46	2,124	
750	California St. Poll. Ctrl. Fin. Au. Solid Waste Disp. Rev. (Aemerge Redak Svcs. So. California LLC Proj.), Ser. 2016, 7.00%, due 12/1/27	715	(a)(b)
2,000	California St. Poll. Ctrl. Fin. Au. Wtr. Furnishing Rev., Ser. 2012, 5.00%, due 7/1/27	2,170	(a)
1,095	California St. Pub. Works Board Lease Rev. (California Comm. Colleges), Ser. 2004-B, 5.50%, due 6/1/20	1,098	

See Notes to Financial Statements 6

---

Schedule of Investments California Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

**PRINCIPAL AMOUNT**

(000's omitted)

\$ 415	California St. Sch. Fin. Au. Charter Sch. Rev. (Downtown College Prep-Oblig. Group), Ser. 2016, 4.50%, due 6/1/31	\$
400	California St. Sch. Fin. Au. Charter Sch. Rev. (Rocketship Edu.), Ser. 2016-A, 5.00%, due 6/1/31	
595	California St. Sch. Fin. Au. Ed. Facs. Rev. (Partnerships Uplifts Comm. Valley Proj.), Ser. 2014-A, 5.35%, due 8/1/24	
1,000	California St. Var. Purp. G.O., Ser. 2012, 4.00%, due 9/1/21	
720	California Statewide CDA Rev. (Henry Mayo Newhall Mem. Hosp.), Ser. 2014-A, (AGM Insured), 5.00%, due 10/1/26	
700	California Statewide CDA Rev. (Redwoods Proj.), Ser. 2013, 5.00%, due 11/15/28	
550	California Statewide CDA Rev. (Sr. Living So. California Presbyterian Homes), Ser. 2009, 6.25%, due 11/15/19	
1,500	California Statewide CDA Rev. (St. Joseph Hlth. Sys.), Ser. 2000, (National Public Finance Guarantee Corp. Insured), 5.13%, due 7/1/24 Pre-Refunded 7/1/18	
600	California Statewide CDA Rev. Ref. (Lancer Ed. Std. Hsg. Proj.), Ser. 2016-A, 5.00%, due 6/1/36	
1,500	California Statewide CDA Rev. Ref. (Loma Linda Univ. Med. Ctr.), Ser. 2014-A, 5.25%, due 12/1/29	
1,500	California Statewide CDA Rev. Ref. (Redlands Comm. Hosp.), Ser. 2016, 4.00%, due 10/1/41	
1,200	California Statewide CDA Spec. Tax Rev. Ref. (Comm. Facs. Dist. #2007-01 Orinda Wilder Proj.), Ser. 2015, 4.50%, due 9/1/25	
2,055	California Statewide CDA Std. Hsg. Rev. (Univ. of Irvin Campus Apts. Phase IV), Ser. 2017-A, 5.00%, due 5/15/32	
500	California Statewide CDA Std. Hsg. Rev. Ref. (Baptist University), Ser. 2017-A, 5.00%, due 11/1/32	
2,000	Contra Costa Co. Redev. Agcy. Successor Agcy. Tax Allocation Ref., Ser. 2017-A, (BAM Insured), 5.00%, due 8/1/31	
	Corona Norco Unified Sch. Dist. Pub. Fin. Au. Sr. Lien Rev.	
350	Ser. 2013-A, 5.00%, due 9/1/26	
560	Ser. 2013-A, 5.00%, due 9/1/27	
1,365	Daly City Hsg. Dev. Fin. Agcy. Rev. Ref. (Franciscan Mobile Home Park), Ser. 2007-A, 5.00%, due 12/15/21	
2,000	Davis Joint Unified Sch. Dist. Cert. of Participation (Yolo Co.), Ser. 2014, (BAM Insured), 4.00%, due 8/1/24	
5,000	Deutsche Bank Spears/Lifers Trust Rev. (Floaters) (Deutsche Bank AG), Ser. 2017-7007, 2.25%, due 3/1/42	
1,250	Emeryville Redev. Agcy. Successor Agcy. Tax Allocation Ref. Rev., Ser. 2014-A, (AGM Insured), 5.00%, due 9/1/25	
	Golden St. Tobacco Securitization Corp. Tobacco Settlement Asset-Backed Rev.	
3,520	Ser. 2007-A-1, 5.00%, due 6/1/33	
3,000	Ser. 2007-A-1, 5.75%, due 6/1/47	
1,000	Imperial Comm. College Dist. G.O. Cap. Appreciation (Election 2010), Ser. 2011-A, (AGM Insured), 6.75%, due 8/1/40 Pre-Refunded 8/1/25	
1,000	Inglewood Pub. Fin. Au. Ref. Rev., Ser. 2012, 5.00%, due 8/1/18	
1,000	Inglewood Unified Sch. Dist. Facs. Fin. Au. Rev., Ser. 2007, (AGM Insured), 5.25%, due 10/15/26	

	Irvine Spec. Tax (Comm. Facs. Dist. Number 2005-2)
150	Ser. 2013, 4.00%, due 9/1/23
300	Ser. 2013, 4.00%, due 9/1/24
450	Ser. 2013, 4.00%, due 9/1/25
645	Ser. 2013, 3.50%, due 9/1/26
690	Ser. 2013, 3.63%, due 9/1/27
1,600	Irvine Unified Sch. Dist. Spec. Tax (Comm. Facs. Dist. # 09-1), (LOC: U.S. Bank), Ser. 2014, 1.29%, due 9/1/54
680	Jurupa Pub. Fin. Auth. Spec. Tax Rev., Ser. 2014-A, 5.00%, due 9/1/24
	La Verne Cert. of Participation (Brethren Hillcrest Homes)
315	Ser. 2014, 5.00%, due 5/15/26
500	Ser. 2014, 5.00%, due 5/15/29
1,105	Lodi Pub. Fin. Au. Lease Rev., Ser. 2012, 5.25%, due 10/1/26
2,000	Los Angeles Muni. Imp. Corp. Lease Ref. Rev. (Real Property), Ser. 2012-C, 5.00%, due 3/1/27
500	Los Angeles Reg. Arpt. Imp. Corp. Lease Rev. (Laxfuel Corp.), Ser. 2012, 4.50%, due 1/1/27
425	Mill Valley Sch. Dist. G.O. Cap. Appreciation, Ser. 1994-A, 0.00%, due 8/1/19
1,000	Mountain House Pub. Fin. Au. Utils. Sys. Rev., Ser. 2007, 5.00%, due 12/1/22
1,385	Ohlone Comm. College Dist. G.O. (Election 2010), Ser. 2014-B, 0.00%, due 8/1/29
1,490	Oxnard Harbor Dist. Rev., Ser. 2011-B, 4.50%, due 8/1/24

See Notes to Financial Statements 7

---



Schedule of Investments California Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

**PRINCIPAL AMOUNT**

(000's omitted)

\$1,250	Palomar Hlth. Ref. Rev., Ser. 2016, 4.00%, due 11/1/39
1,500	Pico Rivera Pub. Fin. Au. Lease Rev., Ser. 2009, 4.75%, due 9/1/25 Pre-Refunded 9/1/19
1,000	Rancho Cucamonga Redev. Agcy. Successor Agcy. Tax Allocation Rev. (Rancho Redev. Proj.), Ser. 2014, (AGM Insured), 5.00%, due 9/1/27
	Riverside Co. Comm. Facs. Dist. Spec. Tax Rev. (Scott Road)
355	Ser. 2013, 4.00%, due 9/1/21
600	Ser. 2013, 5.00%, due 9/1/25
	Riverside Co. Trans. Commission Toll Rev. Sr. Lien (Cap. Appreciation)
1,320	Ser. 2013-B, 0.00%, due 6/1/22
1,500	Ser. 2013-B, 0.00%, due 6/1/23
	Romoland Sch. Dist. Spec. Tax Ref. (Comm. Facs. Dist. Number 2006-1)
100	Ser. 2017, 4.00%, due 9/1/29
200	Ser. 2017, 4.00%, due 9/1/30
525	Ser. 2017, 3.25%, due 9/1/31
1,700	Sacramento Area Flood Ctrl. Agcy. Ref. (Consol Capital Assessment Dist. Number 2), Ser. 2016-A, 5.00%, due 10/1/47
	Sacramento City Fin. Au. Ref. Rev. (Master Lease Prog. Facs.)
1,000	Ser. 2006-E, (AMBAC Insured), 5.25%, due 12/1/24
400	Ser. 2006-E, (AMBAC Insured), 5.25%, due 12/1/26
1,950	Sacramento Co. Arpt. Sys. Rev. Ref., Ser. 2018-C, 5.00%, due 7/1/33
500	Sacramento Spec. Tax (Natomas Meadows Comm. Facs. Dist. Number 2007-01), Ser. 2017, 5.00%, due 9/1/47
1,350	San Bernardino Comm. College Dist. G.O. (Election 2002), Ser. 2008-A, 6.25%, due 8/1/24 Pre-Refunded 8/1/18
	San Francisco City & Co. Arpts. Commission Int'l Ref. Rev.
750	Ser. 2009-D2, 3.00%, due 5/1/21
1,000	Ser. 2009-C2, 5.00%, due 5/1/21
1,220	San Francisco City & Co. Redev. Fin. Au. Tax Allocation (San Francisco Redev. Proj.), Ser. 2003-B, (National Public Finance Guarantee Corp. Insured), 5.25%, due 8/1/18
2,000	San Francisco City & Co. Unified Sch. Dist. Ref. Rev., Ser. 2012, 4.00%, due 6/15/25
530	San Jose Multi-Family Hsg. Rev. (Fallen Leaves Apts. Proj.), Ser. 2002-J1, (AMBAC Insured), 4.95%, due 12/1/22
	San Juan Unified Sch. Dist. G.O.
1,000	Ser. 2012-C, 4.00%, due 8/1/22
1,070	Ser. 2012-C, 4.00%, due 8/1/25
685	San Mateo Foster City Sch. Dist. G.O. (Election 2015), Ser. 2016-A, 4.00%, due 8/1/29
	San Mateo Union High Sch. Dist. G.O. (Election 2010)
105	Ser. 2011-A, 0.00%, due 9/1/25
895	Ser. 2011-A, 0.00%, due 9/1/25 Pre-Refunded 9/1/21
1,000	San Rafael City High Sch. Dist. G.O. Cap. Appreciation (Election 2002), Ser. 2004-B, (National Public Finance Guarantee Corp. Insured), 0.00%, due 8/1/18
1,390	San Rafael Redev. Agcy. Tax Allocation Ref. (Central San Rafael Redev. Proj.), Ser. 2009, (Assured

	Guaranty Insured), 5.00%, due 12/1/21
	Santa Maria Bonita Sch. Dist. Cert. of Participation (New Sch. Construction Proj.)
310	Ser. 2013, (BAM Insured), 3.25%, due 6/1/25
575	Ser. 2013, (BAM Insured), 3.50%, due 6/1/26
325	Ser. 2013, (BAM Insured), 3.50%, due 6/1/27
270	Ser. 2013, (BAM Insured), 3.50%, due 6/1/28
1,000	Santa Monica-Malibu Unified Sch. Dist. Ref. G.O., Ser. 2013, 3.00%, due 8/1/27
1,000	Successor Agcy. to the Monrovia Redev. Agcy. Tax Allocation Rev. (Cent. Redev. Proj.), Ser. 2013, 5.00%, due 8/1/26
290	Sulphur Springs Union Sch. Dist. Cert. of Participation Conv. Cap. Appreciation Bonds, Ser. 2010, (AGM Insured), 6.50%, due 12/1/37
1,145	Sulphur Springs Union Sch. Dist. Cert. of Participation Conv. Cap. Appreciation Bonds (Unrefunded), Ser. 2010, (AGM Insured), 6.50%, due 12/1/37
2,000	Sweetwater Union High Sch. Dist. Pub. Fin. Au. Rev., Ser. 2013, (BAM Insured), 5.00%, due 9/1/25

See Notes to Financial Statements 8

---

Schedule of Investments California Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

**PRINCIPAL AMOUNT**

(000's omitted)

	Vernon Elec. Sys. Rev.	
\$ 420	Ser. 2009-A, 5.13%, due 8/1/21 Pre-Refunded 8/1/19	\$
975	Ser. 2009-A, 5.13%, due 8/1/21	
3,000	Victor Valley Comm. College Dist. G.O. Cap. Appreciation (Election 2008), Ser. 2009-C, 0.00%, due 8/1/37	
1,500	Vista Unified Sch. Dist. G.O., Ser. 2012, 5.00%, due 8/1/21	
3,500	William S. Hart Union High Sch. Dist. G.O. Cap. Appreciation (Election 2001), Ser. 2005-B, (AGM Insured), 0.00%, due 9/1/26	
2,250	Wiseburn Sch. Dist. G.O. Cap. Appreciation (Election 2010), Ser. 2011-B, (AGM Insured), 0.00%, due 8/1/36	

**Florida 0.7%**

525 Lakeland Ed. Facs. Rev. (Florida So. College Proj.), Ser. 2012-A, 5.00%, due 9/1/24

**Georgia 0.7%**

600 Greene Co. Dev. Au. Swr. Fac. Rev., Ser. 2015, 6.13%, due 1/1/25

**Guam 2.7%**

1,110 Guam Gov't Hotel Occupancy Tax Rev., Ser. 2011-A, 5.25%, due 11/1/18  
1,000 Guam Gov't Waterworks Au. Wtr. & Wastewater Sys. Rev., Ser. 2010, 5.25%, due 7/1/25 Pre-Refunded 7/1/20

**Illinois 2.3%**

1,000 Chicago Ref. G.O., Ser. 2003-B, 5.00%, due 1/1/23  
750 Illinois St. G.O., Ser. 2013, 5.00%, due 7/1/23

**Louisiana 0.6%**

500 Louisiana Pub. Facs. Au. Rev. (Southwest Louisiana Charter Academy Foundation Proj.), Ser. 2013-A, 7.63%, due 12/15/28

**Nevada 1.3%**

1,000 Las Vegas Redev. Agcy. Tax Increment Rev., Ser. 2009-A, 7.50%, due 6/15/23 Pre-Refunded 6/15/19

**New Jersey 1.0%**

750 New Jersey St. Econ. Dev. Au. Rev. (Continental Airlines, Inc., Proj.), Ser. 1999, 5.13%, due 9/15/23

**North Carolina 0.8%**

655 North Carolina Med. Care Commission Hlth. Care Fac. First Mtge. Rev. (Lutheran Svcs. for Aging, Inc.), Ser. 2012-A, 4.25%, due 3/1/24

**Ohio 1.2%**

1,000 Buckeye Tobacco Settlement Fin. Au. Asset-Backed Rev. (Turbo), Ser. 2007-A-2, 5.88%, due 6/1/47

**Pennsylvania 2.7%**

Pennsylvania St. Turnpike Commission Rev.

285 Subser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20

305 Subser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20

1,410 Subser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20

See Notes to Financial Statements 9

---

Schedule of Investments California Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

<b>PRINCIPAL AMOUNT</b>	<b>VALUE</b>
(000's omitted)	(000's omitted)
<b>Puerto Rico 1.2%</b>	
\$1,000 Puerto Rico Muni. Fin. Agcy. Rev., Ser. 2002-A, (AGM Insured), 5.25%, due 8/1/21	<b>\$ 1,002</b>
<b>Tennessee 0.7%</b>	
500 Tennessee St. Energy Acquisition Corp. Gas Rev. (Goldman Sachs Group, Inc.), Ser. 2006-A, 5.25%, due 9/1/23	<b>559</b>
<b>Texas 0.3%</b>	
300 Mission Econ. Dev. Corp. Wtr. Supply Rev. (Green Bond-Env. Wtr. Minerals Proj.), Ser. 2015, 7.75%, due 1/1/45	<b>232<sup>(a)(b)</sup></b>
<b>Virgin Islands 0.6%</b>	
250 Virgin Islands Pub. Fin. Au. Rev. Ser. 2014-A, 5.00%, due 10/1/24	182
500 Virgin Islands Pub. Fin. Au. Rev. Ser. 2014-A, 5.00%, due 10/1/29	334
	<b>516</b>
<b>Total Investments 173.4% (Cost \$134,811)</b>	<b>141,644</b>
Liabilities Less Other Assets (1.2)%	(998)
Liquidation Value of Variable Rate Municipal Term Preferred Shares (net of unamortized deferred offering costs of approximately \$34,000) (72.2)%	(58,966)
<b>Net Assets Applicable to Common Stockholders 100.0%</b>	<b>\$ 81,680</b>

- (a) Securities were purchased under Rule 144A of the Securities Act of 1933, as amended, or are otherwise restricted and, unless registered under the Securities Act of 1933 or exempted from registration, may only be sold to qualified institutional investors or may have other restrictions on resale. At April 30, 2018, these securities amounted to approximately \$16,789,000, which represents 20.6% of net assets applicable to common stockholders of the Fund. Securities denoted with (a) but without (b) have been deemed by the investment manager to be liquid.
- (b) Illiquid security.
- (c) Variable rate demand obligation where the stated interest rate is not based on a published reference rate and spread. Rather, the interest rate generally resets daily or weekly and is determined by the remarketing agent. The rate shown represents the rate in effect at April 30, 2018.
- (d) All or a portion of this security is segregated in connection with obligations for when-issued securities with a total value of approximately \$3,045,000.

- (e) When-issued security. Total value of all such securities at April 30, 2018, amounted to approximately \$2,247,000, which represents 2.8% of net assets applicable to common stockholders of the Fund.
- (f) Currently a zero coupon security; will convert to 6.88% on August 1, 2019.
- (g) Currently a zero coupon security; will convert to 7.30% on August 1, 2026.

See Notes to Financial Statements 10

---

Schedule of Investments California Intermediate Municipal Fund Inc.

(Unaudited) (cont'd)

The following is a summary, categorized by Level (see Note A of Notes to Financial Statements), of inputs used to value the Fund's investments as of April 30, 2018:

**Asset Valuation Inputs**

<b>(000's omitted)</b>	<b>Level 1</b>	<b>Level 2</b>	<b>Level 3</b>	<b>Total</b>
Investments:				
Municipal Notes <sup>(a)</sup>	\$—	\$141,644	\$—	\$141,644
<b>Total Investments</b>	<b>\$—</b>	<b>\$141,644</b>	<b>\$—</b>	<b>\$141,644</b>

(a) The Schedule of Investments provides a categorization by state/territory for the portfolio.

As of the six months ended April 30, 2018, no securities were transferred from one level (as of October 31, 2017) to another.

See Notes to Financial Statements 11

---

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) April 30, 2018

<b>PRINCIPAL AMOUNT</b>	<b>VALUE</b>
(000's omitted)	(000's omitted)
<b>Municipal Notes 162.9%</b>	
<b>Alabama 0.7%</b>	
\$1,900 Selma IDB Rev. (Int'l Paper Co. Proj.), Ser. 2011-A, 5.38%, due 12/1/35	<b>\$ 2,044</b>
<b>Alaska 0.1%</b>	
200 Valdez Marine Term. Rev. Ref. (Exxon Pipeline Co. Proj.), Ser. 1993-A, 1.55%, due 12/1/33	<b>200</b> (a)
<b>American Samoa 0.6%</b>	
1,700 American Samoa Econ. Dev. Au. Gen. Rev. Ref., Ser. 2015-A, 6.25%, due 9/1/29	<b>1,635</b>
<b>Arizona 4.8%</b>	
5,000 Arizona Sch. Fac. Board Cert. of Participation, Ser. 2008, (Assured Guaranty Insured), 5.13%, due 9/1/21 Pre-Refunded 9/1/18	5,055
500 Maricopa Co. Ind. Dev. Au. Ed. Ref. Rev. (Paradise Sch. Proj. Paragon Management, Inc.), Ser. 2016, 5.00%, due 7/1/36	507 (b)
1,500 Maricopa Co. Ind. Dev. Au. Sr. Living Facs. Rev. (Christian Care Surprise, Inc. Proj.), Ser. 2016, 5.00%, due 1/1/26	1,500 (b)(c)
2,250 Navajo Nation Ref. Rev., Ser. 2015-A, 5.00%, due 12/1/25	2,386 (b)
3,000 Phoenix Ind. Dev. Au. Ed. Rev. (Great Hearts Academies Proj.), Ser. 2014, 3.75%, due 7/1/24	3,058
400 Phoenix Ind. Dev. Au. Rev. (Deer Valley Veterans Assisted Living Proj.), Ser. 2016-A, 5.13%, due 7/1/36	392
1,500 Phoenix Ind. Dev. Au. Solid Waste Disp. Rev. (Vieste Spec. LLC), Ser. 2013-A, 4.38%, due 4/1/28	82 (c)(d)
400 Phoenix-Mesa Gateway Arpt. Au. Spec. Fac. Rev. (Mesa Proj.), Ser. 2012, 5.00%, due 7/1/24	438
	<b>13,418</b>
<b>California 34.2%</b>	
2,250 California Hlth. Facs. Fin. Au. Rev. (Cedars-Sinai Med. Ctr.), Ser. 2009, 5.00%, due 8/15/39 Pre-Refunded 8/15/19	2,342
1,000 California Hlth. Facs. Fin. Au. Rev. (Children's Hosp. Los Angeles), Ser. 2012-A, 5.00%, due 11/15/26	1,089
1,725 California Infrastructure & Econ. Dev. Bank St. Sch. Fund Rev. (King City Joint Union High Sch.), Ser. 2010, 5.13%, due 8/15/24	1,824
	California Muni. Fin. Au. Charter Sch. Lease Rev. (Sycamore Academy Proj.)



Edgar Filing: NEUBERGER BERMAN NEW YORK INTERMEDIATE MUNICIPAL FUND INC - Form N-CSRS

805	Ser. 2014, 5.00%, due 7/1/24	818	(b)(c)
630	Ser. 2014, 5.13%, due 7/1/29	628	(b)(c)
	California Muni. Fin. Au. Charter Sch. Lease Rev. (Vista Charter Middle Sch. Proj.)		
970	Ser. 2014, 5.00%, due 7/1/24	1,013	(c)
430	Ser. 2014, 5.13%, due 7/1/29	452	(c)
	California Muni. Fin. Au. Charter Sch. Rev. (Palmdale Aerospace Academy Proj.), Ser.		
500	2016, 5.00%, due 7/1/31	521	(b)
570	California Muni. Fin. Au. Rev. (Baptist Univ.), Ser. 2015-A, 5.00%, due 11/1/30	615	(b)
	California Muni. Fin. Au. Rev. (Touro College & Univ. Sys. Obligated Group), Ser.		
585	2014-A, 4.00%, due 1/1/26	606	
	California Sch. Fac. Fin. Au. Rev. (Alliance College - Ready Pub. Sch. Proj.), Ser.		
1,300	2015-A, 5.00%, due 7/1/30	1,449	(b)
	California St. Dept. of Veterans Affairs Home Purchase Ref. Rev.		
2,155	Ser. 2016-A, 2.90%, due 6/1/28	2,094	
2,450	Ser. 2016-A, 2.95%, due 12/1/28	2,383	
50	California St. G.O., Ser. 2007, (XLCA Insured), 4.50%, due 8/1/27	50	
	California St. Infrastructure & Econ. Dev. Bank Rev. (Goodwill Industries of		
500	Sacramento Valley & No. Proj.), Ser. 2016-A, 5.00%, due 1/1/36	484	(b)
	California St. Poll. Ctrl. Fin. Au. Solid Waste Disp. Rev. (Aemerage Redak Svcs. So.		
500	California LLC Proj.), Ser. 2016, 7.00%, due 12/1/27	477	(b)(c)

See Notes to Financial Statements 12

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

<b>PRINCIPAL AMOUNT</b>		<b>VALUE</b>
(000's omitted)		(000's omitted)
\$5,000	California St. Poll. Ctrl. Fin. Au. Wtr. Furnishing Rev., Ser. 2012, 5.00%, due 7/1/27	\$ 5,424 <sup>(b)</sup>
4,000	California St. Var. Purp. G.O., Ser. 2009, 5.63%, due 4/1/25	4,138
2,800	Deutsche Bank Spears/Lifers Trust Rev. (Floaters) (Deutsche Bank AG), Ser. 2017-7007, 2.25%, due 3/1/42	2,800 <sup>(a)(b)</sup>
2,000	Emery Unified Sch. Dist. G.O. (Election 2010), Ser. 2011-A, 6.50%, due 8/1/33 Pre-Refunded 8/1/21	2,285
2,000	Golden St. Tobacco Securitization Corp. Tobacco Settlement Asset-Backed Rev., Ser. 2007-A-1, 5.75%, due 6/1/47	2,030
2,000	Imperial Comm. College Dist. G.O. Cap. Appreciation (Election 2010), Ser. 2011-A, (AGM Insured), 6.75%, due 8/1/40 Pre-Refunded 8/1/25	2,598
1,115	Inglewood Pub. Fin. Au. Ref. Rev. (Lease), Ser. 2012, 5.00%, due 8/1/18	1,124
590	La Verne Cert. of Participation Ref. (Brethren Hillcrest Homes), Ser. 2014, 5.00%, due 5/15/29	629
2,250	Los Angeles Reg. Arpt. Imp. Corp. Lease Rev. Ref. (Laxfuel Corp.), Ser. 2012, 4.50%, due 1/1/27	2,342
3,620	Norwalk-La Mirada Unified Sch. Dist. G.O. Cap. Appreciation, Ser. 2005-B, (AGM Insured), 0.00%, due 8/1/24	3,082
5,750	Norwalk-La Mirada Unified Sch. Dist. G.O. Cap. Appreciation (Election 2002), Ser. 2009-E, (Assured Guaranty Insured), 0.00%, due 8/1/29	5,987 <sup>(e)</sup>
5,000	Redondo Beach Unified Sch. Dist. G.O., Ser. 2009, 6.38%, due 8/1/34	6,408
815	Rocklin Unified Sch. Dist. G.O. Cap. Appreciation, Ser. 1994-B, (National Public Finance Guarantee Corp. Insured), 0.00%, due 8/1/19	779
4,000	Sacramento City Fin. Au. Ref. Rev. (Master Lease Prog. Facs.), Ser. 2006-E, (AMBAC Insured), 5.25%, due 12/1/26	4,825
2,000	San Bernardino Comm. College Dist. G.O. Cap. Appreciation (Election), Ser. 2009-B, 0.00%, due 8/1/34	2,313 <sup>(f)</sup>
2,000	San Francisco City & Co. Arpt. Commission Int'l Arpt. Ref. Rev., Ser. 2009-C2, 5.00%, due 5/1/25	2,062
6,000	San Mateo Foster City Sch. Dist. G.O. Cap. Appreciation (Election 2008), Ser. 2010-A, 0.00%, due 8/1/32	5,819 <sup>(g)</sup>
1,540	Successor Agcy. to the Monrovia Redev. Agcy. Tax Allocation Rev. (Cent. Redev. Proj.), Ser. 2013, 5.00%, due 8/1/26	1,687
2,040		2,310

Endnotes

	Sweetwater Union High Sch. Dist. Pub. Fin. Au. Rev., Ser. 2013, (BAM Insured), 5.00%, due 9/1/25	
	Vernon Elec. Sys. Rev.	
635	Ser. 2009-A, 5.13%, due 8/1/21 Pre-Refunded 8/1/19	656
1,470	Ser. 2009-A, 5.13%, due 8/1/21	1,516
9,070	Victor Valley Comm. College Dist. G.O. Cap. Appreciation (Election 2008), Ser. 2009-C, 0.00%, due 8/1/37	11,679 <sup>(h)</sup>
5,095	Victor Valley Joint Union High Sch. Dist. G.O. Cap. Appreciation Bonds, Ser. 2009, (Assured Guaranty Insured), 0.00%, due 8/1/26	3,993
3,000	Wiseburn Sch. Dist. G.O. Cap. Appreciation (Election 2010), Ser. 2011-B, (AGM Insured), 0.00%, due 8/1/36	2,596 <sup>(i)</sup>
		<b>95,927</b>
<b>Colorado 4.5%</b>		
	Colorado Ed. & Cultural Facs. Au. Rev. (Charter Sch.- Atlas Preparatory Sch. Proj.)	
500	Ser. 2015, 4.50%, due 4/1/25	505 <sup>(b)</sup>
1,000	Ser. 2015, 5.13%, due 4/1/35	1,010 <sup>(b)</sup>
	Colorado Ed. & Cultural Facs. Au. Rev. Ref.	
305	Ser. 2014, 4.00%, due 11/1/24	308 <sup>(c)</sup>
750	Ser. 2014, 4.50%, due 11/1/29	761 <sup>(c)</sup>
5,000	Denver City & Co. Arpt. Sys. Rev., Ser. 2011-B, 5.00%, due 11/15/24	5,420
2,550	Plaza Metro. Dist. Number 1 Tax Allocation Rev., Ser. 2013, 4.00%, due 12/1/23	2,614 <sup>(b)</sup>
8,000	Villages at Castle Rock Co. Metro. Dist. #6 (Cabs - Cobblestone Ranch Proj.), Ser. 2007-2, 0.00%, due 12/1/37	2,033
		<b>12,651</b>

See Notes to Financial Statements 13

---

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

<b>PRINCIPAL AMOUNT</b>	<b>VALUE</b>
(000's omitted)	(000's omitted)
<b>Connecticut 0.3%</b>	
\$750 Hamden G.O., Ser. 2013, (AGM Insured), 3.13%, due 8/15/25	<b>\$760</b>
<b>District of Columbia 3.3%</b>	
1,615 Dist. of Columbia HFA Rev. (Capitol Hill Towers Proj.), Ser. 2011, (Fannie Mae Insured), 4.10%, due 12/1/26	1,690
3,035 Dist. of Columbia Rev. (Friendship Pub. Charter Sch.), Ser. 2012, 3.55%, due 6/1/22	3,072 (j)
520 Dist. of Columbia Rev. (Howard Univ.), Ser. 2011-A, 6.25%, due 10/1/23	554
650 Dist. of Columbia Std. Dorm. Rev. (Provident Group-Howard Prop.), Ser. 2013, 5.00%, due 10/1/45	643
1,000 Metro. Washington Dist. of Columbia Arpt. Au. Sys. Rev. Ser. 2008-A, 5.50%, due 10/1/18	1,014
2,000 Ser. 2011-C, 5.00%, due 10/1/26	2,157
	<b>9,130</b>
<b>Florida 6.9%</b>	
1,600 Cap. Trust Agcy. Rev. (Tuscan Gardens Palm Coast Proj.), Ser. 2017-A, 7.00%, due 10/1/49	1,551 (b)(c)
1,000 Cityplace Comm. Dev. Dist. Spec. Assessment Rev. Ref., Ser. 2012, 5.00%, due 5/1/26	1,123
1,075 Florida Dev. Fin. Corp. Ed. Facs. Rev. (Pepin Academies, Inc.), Ser. 2016-A, 5.00%, due 7/1/36	1,005
975 Florida Dev. Fin. Corp. Ed. Facs. Rev. (Renaissance Charter Sch., Inc.) Ser. 2012-A, 5.50%, due 6/15/22	990 (b)
3,120 Ser. 2013-A, 6.75%, due 12/15/27	3,289
1,750 Ser. 2014-A, 5.75%, due 6/15/29	1,820
1,200 Florida Dev. Fin. Corp. Sr. Living Rev. (Tuscan Isle Champions Gate Proj.), Ser. 2016-A, 6.38%, due 6/1/46	1,180 (b)
1,075 Florida Dev. Fin. Corp. Sr. Living Rev. (Tuscan Isle Obligated Group), Ser. 2015-A, 7.00%, due 6/1/45	858 (b)
100 Greater Orlando Aviation Au. Arpt. Facs. Ref. Rev. (JetBlue Airways Corp. Proj.), Ser. 2013, 5.00%, due 11/15/36	103
1,000 Hillsborough Co. Ind. Dev. Au. IDR (Hlth. Facs.), Ser. 2008-B, 8.00%, due 8/15/32 Pre-Refunded 8/15/19	1,087
1,135 Lakeland Ed. Facs. Rev. Ref. (Florida So. College Proj.), Ser. 2012-A, 5.00%, due 9/1/27	1,242
2,000 Lee Co. Arpt. Ref. Rev., Ser. 2011-A, 5.63%, due 10/1/25	2,191
2,000 Martin Co. Ind. Dev. Au. Ref. Rev. (Indiantown Cogeneration Proj.), Ser. 2013, 4.20%, due 12/15/25	2,022 (b)

955	Village Comm. Dev. Dist. Number 11 Spec. Assessment Rev., Ser. 2014, 4.13%, due 5/1/29	954	
		<b>19,415</b>	
<b>Georgia 1.0%</b>			
1,750	Cobb Co. Dev. Au. Sr. Living Ref. Rev. (Provident Village Creekside Proj.), Ser. 2016-A, 6.00%, due 7/1/36	1,608	(b)(c)
1,100	Greene Co. Dev. Au. Swr. Fac. Rev., Ser. 2015, 6.13%, due 1/1/25	1,070	(b)(c)
		<b>2,678</b>	
<b>Guam 1.7%</b>			
1,220	Guam Gov't Hotel Occupancy Tax Rev. Ser. 2011-A, 5.75%, due 11/1/20	1,309	
650	Ser. 2011-A, 5.75%, due 11/1/21	705	
2,630	Guam Gov't Waterworks Au. Wtr. & Wastewater Sys. Rev., Ser. 2010, 5.25%, due 7/1/25 Pre-Refunded 7/1/20	2,808	
		<b>4,822</b>	

See Notes to Financial Statements 14

---

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

<b>PRINCIPAL AMOUNT</b>	<b>VALUE</b>
(000's omitted)	(000's omitted)
<b>Hawaii 2.7%</b>	
\$5,200 Hawaii St. Arpt. Sys. Ref. Rev., Ser. 2011, 4.13%, due 7/1/24	\$ 5,450
2,000 Hawaii St. Dept. of Budget & Fin. Spec. Purp. Rev. (Hawaii Elec. Co., Inc. -Subsidiary), Ser. 2009, 6.50%, due 7/1/39	2,079
	<b>7,529</b>
<b>Illinois 23.4%</b>	
5,705 Berwyn G.O., Ser. 2013-A, 5.00%, due 12/1/27	5,985
Chicago G.O.	
250 Ser. 2002-2002B, 5.13%, due 1/1/27	262
2,000 Ser. 2002-B, 5.00%, due 1/1/25	2,101
2,000 Ser. 2009-C, 5.00%, due 1/1/27	2,012
Chicago Ref. G.O.	
1,000 Ser. 2005-D, 5.50%, due 1/1/40	1,048
2,500 Ser. 2012-C, 5.00%, due 1/1/24	2,594
700 Ser. 2014-A, 5.00%, due 1/1/27	724
3,000 Ser. 2017-A, 6.00%, due 1/1/38	3,334
Cook Co. Sch. Dist. Number 83 G.O. (Mannheim)	
1,350 Ser. 2013-C, 5.45%, due 12/1/30	1,472
1,960 Ser. 2013-C, 5.50%, due 12/1/31	2,139
1,970 Cook Co. Township High Sch. Dist. Number 225 Northfield Township, Ser. 2008, 5.00%, due 12/1/25	1,975
1,560 Illinois Fin. Au. Ref. Rev. (Presence Hlth. Network Obligated Group), Ser. 2016-C, 5.00%, due 2/15/31	1,748
4,000 Illinois Fin. Au. Ref. Rev. (Roosevelt Univ. Proj.), Ser. 2009, 5.75%, due 4/1/24	4,192
Illinois Fin. Au. Rev. (Provena Hlth.), Ser. 2010-A, 6.25%, due 5/1/22 Pre-Refunded 5/1/20	3,612
3,340 Illinois Fin. Au. Rev. Ref. (Northwestern Mem. Hlth. Care Obligated Group), Ser.	
2,000 2017-A, 4.00%, due 7/15/47	2,023
1,905 Illinois Sports Facs. Au. Cap. Appreciation Rev. (St. Tax Supported), Ser. 2001, (AMBAC Insured), 0.00%, due 6/15/26	1,335
Illinois St. G.O.	
2,900 Ser. 2009-A, 5.00%, due 9/1/29	2,907
3,900 Ser. 2012, 4.00%, due 8/1/25	3,815
1,000 Ser. 2013, 5.00%, due 7/1/23	1,046
2,200 Ser. 2017-D, 5.00%, due 11/1/28	2,271
4,250 Illinois St. G.O. Ref., Ser. 2016, 5.00%, due 2/1/24	4,419
1,600 Pingree Grove Village Rev. (Cambridge Lakes Learning Ctr. Proj.), Ser. 2011, 8.00%, due 6/1/26 Pre-Refunded 6/1/21	1,824

	So. Illinois Univ. Cert. of Participation (Cap. Imp. Proj.)	
945	Ser. 2014-A-1, (BAM Insured), 5.00%, due 2/15/27	1,007
1,375	Ser. 2014-A-1, (BAM Insured), 5.00%, due 2/15/28	1,460
715	Ser. 2014-A-1, (BAM Insured), 5.00%, due 2/15/29	756
	Univ. of Illinois (Hlth. Svc. Facs. Sys.)	
2,725	Ser. 2013, 5.00%, due 10/1/27	2,946
2,875	Ser. 2013, 5.75%, due 10/1/28	3,224
	Upper Illinois River Valley Dev. Au. Rev. Ref. (Cambridge Lakes Learning Ctr.), Ser.	
1,500	2017-A, 5.25%, due 12/1/47	1,507 <sup>(b)</sup>
	Will Co. High Sch. Dist. Number 204 G.O. (Joliet Jr. College), Ser. 2011-A, 6.25%, due	
1,850	1/1/31	2,033
		<b>65,771</b>
<b>Indiana 3.0%</b>		
4,000	Indiana Bond Bank Rev. (Spec. Prog. Clark Mem. Hosp.), Ser. 2009-D, 5.50%, due 8/1/29 Pre-Refunded 2/1/19	4,107
	Indiana Trans. Fin. Au. Hwy. Ref. Rev., Ser. 2004-B, (National Public Finance	
3,055	Guarantee Corp. Insured), 5.75%, due 12/1/21	3,438
795	Valparaiso Exempt Facs. Rev. (Pratt Paper LLC Proj.), Ser. 2013, 5.88%, due 1/1/24	867
		<b>8,412</b>

See Notes to Financial Statements 15

---

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

<b>PRINCIPAL AMOUNT</b>	<b>VALUE</b>
(000's omitted)	(000's omitted)
<b>Iowa 2.6%</b>	
\$5,110	\$ 5,156
1,540	1,622
440	465
	<b>7,243</b>
<b>Kentucky 1.0%</b>	
2,585	2,533
150	151
	<b>2,684</b>
<b>Louisiana 2.1%</b>	
1,500	1,648
1,715	1,679 (b)
775	818
1,655	1,722
	<b>5,867</b>
<b>Massachusetts 4.2%</b>	
200	216
415	446
200	214
190	202
150	159
1,640	1,742
2,305	2,405
3,410	3,594
1,995	2,070
795	797



**11,845****Michigan 1.9%**

	Jackson College Dormitories Hsg. Rev.	
1,000	Ser. 2015, 6.50%, due 5/1/35	1,038
500	Ser. 2015, 6.75%, due 5/1/46	521
	Michigan St. Hsg. Dev. Au. Rev.	
1,935	Ser. 2016-C, 2.05%, due 12/1/22	1,879
1,835	Ser. 2016-C, 2.15%, due 6/1/23	1,779
100	Summit Academy Pub. Sch. Academy Ref. Rev., Ser. 2005, 6.38%, due 11/1/35	100
		<b>5,317</b>

See Notes to Financial Statements 16

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

**PRINCIPAL AMOUNT**

(000's omitted)

**Minnesota 0.8%**

\$ 2,250 Minneapolis & St. Paul Hsg. & Redev. Au. Hlth. Care Sys. (Children's Hlth. Care Facs.),  
Ser. 2010-A1, (AGM Insured), 4.50%, due 8/15/24

\$ 2,34

**Mississippi 0.9%**

2,585 Mississippi Bus. Fin. Corp. Gulf Opportunity Zone Rev., Ser. 2009-A, 4.70%, due 5/1/24

2,65

**Missouri 1.0%**

400 Missouri St. Hlth. & Ed. Fac. Au. Rev. (St. Louis Univ.), Ser. 2008-B-1, (LOC: Barclays Bank PLC),  
1.48%, due 10/1/35

40

Missouri St. Hlth. & Ed. Facs. Au. Rev. (Children's Mercy Hosp.)

1,885 Ser. 2009, 5.13%, due 5/15/24 Pre-Refunded 5/15/19

1,94

390 Ser. 2009, 5.13%, due 5/15/24

40

2,74

**Nevada 2.1%**

Director of the St. of Nevada Dept. of Bus. & Ind. Rev. (Somerset Academy)

1,650 Ser. 2015-A, 4.00%, due 12/15/25

1,63

500 Ser. 2015-A, 5.13%, due 12/15/45

50

3,545 Las Vegas Redev. Agcy. Tax Increment Rev., Ser. 2009-A, 7.50%, due 6/15/23  
Pre-Refunded 6/15/19

3,76

5,90

**New Hampshire 0.5%**

1,500 New Hampshire St. Bus. Fin. Au. Solid Waste Disp. Rev. (Casella Waste Sys., Inc.), Ser. 2013,  
4.00%, due 4/1/29 Putable 10/1/19

1,49

**New Jersey 5.0%**

2,500 New Jersey Econ. Dev. Au. Rev. (Continental Airlines, Inc., Proj.), Ser. 1999, 5.13%, due 9/15/23  
New Jersey Econ. Dev. Au. Rev. (The Goethals Bridge Replacement Proj.)

2,69

500 Ser. 2013, 5.25%, due 1/1/25

56

500 Ser. 2013, 5.50%, due 1/1/26

56

New Jersey Econ. Dev. Au. Rev. (United Methodist Homes of New Jersey Obligated Group)

1,420 Ser. 2013, 3.50%, due 7/1/24

1,42

1,470 Ser. 2013, 3.63%, due 7/1/25

1,48

1,520 Ser. 2013, 3.75%, due 7/1/26

1,53

765 Ser. 2013, 4.00%, due 7/1/27

77

180 New Jersey Econ. Dev. Au. Rev. Ref. (Sch. Facs. Construction), Ser. 2005-K, (AMBAC Insured),  
5.25%, due 12/15/20

19

3,535 New Jersey Higher Ed. Assist. Au. Rev. (Std. Loan Rev.), Ser. 2012-1A, 4.38%, due 12/1/26

3,69

1,000 New Jersey Trans. Trust Fund Au. (Federal Hwy. Reimbursement Notes), Ser. 2016-A-2, 5.00%,

1,00

due 6/15/21

13,94

**New Mexico 0.5%**

Winrock Town Ctr. Tax Increment Dev. Dist. Number 1 Tax Allocation Sr. Lien Rev.  
(Gross Receipts Tax Increment Bond)

500	Ser. 2015, 5.25%, due 5/1/25	49
1,000	Ser. 2015, 5.75%, due 5/1/30	1,000
		<b>1,500</b>

See Notes to Financial Statements 17

---

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

**PRINCIPAL AMOUNT**

(000's omitted)

**New York 12.7%**

		VALU
		(000's omitted)
\$ 225	Buffalo & Erie Co. Ind. Land Dev. Corp. Rev. Ref. (Charter Sch. for Applied Technologies Proj.), Ser. 2017-A, 5.00%, due 6/1/35	\$ 238
625	Buffalo & Erie Co. Ind. Land Dev. Corp. Rev. Ref. (Orchard Park), Ser. 2015, 5.00%, due 11/15/29	673
1,100	Build NYC Res. Corp. Rev. Ser. 2014, 5.00%, due 11/1/24	1,142
835	Build NYC Res. Corp. Rev. Ser. 2014, 5.25%, due 11/1/29	869
250	Build NYC Res. Corp. Rev. (Met Lighthouse Charter Sch. Proj.), Ser. 2017-A, 5.00%, due 6/1/47	258
500	Build NYC Res. Corp. Rev. (South Bronx Charter Sch. for Int'l Cultures and the Arts) Ser. 2013-A, 3.88%, due 4/15/23	501
1,450	Build NYC Res. Corp. Rev. Ser. 2013-A, 5.00%, due 4/15/43	1,469
1,000	Build NYC Res. Corp. Solid Waste Disp. Ref. Rev. (Pratt Paper, Inc. Proj.), Ser. 2014, 4.50%, due 1/1/25	1,077
	Hempstead Town Local Dev. Corp. Rev. (Molloy College Proj.)	
700	Ser. 2014, 5.00%, due 7/1/23	773
735	Ser. 2014, 5.00%, due 7/1/24	821
1,400	Jefferson Co. IDA Solid Waste Disp. Rev. (Green Bond), Ser. 2014, 5.25%, due 1/1/24	1,274
1,000	MTA Hudson Rail Yards Trust Oblig. Rev., Ser. 2016-A, 5.00%, due 11/15/46	1,033
500	New York Liberty Dev. Corp. Ref. Rev. (3 World Trade Ctr. Proj.), Ser. 2014-2, 5.38%, due 11/15/40	540
4,000	New York St. Dorm. Au. Rev. Non St. Supported Debt (Mount Sinai Sch. of Medicine), Ser. 2009, 5.25%, due 7/1/33 Pre-Refunded 7/1/19	4,156
3,200	New York St. Dorm. Au. Rev. Non St. Supported Debt (Univ. Facs.), Ser. 2013-A, 5.00%, due 7/1/28	3,576
2,000	New York St. HFA Rev. (Affordable Hsg.), Ser. 2009-B, 4.85%, due 11/1/41	2,014
2,000	New York St. Mtge. Agcy. Homeowner Mtge. Ref. Rev., Ser. 2014-189, 3.45%, due 4/1/27	2,009
2,000	New York St. Trans. Dev. Corp. Spec. Fac. Rev. (Delta Airlines, Inc.-LaGuardia Arpt. Term. C&D Redev.), Ser. 2018, 5.00%, due 1/1/33	2,223
2,000	New York St. Urban Dev. Corp. Rev., Ser. 2008-D, 5.25%, due 1/1/20	2,043
710	Newburgh G.O., Ser. 2012-A, 5.00%, due 6/15/20	746
	Newburgh G.O. (Deficit Liquidation)	
495	Ser. 2012-B, 5.00%, due 6/15/20	520
520	Ser. 2012-B, 5.00%, due 6/15/21	556
550	Ser. 2012-B, 5.00%, due 6/15/22	597
1,435	Niagara Area Dev. Corp. Rev. (Niagara Univ. Proj.), Ser. 2012-A, 5.00%, due 5/1/23	1,566
1,000	Niagara Area Dev. Corp. Solid Waste Disp. Fac. Rev. (Covanta Energy Proj.), Ser. 2012-B, 4.00%, due 11/1/24	1,000
1,155	Suffolk Co. Judicial Facs. Agcy. Lease Rev. (H. Lee Dennison Bldg.), Ser. 2013, 4.25%, due 11/1/26	1,216
2,000	Utility Debt Securitization Au. Rev., Ser. 2013-TE, 5.00%, due 12/15/28	2,271
600	Westchester Co. Local Dev. Corp. Rev. Ref. (Wartburg Sr. Hsg. Proj.), Ser. 2015-A, 5.00%, due 6/1/30	595

35,760

**North Carolina 3.6%**

1,605	North Carolina Med. Care Commission Hlth. Care Facs. Rev. (Lutheran Svc. For Aging, Inc.), Ser. 2012-A, 4.25%, due 3/1/24	1,663
2,000	North Carolina Med. Care Commission Retirement Facs. Rev., Ser. 2013, 5.13%, due 7/1/23 North Carolina Muni. Pwr. Agcy. Number 1 Catawba Elec. Ref. Rev.	2,118
3,740	Ser. 2009-A, 5.00%, due 1/1/26 Pre-Refunded 1/1/19	3,818
1,510	Ser. 2009-A, 5.00%, due 1/1/26	1,538
1,000	Oak Island Enterprise Sys. Rev., Ser. 2009, (Assured Guaranty Insured), 5.63%, due 6/1/24 Pre-Refunded 6/1/19	1,040
		<b>10,177</b>

See Notes to Financial Statements 18

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

**PRINCIPAL AMOUNT****VALUE**

(000's omitted)

(000's  
omitted)**Ohio 3.9%**

\$ 8,875	Buckeye Tobacco Settlement Fin. Au. Asset-Backed Sr. Rev. (Turbo), Ser. 2007-A-2, 5.88%, due 6/1/47	\$ 8,819
2,060	Cleveland Arpt. Sys. Rev. Ref., Ser. 2012-A, 5.00%, due 1/1/27	2,210
		<b>11,029</b>

**Oklahoma 1.0%**

2,000	Oklahoma St. Dev. Fin. Au. Hlth. Sys. Rev. (OU Medicine Proj.), Ser. 2018-B, 5.00%, due 8/15/33 Tulsa Arpt. Imp. Trust Ref. Rev.	2,206
250	Ser. 2015-A, (BAM Insured), 5.00%, due 6/1/24	277
400	Ser. 2015-A, (BAM Insured), 5.00%, due 6/1/25	442
		<b>2,925</b>

**Oregon 0.1%**

240	Oregon St. Hsg. & Comm. Svc. Dept. Multi-Family Rev., Ser. 2012-B, (FHA Insured), 3.50%, due 7/1/27	<b>241</b>
-----	-----------------------------------------------------------------------------------------------------	------------

**Pennsylvania 5.9%**

	Indiana Co. Ind. Dev. Au. Rev. (Std. Cooperative Assoc., Inc.)	
500	Ser. 2012, 3.50%, due 5/1/25	514
350	Ser. 2012, 3.60%, due 5/1/26	360
2,830	Lancaster Co. Hosp. Au. Ref. Rev. (Hlth. Centre-Landis Homes Retirement Comm. Proj.), Ser. 2015-A, 4.25%, due 7/1/30	2,934
1,250	Lancaster Ind. Dev. Au. Rev. (Garden Spot Village Proj.), Ser. 2013, 5.38%, due 5/1/28	1,369
3,430	Norristown Area Sch. Dist. Cert. of Participation (Installment Purchase), Ser. 2012, 4.50%, due 4/1/27	3,580
2,625	Pennsylvania Econ. Dev. Fin. Au. Exempt Facs. Rev. Ref. (Amtrak Proj.), Ser. 2012-A, 5.00%, due 11/1/24	2,858
1,600	Pennsylvania Econ. Dev. Fin. Au. Rev. Ref. (Tapestry Moon Sr. Hsg. Proj.), Ser. 2018-A, 6.75%, due 12/1/53	1,607 <sup>(b)</sup>
	Pennsylvania St. Turnpike Commission Rev.	
150	Subser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20	165
705	Subser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20	775
145	Subser. 2010-B2, 6.00%, due 12/1/34 Pre-Refunded 12/1/20	160
2,000	Susquehanna Area Reg. Arpt. Au. Sys. Rev., Ser. 2012-A, 5.00%, due 1/1/27	2,151
		<b>16,473</b>

**South Carolina 0.8%**

1,500	South Carolina Jobs Econ. Dev. Au. Econ. Dev. Rev. (River Park Sr. Living Proj.), Ser. 2017-A, 7.75%, due 10/1/57	1,481
750	South Carolina Jobs Econ. Dev. Au. Solid Waste Disp. Rev. (Repower South Berkeley LLC Proj.),	769 <sup>(b)</sup>

Ser. 2017, 6.25%, due 2/1/45

**2,250**

**Tennessee 2.0%**

535	Bristol IDB Sales Tax Rev., Ser. 2016-B, 0.00%, due 12/1/22	424 <sup>(b)</sup>
2,705	Memphis-Shelby Co. Arpt. Au. Arpt. Rev., Ser. 2010-B, 5.50%, due 7/1/19	2,813
2,000	Tennessee St. Energy Acquisition Corp. Gas Rev. (Goldman Sachs Group, Inc.), Ser. 2006-A, 5.25%, due 9/1/23	2,236
		<b>5,473</b>

See Notes to Financial Statements 19

---

Schedule of Investments Intermediate Municipal Fund Inc.  
(Unaudited) (cont'd)

**PRINCIPAL AMOUNT****VALU**

(000's omitted)

(000's  
omitted)**Texas 6.3%**

\$ 250	Anson Ed. Facs. Corp. Ed. Rev. (Arlington Classics Academy), Ser. 2016-A, 5.00%, due 8/15/45	\$ 259
	Arlington Higher Ed. Fin. Corp. Rev. (Universal Academy)	
500	Ser. 2014-A, 5.88%, due 3/1/24	499
1,000	Ser. 2014-A, 6.63%, due 3/1/29	1,005
770	Clifton Higher Ed. Fin. Corp. Rev. (Uplift Ed.), Ser. 2013-A, 3.10%, due 12/1/22	776
250	Dallas Co. Flood Ctrl. Dist. Ref. G.O., Ser. 2015, 5.00%, due 4/1/28	262
2,000	Fort Bend Co. Ind. Dev. Corp. Rev. (NRG Energy, Inc.), Ser. 2012-B, 4.75%, due 11/1/42	2,029
500	Gulf Coast Ind. Dev. Au. Rev. (CITGO Petroleum Proj.), Ser. 1995, 4.88%, due 5/1/25	512
1,000	Harris Co. Cultural Ed. Facs. Fin. Corp. Rev. (Brazos Presbyterian Homes, Inc. Proj.), Ser. 2013-B, 5.75%, due 1/1/28	1,099
2,900	Harris Co. Toll Road Sr. Lien Rev., Ser. 2008-B, 5.00%, due 8/15/33 Pre-Refunded 8/15/18	2,926
	Houston Higher Ed. Fin. Corp. Rev. (Cosmos Foundation)	
320	Ser. 2012-A, 4.00%, due 2/15/22	327
1,000	Ser. 2012-A, 5.00%, due 2/15/32	1,058
500	New Hope Cultural Ed. Facs. Fin. Corp. Retirement Fac. Ref. Rev. (Carillon Life Care Comm. Proj.), Ser. 2016, 5.00%, due 7/1/36	511
500	New Hope Cultural Ed. Facs. Fin. Corp. Sr. Living Rev. (Cardinal Bay, Inc. Village On The Park Carriage), Ser. 2016-C, 5.75%, due 7/1/51	528
	North Texas Tollway Au. Dallas North Tollway Sys. Rev.	
2,435	Ser. 2005-C, 6.00%, due 1/1/23 Pre-Refunded 1/1/19	