

JOHNSON CONTROLS INC
Form 4
April 07, 2005

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
VALANJU SUBHASH S

(Last) (First) (Middle)
5757 N. GREEN BAY AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)
04/05/2005

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Vice President and CIO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	04/05/2005		A	35.622 A \$ 56.1452	4,816.619 ⁽¹⁾	D	
Common Stock					6,133.397 ⁽²⁾	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Phantom Stock Units - EICP Plan	(2)					(3)	(3)	Common Stock	19,9
Phantom Stock Units - LTPP Plan	(2)					(5)	(5)	Common Stock	2,53
Phantom Stock Units/Excess Benefit Plan-Common	(2)					(7)	(7)	Common Stock	1,76
Stock Option	\$ 28.8907					11/18/2000	11/18/2008	Common Stock	10
Stock Option	\$ 29.2032					11/17/2001	11/17/2009	Common Stock	24
Stock Option	\$ 28.4219					11/15/2002	11/15/2010	Common Stock	30
Stock Option	\$ 40.115					11/14/2003	11/14/2011	Common Stock	22
Stock Option	\$ 40.2975					11/20/2004 ⁽⁹⁾	11/20/2012	Common Stock	24
Stock Option	\$ 52.55					11/19/2005 ⁽⁹⁾	11/19/2013	Common Stock	24
Stock Option	\$ 61.69					11/17/2006 ⁽⁹⁾	11/17/2014	Common Stock	21

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

VALANJU SUBHASH S
5757 N. GREEN BAY AVENUE
P.O. BOX 591
MILWAUKEE, WI 53201-0591

Vice
President
and CIO

Signatures

Arlene D. Gumm Attorney-In-Fact for Subhash S.
Valanju

04/07/2005

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 19.338 shares acquired through the reinvestment of dividends on March 31, 2005, at a price of \$55.9791 per share.
- (2) The number of underlying securities is based on the stock fund balance on April 5, 2005. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a April 5, 2005 stock fund price of \$55.82 per share.
- (3) The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (4) Includes 89.025 phantom stock units acquired through the reinvestment of dividends on March 31, 2005 at a price of \$55.76 per phantom unit.
- (5) The phantom stock units were accrued under the Johnson Controls Long-Term Performance Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (6) Includes 11.306 phantom stock units acquired through the reinvestment of dividends on March 31, 2005 at a price of \$55.76 per phantom unit.
- (7) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (8) Includes 7.868 phantom stock units acquired through the reinvestment of dividends on March 31, 2005 at a price of \$55.76 per phantom unit.
- (9) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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