

Edgar Filing: ROBERTS GEORGE - Form 4

ROBERTS GEORGE

Form 4

April 29, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

☐ Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

1. Name and Address of Reporting Person(s)

Roberts, George J.

c/o Delphi Asset Mgmt Corp.

6005 Plumas St., #202, Reno, NV 89509

(775) 689 3456; stock_us@oracle.com

2. Issuer Name and Ticker or Trading Symbol

Oracle Corporation (ORCL)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

04/28/03

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

☐ Director

☐ 10% Owner

☒ Officer (give title below) ☐ Other (specify below)

Executive Vice President,

North American Sales

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1) Title of Security	2) Transaction Date (Month/Day/Year)	3) Transaction Code	4) Securities Acquired (A) or Disposed of (D) Amount	5) Amount of Securities Beneficially Owned Following Transaction	6) D or I	7) Nature of Indirect or Beneficial Ownership
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Common Stock	04/28/03	M	250,000	A	\$6.0469	
Common Stock	04/28/03	M	500,000	A	\$6.8750	
Common Stock	04/28/03	S	695,400	D	\$12.00	
Common Stock	04/28/03	S	36,900	D	\$12.01	
Common Stock	04/28/03	S	17,700	D	\$12.02	13,153 D

Table II (PART 1) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

1) Title of Derivative Security	2) Conversion or Exercise Price of Derivative Security	3) Transaction Date	4) Transaction Code	5) Number of Derivative Securities Acquired (A) or Disposed of (D)
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Non-Qualified Stock Option (right to buy)	\$6.0469	04/28/03	M	250,000
Non-Qualified Stock Option (right to buy)	\$6.8750	04/28/03	M	500,000

Table II (PART 2) Derivative Securities Acquired, Disposed of, or Beneficially Owned (Columns 1

1) Title of Derivative Security	3) Transaction Date	7) Title and Amount of Underlying Securities	8) Price of Derivative Security	9) Number of Derivative Securities Beneficially Owned Following Reported Trans	10) D or I	11) Nature of Indirect Beneficial Ownership
-		Title	Amount or Number of Shares			
Non-Qual Stock Option (right to buy)	04/28/03	Common Stock	250,000	250,000		D
Non-Qual Stock Option (right to buy)	04/28/03	Common Stock	500,000	300,000		D

SIGNATURE OF REPORTING PERSON

/s/George J. Roberts

Name: George J. Roberts

Date: 4/28/03