Edgar Filing: GATES SIGNE S - Form 4

**GATES SIGNE S** Form 4 April 28, 2003

#### FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**OMB APPROVAL** 

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> Filed By Romeo and Dye's Section 16 Filer www.section16.net

Name and Address of Re Gates Signe S.				and Tick Inc. "B"		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First)  Barnes Group Inc. P.O. Box 489, 123 Main S	3. I.R.S of Repo if an er	orting	Pe		umbe	Mo	Statement for onth/Day/Year oril 24, 2003	Director			
(Street) Bristol, CT 06011-0489						Da (M	If Amendment, te of Original fonth/Day/Year)	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State)	(Zip)		<u> Fable</u>				tive Sec	curities Acquired, Disp	1		
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	Trans actior Code (Instr 8)	- 1	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		D) 5)	Securities Beneficially Owned Follow- ing Reported Transactions(s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						or					
Common Stock, Par Value \$0.01 Per Share	04/24/03		M		218	(D) <b>A</b>	\$18.21				
Common Stock, Par Value \$0.01 Per Share	04/24/03		F		200	D	\$20.90	31,706.7536(1)	D		
Common Stock, Par Value \$0.01 Per Share								5,114.7253	I	By Employee Stock Purchase Plan	
Common Stock, Par Value \$0.01 Per Share								3,125.0940 <sup>(2)</sup>	I	By 401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	_	5.						8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	action	Deemed	Trans-	Nun	nber	and Expiratio	n	Amount of		Derivative	Derivative	Owner-	of Indirect
Security	Exercise	Date	Execution	action	of		Date		Underlying		Security	Securities	ship	Beneficial
	Price of		Date,	Code	Deri	vativ	(Month/Day/		Securities		(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative	(Month/	if any				Year)		(Instr. 3 &			Owned	of Deriv-	(Instr. 4)
` ′	Security	Day/	(Month/	(Instr.	Acq				`	,			ative	,
			`	8)	(A)								Security:	
			Year)		Disp							~	Direct	
					of (I		Ī						(D)	
					01 (1	)						(Instr. 4)	or	
					(Inst								Indirect	
					3, 4								(I)	
					l ′	α							` '	
					5)			T					(Instr. 4)	
				Code V	(A)	(D)	Date	Expira-	Title	Amount				
							Exer-cisable	tion		or				
								Date		Number				
										of				
										Shares				
Employee	\$18.21	04/24/03		М		218	(3)	02/06/11	Common	218		38,261	D	
Stk	, , , , ,								Stock			,		
Option														
(Right to														
Buy)														
	620.00	04/24/02			200		04/24/02	02/07/11	C	200		200	D	
Employee	\$20.90	04/24/03		A	200		04/24/03		Common	200		200	ע	
Stk									Stock					
Option														
(Right to														
Buy)														

Explanation of Responses:

- (1) Includes 20,000 shares of Restricted Stock Units that is subject to forfeiture if certain events occur.
- (2) Represents the number of shares beneficially owned as of 4/24/03.
- (3) The options vest at the rate of 33-1/3% on the first, third and fifth anniversaries of the grant date beginning on 2/7/01.

By: /s/ Signe S. Gates

April 28, 2003

Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).