PEOPLES FINANCIAL CORP /MS/ Form 8-K May 07, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d)

OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) May 7, 2018

PEOPLES FINANCIAL CORPORATION

(Exact Name of Registrant as Specified in its Charter)

Mississippi

(State or Other Jurisdiction of Incorporation)

001-12103 (Commission

64-0709834 (IRS Employer

Edgar Filing: PEOPLES FINANCIAL CORP /MS/ - Form 8-K

File Number)

Identification No.)

152 Lameuse Street Biloxi, MS (Address of Principal Executive Offices)

39530 (Zip Code)

(228) 435-5511

(Registrant s Telephone Number, Including Area Code)

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instructions A.2 below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230. 425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933(§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Edgar Filing: PEOPLES FINANCIAL CORP /MS/ - Form 8-K

Item 7.01 Regulation FD Disclosure.

Chevis C. Swetman, Chairman, President and Chief Executive Officer of Peoples Financial Corporation (the Company), addressed conference attendees at the 2018 Gulf South Bank Conference in New Orleans on Monday, May 7 at approximately 11:25 a.m. Central Time.

A copy of the presentation, which was discussed during the presentation, is attached hereto as Exhibit 99.1 to this report. Such presentation materials are also available on the Company s website, www.thepeoples.com.

The information in this Current Report on Form 8-K, including Exhibit 99.1, attached hereto, is being furnished and shall not be deemed—filed—for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (—Exchange Act—), or otherwise subject to the liabilities of that Section. The information in this Current Report on Form 8-K, including Exhibit 99.1 attached hereto, shall not be incorporated by reference into any registration statement or other document pursuant to the Exchange Act or the Securities Exchange Act of 1933, as amended, except as otherwise expressly stated in such filing.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 Gulf South Bank Conference Presentation dated May 7, 2018

Edgar Filing: PEOPLES FINANCIAL CORP /MS/ - Form 8-K

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: May 7, 2018

PEOPLES FINANCIAL CORPORATION

By: /s/ Chevis C. Swetman Chevis C. Swetman Chairman, President and CEO