

Voya Financial, Inc.
Form 8-K
April 07, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 7, 2014

VOYA FINANCIAL, INC.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation)

001-35897
(Commission File Number)

No. 52-1222820
(IRS Employer Identification
Number)

230 Park Avenue
New York, New York

10169

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code: (212) 309-8200

ING U.S., Inc.

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.03 Amendments to Certificate of Incorporation

On April 7, 2014, Voya Financial, Inc. (the Company) amended Article FIRST of its Amended and Restated Certificate of Incorporation solely to change the corporate name from ING U.S., Inc. to Voya Financial, Inc.

The name change and amendment were completed pursuant to Section 253(b) of the Delaware General Corporation Law through a merger of a wholly owned subsidiary of the Company with and into the Company, with the Company as the surviving corporation. A copy of the Company s Certificate of Ownership and Merger, as filed with the Secretary of State of the State of Delaware, amending Article FIRST of the Company s Amended and Restated Certificate of Incorporation, is attached hereto as Exhibit 3.1 and is incorporated into this Item 5.03 by reference.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits

3.1 Certificate of Ownership and Merger

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Voya Financial, Inc.

(Registrant)

By: /s/ HARRIS OLINER

Name: Harris Oliner

Title: Senior Vice President and Corporate Secretary

Dated: April 7, 2014