PATRIOT NATIONAL BANCORP INC Form 8-K October 30, 2012

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of report (Date of earliest event reported): October 23, 2012

### PATRIOT NATIONAL BANCORP, INC.

(Exact Name of Registrant as Specified in its Charter)

Connecticut (State or Other Jurisdiction

000-29599 (Commission 06-1559137 (IRS Employer

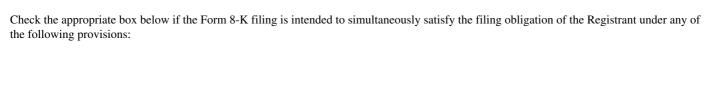
of Incorporation) File Number) Identification No.)

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## 900 Bedford Street, Stamford, Connecticut 06901 (Address of Principal Executive Offices) (Zip Code) Registrant s telephone number, including area code: (203) 324-7500

#### Not Applicable

(Former Name or Former Address, if Changed Since Last Report)



- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

#### Item 5.02(b) Resignation of Executive Officer and Director

On October 23, 2012, Robert F. O Connell notified Patriot National Bancorp, Inc. (the Company ) that he will retire from his position as Senior Executive Vice President and Chief Financial Officer of the Company and Patriot National Bank (the Bank ), a wholly-owned subsidiary of the Company, and as a director of the Company and the Bank, in each case effective as of November 30, 2012.

#### Item 5.02(c) Appointment of Executive Officer

William C. Gray has been appointed as Executive Vice President and Chief Financial Officer of the Company and the Bank, effective as of December 1, 2012. Mr. Gray, 57, has served as Senior Vice President, Accounting and Finance of the Bank since August 2011. Prior to joining the Bank, Mr. Gray served as Senior Vice President and Chief Financial Officer of Gold Coast Bank from October 2007 through July 2011.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PATRIOT NATIONAL BANCORP, INC.

By: /s/ Christopher D. Maher

Date: October 30, 2012

Christopher D. Maher

President and Chief Executive Officer