

Edgar Filing: NORMA T PACE - Form 4

NORMA T PACE

Form 4

September 30, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Pace, Norma T.  
530 East 72nd Street  
Apt. 18A  
New York, NY 10021  
USA

2. Issuer Name and Ticker or Trading Symbol

ENGELHARD CORPORATION  
EC

3. IRS or Social Security Number of Reporting Person (Voluntary)

095-14-9720

4. Statement for Month/Year

9/30/2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
(specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | A/D | Price | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------|---|-----|-------|--|
| COMMON STOCK (1)     | 3/31/02             | A       | V 63  | A   | 29.49 |  |
| COMMON STOCK (2)     | 3/31/02             | A       | V 61  | A   | 29.79 |  |
| COMMON STOCK (2)     | 5/31/02             | A       | V 503   | A   | 31.80 |  |
| COMMON STOCK (1)     | 6/30/02             | A       | V 60  | A   | 31.04 |  |
| COMMON STOCK (2)     | 6/30/02             | A       | V 65  | A   | 28.98 |  |
| COMMON STOCK (1)     | 9/30/02             | A       | V 73  | A   | 25.40 |  |
| COMMON STOCK (2)     | 9/30/02             | A       | V 75  | A   | 25.17 | 46,163   |

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|--|---------------------|---------------------|--|--|--|----------------|
|                                 |  |                     |                     |  |  |  |                |

Explanation of Responses:

(1) Deferred stock units acquired pursuant to Engelhard corporation Deferred Compensation Plan for Directors to be settled 100% in Engelhard

Corporation common stock on termination of services as director or change in control of Engelhard Corporation.

(2) Deferred stock units acquired pursuant to Engelhard corporation Deferred Compensation Plan for Non-Employee Directors to be settled 100% in Engelhard Corporation common stock on termination of services as director or change in control of Engelhard Corporation.