Edgar Filing: GREENWOOD DAVID - Form 4

GREENWOOD DAVID Form 4 September 09, 2002

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden

hours per response. . .0.5

OMB APPROVAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

(Print or Type Responses)

1. Name and Address of Reporting Person* Greenwood, David L.			2. Issuer Name and Ticker or Trading Symbol Geron Corporation (GERN)							6. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director			
										10% Owner	vve title below) y below)		
										SVP Corpo	rate Development and CFO		
(Last) ((First) (Middl						atement for hth/Year		7. Individual or Joint/Group Filing (Check Applicable Line)				
c/o Geron Corporat 230 Constitution D							02		X Form filed by One Reporting Person Form filed by More than One Reporting Person				
Menlo Park, CA 94	(Street) 025	p					Amendment, e of Original nth/Day/Year)]					
(City) (State) (Zip)				Table I No	on-Deriva	Dispos	osed of, or Beneficially Owned						
	2. Trans- action Date	3. Trans- action Co (Instr. 8)	de	4. Securities Acquired (A) o			or	5. Amount of Securities Beneficially	6. O ship Dire	wner- Form: ect (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	(Month/ Day/ Year)	Code	V	Amount	(A) or (D)	Pr	ice	Owned at End of Month (Instr. 3 & 4)		Indirect (I) nstr. 4)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over) SEC 1474 Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)														
	2. Conver-	3. Trans-	4.			er	6. Date Exercisable		7. Title and		8. Price of	9. Number	10.	11. Nature
Derivative	sion or	action	Trans	-	of		and Expiration		Amount of		Derivative	of	Owner-	of Indirect
Security	Excercise	Date	actior				Date		Underlying		Security	Derivative	ship	Beneficial
	Price of					s	(Month/Day/		Securities		(Instr. 5)	Securities	Form	Ownership
(Instr. 3)	Derivative	(Month/				1	Year)		(Instr. 3 & 4)			Beneficially	of Deriv-	(Instr. 4)
	Security	Day/										End of	ative	
		Year)				l of							Security:	
				(D)								Month	Direct	
												(Instr. 4)	(D)	
			(Instr. 3 & 5)		(Instr. 3,	4	4					. ,	or	
					& 5)								Indirect	
	Code V		V	(A)	(D)	Date Expira		Title Amount		(I)				
					. ,			tion		or			(Instr. 4)	
								Date		Number				
										of				
										Shares				
Employee	\$8.23	6/27/02	Α	V	50,000		(1)	6/27/12	Common	50,000		537,352	D	
Stock									Stock					
Option														
(right to														
buy)														
Employee	\$3.76	9/5/02	Α		185,000		(2)	9/5/12		185,000		722,352	D	
Stock									Stock					
Option														
(right to														
buy)														

Explanation of Responses:

(1) The option is exercisable in a series of 48 consecutive monthly installments commencing January 1, 2002 provided the optionee continues to provide services to the company.

(2) The option is exercisable in a series of 48 consecutive monthly installments commencing September 5, 2002 provided the optionee continues to provide services to the company.

David L. Greenwood

9/9/02

**Signature of Reporting Person

Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.

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