

FIRST CITIZENS BANCSHARES INC /DE/  
 Form 4  
 October 03, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BRYANT HOPE HOLDING**

2. Issuer Name and Ticker or Trading Symbol  
**FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
**4300 SIX FORKS ROAD**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**10/01/2014**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Vice Chairman

**RALEIGH, NC 27609**  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |       |                           |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-------|---------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |       |                           |
| Class A Common Stock            | 10/01/2014                           |  | A                              |   | 90,212  | A (3)  | 211,592   | D     |                           |
| Class A Common Stock            | 10/01/2014                           |  | A                              |   | 5,452   | A (3)  | 10,772  | I     | As beneficiary of Trust   |
| Class A Common Stock            | 10/01/2014                           |  | A                              |   | 18,664  | A (3)  | 18,789  | I (1) | As custodian for Hewlette |
| Class A Common                  | 10/01/2014                           |  | A                              |   | 15,708  | A (3)  | 16,163  | I (1) | As custodian for John     |

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|                            |            |   |         |   |            |         |              |  |
|----------------------------|------------|---|---------|---|------------|---------|--------------|--|
| Stock                      |            |   |         |   |            |         |              | Patrick  |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 15,784  | A | <u>(3)</u> | 16,800  | I <u>(1)</u> | As custodian<br>for Elliot                                     |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 80      | A | <u>(3)</u> | 80      | I <u>(1)</u> | By Hewlette<br>Collier<br>Connell                              |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 80      | A | <u>(3)</u> | 80      | I <u>(1)</u> | By John<br>Patrick<br>Connell                                  |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 80      | A | <u>(3)</u> | 80      | I            | By Samuel<br>Hunter<br>Bryant                                  |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 600     | A | <u>(3)</u> | 1,990   | I <u>(1)</u> | John<br>Connell as<br>Custodian<br>for Hewlette                |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 3,560   | A | <u>(3)</u> | 4,850   | I <u>(1)</u> | John<br>Connell as<br>Custodian<br>for John<br>Patrick         |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 3,604   | A | <u>(3)</u> | 3,604   | I <u>(1)</u> | By John<br>Connell as<br>custodian for<br>Elliot<br>Connell    |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 155,624 | A | <u>(3)</u> | 174,469 | I <u>(2)</u> | By Yadkin<br>Valley<br>Company<br>and<br>subsidiary            |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 200     | A | <u>(3)</u> | 827     | I <u>(2)</u> | By E&F<br>Properties   |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 4,316   | A | <u>(3)</u> | 12,530  | I <u>(2)</u> | By Twin<br>States<br>Farming,<br>Inc.                          |
| Class A<br>Common<br>Stock | 10/01/2014 | A | 89,636  | D | <u>(3)</u> | 241,963 | I <u>(2)</u> | By Southern<br>BancShares<br>(N.C.), Inc.<br>and<br>subsidiary |
|                            |            |   |         |   |            | 100,000 | I <u>(2)</u> |  |

|                            |         |                  |   |
|----------------------------|---------|------------------|---|
| Class A<br>Common<br>Stock |         |                  | By Fidelity<br>BancShares<br>(N.C.), Inc.           |
| Class B<br>Common<br>Stock | 100,828 | D                |   |
| Class B<br>Common<br>Stock | 1,225   | I                | As<br>beneficiary<br>of Trust                       |
| Class B<br>Common<br>Stock | 1,751   | I <sup>(1)</sup> | As custodian<br>for Hewlette                        |
| Class B<br>Common<br>Stock | 6,250   | I <sup>(1)</sup> | By Hewlette<br>Collier<br>Connell                   |
| Class B<br>Common<br>Stock | 1,751   | I <sup>(1)</sup> | As custodian<br>for John<br>Patrick                 |
| Class B<br>Common<br>Stock | 5,000   | I <sup>(1)</sup> | By John<br>Patrick<br>Connell                       |
| Class B<br>Common<br>Stock | 6,950   | I <sup>(1)</sup> | As custodian<br>for Elliot                          |
| Class B<br>Common<br>Stock | 323     | I <sup>(1)</sup> | John<br>Connell as<br>custodian for<br>Hewlette     |
| Class B<br>Common<br>Stock | 323     | I <sup>(1)</sup> | John<br>Connell as<br>custodian for<br>John Patrick |
| Class B<br>Common<br>Stock | 100     | I <sup>(1)</sup> | John<br>Connell as<br>custodian for<br>Elliot       |
| Class B<br>Common<br>Stock | 1,900   | I <sup>(2)</sup> | By Yadkin<br>Valley<br>Company<br>and<br>subsidiary |
| Class B<br>Common<br>Stock | 200     | I <sup>(2)</sup> | By E&F<br>Properties,<br>Inc.                       |



## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

(2) The reporting person is a director, officer and/or shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

(3) Reflects shares received in exchange for shares of common stock of First Citizens Bancorporation, Inc. ("BanCorp"), at the rate of 4 shares of the Issuer's Class A common stock and \$50 in cash for each share of BanCorp stock held by the persons or in the manner indicated in this Report, in connection with the merger of BanCorp into the Issuer. On the day prior to the effective date of the merger, the reported last price of BanCorp's common stock was \$922.25 per share, and the reported closing price of the Issuer's Class A common stock was \$216.63 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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