#### FIRST CITIZENS BANCSHARES INC /DE/

Form 4 May 22, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

FIRST CITIZENS BANCSHARES

Symbol

INC /DE/ [FCNCA]

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

burden hours per

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**BRYANT HOPE HOLDING** 

(Last)	(First) (I	Middle)	3. Date of			ansaction			_X_ Director		10% Owner Other (specify	
4300 SIX F	4300 SIX FORKS ROAD (Month/Da 12/31/20				ır)				_X_ Officer (give title Other (specify below) Vice Chairman			
	(Street)	4. If Am Filed(Mo				te Origina	1		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
RALEIGH,	, NC 27609								Person	y More than One	Reporting	
(City)	(State)	(Zip)	Tabl	e I - No	on-D	erivative	Secur	ities A	cquired, Disposed	d of, or Benefic	cially Owned	
1.Title of Security (Instr. 3)  Class A Common Stock	2. Transaction Date (Month/Day/Year) 02/19/2014	Execution any		3. Transa Code (Instr.	8)	4. Securion Acquired Disposed (Instr. 3, Amount	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock									5,320	I	As beneficiary of Trust	
Class A Common Stock	02/20/2014			G	V	125	A	\$0	125	I (1)	As custodian for Hewlette	
Class A Common	02/20/2014			G	V	125	A	\$0	1,016	I (1)	As custodian for Elliot	

Stools									
Stock									
Class A Common Stock	02/20/2014	G	V	125	A	\$ 0	455	I (1)	As custodian for John Patrick
Class A Common Stock							1,390	I (1)	John Connell as Custodian for Hewlette
Class A Common Stock							1,290	I (1)	John Connell as Custodian for John Patrick
Class A Common Stock							18,845	I (2)	By Yadkin Valley Company and subsidiary
Class A Common Stock							627	I (2)	By E&F Properties
Class A Common Stock							8,214	I (2)	By Twin States Farming, Inc.
Class A Common Stock							152,327	I (2)	By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock							100,000	I (2)	By Fidelity BancShares (N.C.), Inc.
Class B Common Stock							100,828	D	
Class B Common Stock							1,225	I	As beneficiary of Trust
Class B Common Stock							1,751	I (1)	As custodian for Hewlette
Class B Common Stock							6,250	I (1)	By Hewlette Collier Connell
Class B Common	12/31/2013	J		5,000	D	\$ 0	1,751	I (3)	As custodian for John

Stock								Patrick
Class B Common Stock	12/31/2013	J	5,000	A	\$ 0	5,000	I (3)	By John Patrick Connell
Class B Common Stock						6,950	I (1)	As custodian for Elliot
Class B Common Stock						323	I (1)	John Connell as custodian for Hewlette
Class B Common Stock						323	I (1)	John Connell as custodian for John Patrick
Class B Common Stock						100	I (1)	John Connell as custodian for Elliot
Class B Common Stock						1,900	I (2)	By Yadkin Valley Company and subsidiary
Class B Common Stock						200	I (2)	By E&F Properties, Inc.
Class B Common Stock						1,355	I (2)	By Twin States Farming, Inc.
Class B Common Stock						22,619	I (2)	By Southern BancShares (N.C.), Inc. and subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui

Bene Own Follo Repo Trans (Instr

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr.	8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Secur (Instr	ities . 3 and 4)	(Instr. 5)	
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address				
•	Director	10% Owner	Officer	Other
BRYANT HOPE HOLDING				
4300 SIX FORKS ROAD	X	X	Vice Chairman	
RALEIGH, NC 27609				

# **Signatures**

Hope Holding Bryant, By: William R. Lathan, Jr.,

Attorney-in-Fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- The reporting person is a director, officer and/or shareholder of the companies that own these shares, but she disclaims beneficial ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- (3) Shares were transfered from the reporting person as custodian for John Patrick Connell to John Patrick Connell as sole owner.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4