

FIRST CITIZENS BANCSHARES INC /DE/  
 Form 4  
 September 06, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HOLDING FRANK B**

2. Issuer Name and Ticker or Trading Symbol  
**FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**POST OFFICE BOX 1377**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**09/05/2013**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Executive Vice Chairman**

**SMITHFIELD, NC 27577**  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock				(A) or (D)	635,990	D	
Class A Common Stock				(A) or (D)	146,563 <sup>(1)</sup>	I	By spouse
Class A Common Stock				(A) or (D)	537,911 <sup>(1)</sup>	I	By EAH 2012 GRAT
Class A Common Stock				(A) or (D)	167,600 <sup>(2)</sup>	I	By First Citizens Bancorporation,

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Stock								Inc.
Class A Common Stock						100,000 <sup>(2)</sup>	I	By Fidelity BancShares, Inc.
Class A Common Stock	09/05/2013	S	500	D	\$ 203.32	171,327 <sup>(2)</sup>	I	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 204	170,827 <sup>(2)</sup>	I	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 204.73	170,327 <sup>(2)</sup>	I	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 205.46	169,827 <sup>(2)</sup>	I	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 206	169,327 <sup>(2)</sup>	I	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock	09/05/2013	S	500	D	\$ 206.25	168,827 <sup>(2)</sup>	I	By Southern BancShares(N.C.), Inc. and subsidiary
Class A Common Stock						8,214 <sup>(2)</sup>	I	By Twin States Farming, Inc.
Class A Common Stock						627 <sup>(2)</sup>	I	By E&F Properties, Inc.
Class A Common Stock						1,675 <sup>(2)</sup>	I	By Holding Properties, LLC
Class B Common Stock						321	D	
Class B Common Stock						666 <sup>(1)</sup>	I	By spouse
Class B Common Stock						45,900 <sup>(2)</sup>	I	By First Citizens Bancorporation, Inc.
Class B Common Stock						22,619 <sup>(2)</sup>	I	By Southern BancShares (N.C.), Inc.



## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

(2) The reporting person is a director, officer and/or shareholder of the companies that own these shares, but he disclaims beneficial ownership of the listed shares except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.